



UNIVERSITY  
OF ILLINOIS  
SYSTEM

A L T O G E T H E R E X T R A O R D I N A R Y

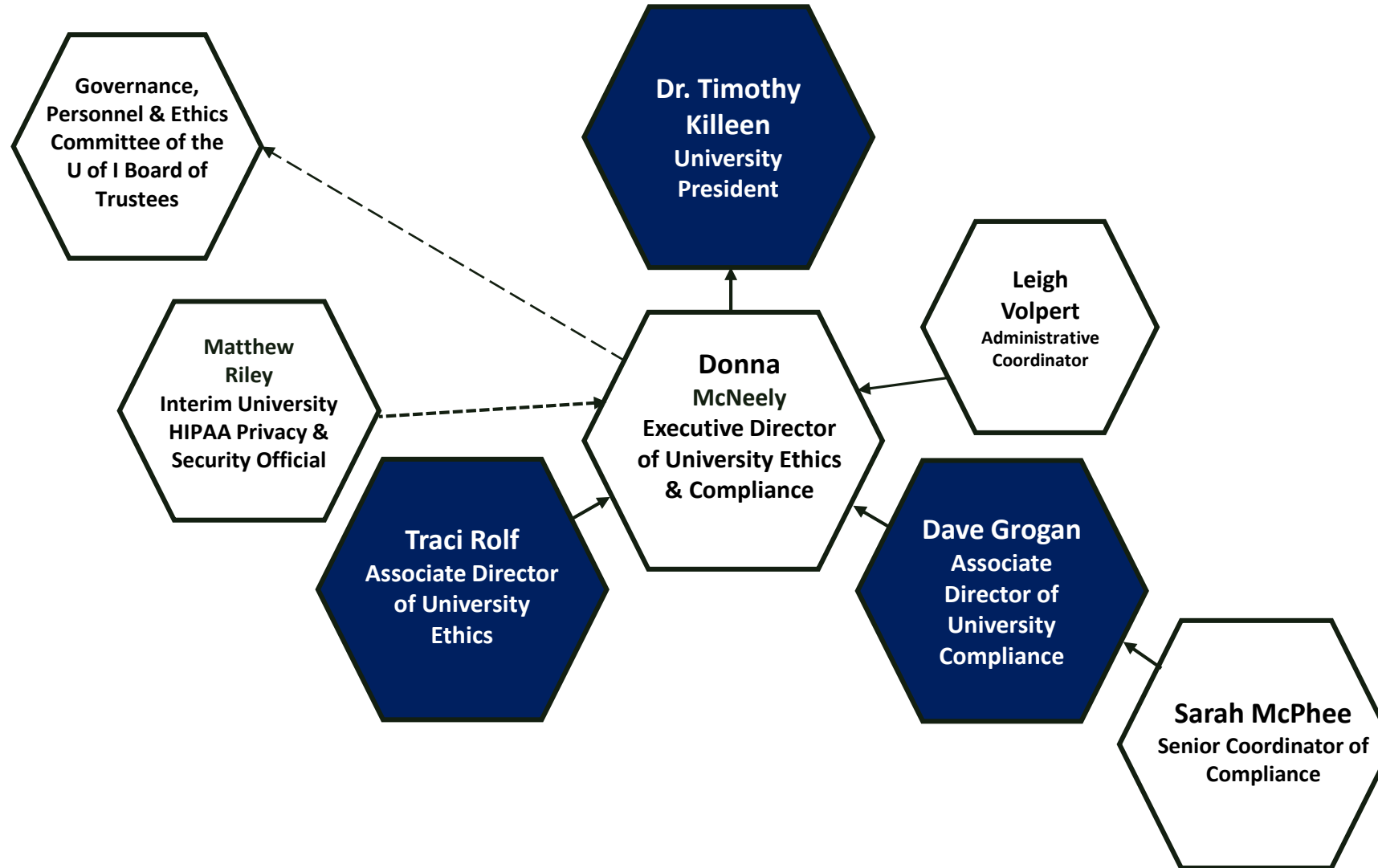
# University Ethics and Compliance Office

## Calendar Year 2020 Annual Report

March 10, 2021

Reported to the Board of Trustees  
March 11, 2021

# Ethics and Compliance Office Organizational Chart



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# Facilitation and Collaboration

## New Title IX Regulations

- Assisted in the review and revision of the University of Illinois System Statement on Sex Discrimination, Sexual Harassment and Other Sexual Misconduct.
- Assisted in the review and revision of the universities' sexual misconduct policies and procedures.
- Conducted comprehensive reviews of each university's revised policies, procedures, and related websites, mapping to all requirements of the new regulations. Open items were identified, feedback was provided for consideration and implementation.

