University Ethics and Compliance Office

Calendar Year 2020 Annual Report

March 10, 2021

Reported to the Board of Trustees
March 11, 2021
Assisted in the review and revision of the University of Illinois System Statement on Sex Discrimination, Sexual Harassment and Other Sexual Misconduct.

Assisted in the review and revision of the universities’ sexual misconduct policies and procedures.

Conducted comprehensive reviews of each university’s revised policies, procedures, and related websites, mapping to all requirements of the new regulations. Open items were identified, feedback was provided for consideration and implementation.
Facilitation and Collaboration

Foreign Influence and Reporting

- **Foreign Influence**
  - Assisted with proactive identification of potential undisclosed conflicts of interest
  - Mitigated potential conflicts identified
  - Continued coordination with VCRs

- **Section 117: Reporting of Foreign Gifts and Contracts**
  - Assisted with improving foreign gift and contract reporting procedures
  - Worked with stakeholders to develop systematic retrieval of data for reporting, to the greatest extent possible
  - Coordinated the standardization of reporting procedures
<table>
<thead>
<tr>
<th>Facilitation and Collaboration</th>
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</table>

### Privacy
- Coordinated the revision of the University of Illinois Privacy Statement, Cookie Policy, and Legal Notice and Terms of Use
- Reviewed mobile privacy notices for Illinois, Safer Illinois and Shield Illinois Apps to promote transparency and incorporation of best privacy practices

### Professional License Disclosures
- Assisted in planning and implementation of disclosures required by new federal regulations for programs that may lead to a professional license or certification

### Clery/Drug/Alcohol Prevention Programs
- Reviewed UIS and UIUC Clery reports pre-publication, giving special attention to new Title IX procedures
- Conducted comprehensive reviews of each university’s Biennial Review process and provided feedback
• University of Illinois Workplace-Related Intimate Personal Relationships Policy in response to System-wide policy. Distributed by UIC and UIS; pending at UIUC. Most widely viewed video in our series during 2020.

• International Travel and Export Controls in 5 Minutes (Chicago)
Administered

the University Ethics Help Line and responded to all complaints and requests for advice

the ethicsofficer@uillinois.edu email account used for training, reporting of complaints, and advisory services

Coordinated

reviews of complaints received to determine whether founded or unfounded

Supported

necessary procedural changes, policy matters, discipline, etc.

Liaised

with the OEIG in their investigations and/or case referrals
Ethics Training

Development
Created for:
Faculty and Staff (including BOT), Seasonal, Temporary, and Medical Resident/New Hire

Approval
Obtained from the Office of Executive Inspector General (OEIG) and Executive Ethics Commission (EEC)

Administration
• Online
• Zoom Classroom
• Status reporting for management
• OEIG and EEC annual reports

Communication
• Contacts across the System
• Faculty and Staff
• Students, Extra Help, Medical Residents

Distribution
Training used state-wide by all public universities
Sexual Misconduct Prevention, Harassment, and Discrimination Training

System-wide faculty and staff training (new and continuing)

Required new student and athlete training

Provided to all returning students

Bi-weekly/weekly reminders until completion

Administration, communication, monitoring and reporting

Coordinated and obtained approvals for content mandated by Illinois law

Approved by OEIG

Approved by EEC

Inspire integrity by speaking up.
### Quick Stats

<table>
<thead>
<tr>
<th>Quantity</th>
<th>Task</th>
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<tbody>
<tr>
<td>47,919</td>
<td>Annual Ethics Training for Employees (includes trustees)</td>
</tr>
<tr>
<td>12,267</td>
<td>New Employee Ethics Training</td>
</tr>
<tr>
<td>57,887</td>
<td>Sexual Misconduct and Prevention Training for Students – mandatory for first semester students</td>
</tr>
<tr>
<td>46,392</td>
<td>Preventing Harassment and Discrimination (Title IX) for Faculty and Staff (includes trustees)</td>
</tr>
<tr>
<td>7,268</td>
<td>New Employee Preventing Harassment and Discrimination (Title IX) Training for Faculty and Staff</td>
</tr>
<tr>
<td>Quantity</td>
<td>Task</td>
</tr>
<tr>
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</tr>
<tr>
<td>9,476</td>
<td>Statement of Economic Interests forms reviewed and filed with Secretary of State – using new online process</td>
</tr>
<tr>
<td>9,476</td>
<td>Supplemental Statement forms for filing with the Executive Ethics Commission</td>
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<tr>
<td>5,766</td>
<td>Views of 5-Minute videos</td>
</tr>
<tr>
<td>86</td>
<td>Gift Acceptance Review Requests</td>
</tr>
<tr>
<td>64</td>
<td>Cases resulting from reports of perceived wrongdoing through the University Ethics Help Line</td>
</tr>
<tr>
<td>24</td>
<td>OEIG case referrals for University review</td>
</tr>
<tr>
<td>4</td>
<td>OEIG requests for documents</td>
</tr>
<tr>
<td>1</td>
<td>OEIG issued report</td>
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</tbody>
</table>
Thank you!

Inspire integrity by speaking up.

Confidentially report ethics and compliance concerns:

866-758-2146