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# Facilitation and Collaboration

## Foreign Influence and Reporting

- Foreign Influence
  - Assisted with proactive identification of potential undisclosed conflicts of interest
  - Mitigated potential conflicts identified
  - Continued coordination with VCRs
- Section 117: Reporting of Foreign Gifts and Contracts
  - Assisted with improving foreign gift and contract reporting procedures
  - Worked with stakeholders to develop systematic retrieval of data for reporting, to the greatest extent possible
  - Coordinated the standardization of reporting procedures

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# Facilitation and Collaboration

## Privacy

- Coordinated the revision of the University of Illinois Privacy Statement, Cookie Policy, and Legal Notice and Terms of Use
- Reviewed mobile privacy notices for Illinois, Safer Illinois and Shield Illinois Apps to promote transparency and incorporation of best privacy practices

## Professional License Disclosures

- Assisted in planning and implementation of disclosures required by new federal regulations for programs that may lead to a professional license or certification

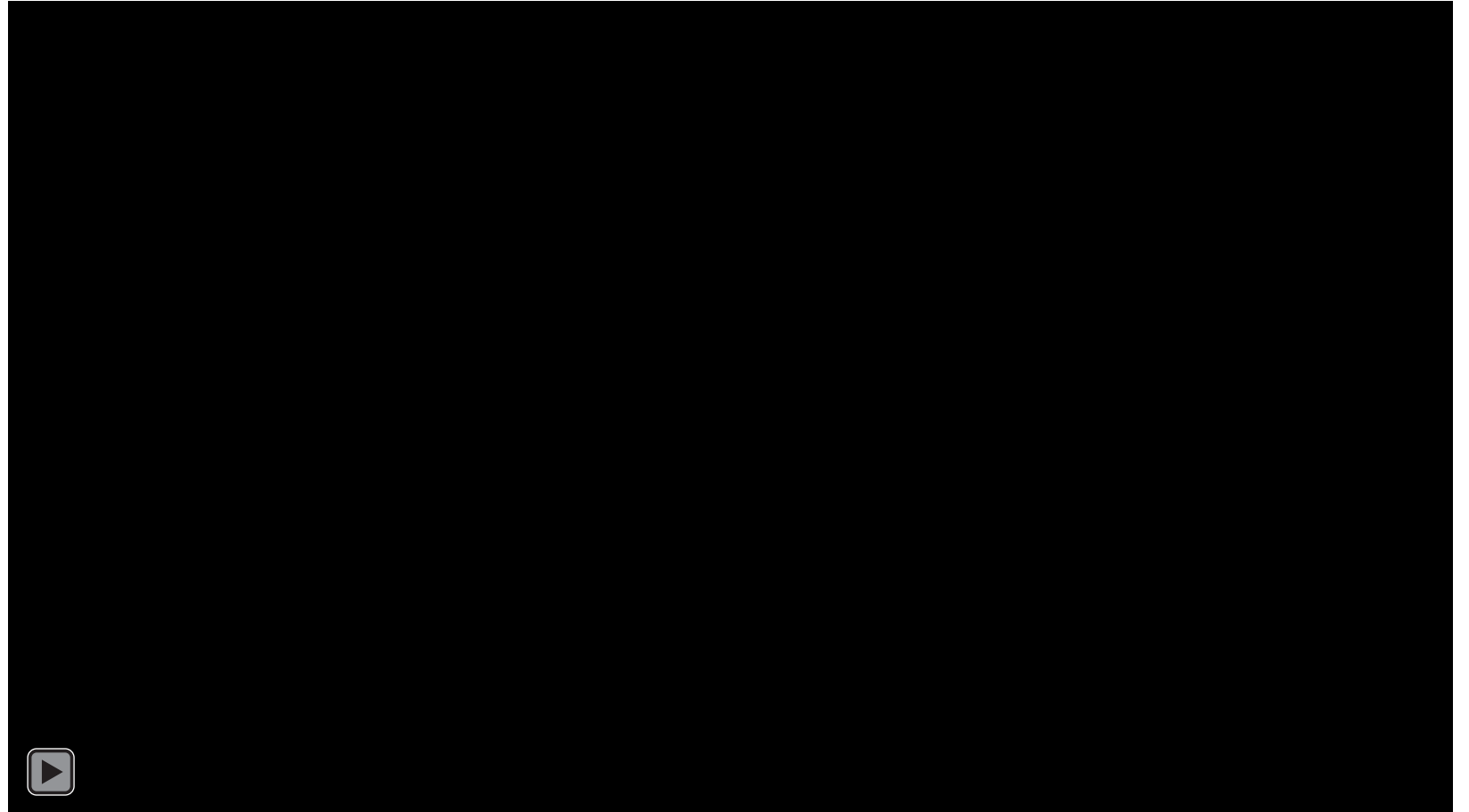
## Clery/Drug/Alcohol Prevention Programs

- Reviewed UIS and UIUC Clery reports pre-publication, giving special attention to new Title IX procedures
- Conducted comprehensive reviews of each university's Biennial Review process and provided feedback

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## 5 - Minute Videos

- University of Illinois Workplace-Related Intimate Personal Relationships Policy in response to System-wide policy. Distributed by UIC and UIS; pending at UIUC. Most widely viewed video in our series during 2020.
- International Travel and Export Controls in 5 Minutes (Chicago)



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# Reports of Perceived Wrongdoing

<b>Administered</b>	the University Ethics Help Line and responded to all complaints and requests for advice
	the <a href="mailto:ethicsofficer@uillinois.edu">ethicsofficer@uillinois.edu</a> email account used for training, reporting of complaints, and advisory services
<b>Coordinated</b>	reviews of complaints received to determine whether founded or unfounded
<b>Supported</b>	necessary procedural changes, policy matters, discipline, etc.
<b>Liaised</b>	with the OEIG in their investigations and/or case referrals

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# Ethics Training

## Development

Created for:  
Faculty and Staff  
(including BOT),  
Seasonal, Temporary,  
and Medical  
Resident/New Hire

## Approval

Obtained from the  
Office of Executive  
Inspector General  
(OEIG) and  
Executive Ethics  
Commission (EEC)

## Administration

- Online
- Zoom Classroom
- Status reporting  
for management
- OEIG and EEC  
annual reports

## Communication

- Contacts across  
the System
- Faculty and Staff
- Students, Extra  
Help, Medical  
Residents

## Distribution

Training used  
state-wide by all  
public universities

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# Sexual Misconduct Prevention, Harassment, and Discrimination Training





# Quick Stats

Quantity	Task
47,919	Annual Ethics Training for Employees (includes trustees)
12,267	New Employee Ethics Training
57,887	Sexual Misconduct and Prevention Training for Students – mandatory for first semester students
46,392	Preventing Harassment and Discrimination (Title IX) for Faculty and Staff (includes trustees)
7,268	New Employee Preventing Harassment and Discrimination (Title IX) Training for Faculty and Staff

# Quick Stats Continued

Quantity	Task
9,476	Statement of Economic Interests forms reviewed and filed with Secretary of State – using new online process
9,476	Supplemental Statement forms for filing with the Executive Ethics Commission
5,766	Views of 5-Minute videos
86	Gift Acceptance Review Requests
64	Cases resulting from reports of perceived wrongdoing through the University Ethics Help Line
24	OEIG case referrals for University review
4	OEIG requests for documents
1	OEIG issued report

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**Thank you!**

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**EthicsLine**

**Confidentially  
report ethics and  
compliance concerns:**

**866-758-2146**