# ARTICLE III. INTELLECTUAL PROPERTY

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#### SECTION 1. OBJECTIVES

<u>Technical information</u>, <u>Iinventions</u>, discoveries, copyrightable works and other creative works that have the potential to be brought into practical use may result from the activities of <u>uUniversity</u> employees in the course of their duties or through the use, by any person, of <u>uUniversity</u> resources such as facilities, equipment, or funds.

The primary purpose of this intellectual property policy is to provide the necessary protections and incentives to encourage both the discovery and development of new knowledge, and its transfer for the public benefit and its use for development of the economy; a secondary purpose is to enhance the generation of revenue for the University and to provide financial and reputational benefits for and the creator(s)-; and a third purpose is to preserve the University's freedom to conduct research and to use the intellectual property created by that research or pursuant to an institutional initiative. The University is guided by the following general objectives:

- (i) To optimize the environment and incentives for research and for the creation of new knowledge at the University;
- (ii) To ensure that the educational mission of the University is not compromised;
- (iii) To bring technology into practical use for the public benefit as quickly and effectively as possible; and
- (iv) To protect the interest of the people of Illinois through a due recovery by the University of its investment in research a reasonable consideration for the University's investment in its intellectual property.

# SECTION 2. DEFINITIONS

- (a) Intellectual Property. The term "intellectual property" as used herein is broadly defined to include inventions, discoveries, know-how, show-how, processes, unique materials, copyrightable works, original data and other creative or artistic works which have value. Intellectual property includes that which is protectable by statute or legislation, such as patents, registered or unregistered copyrights, registered or unregistered trademarks, service marks, trade secrets, mask works, and plant variety protection certificates. It also includes the physical embodiments of intellectual effort, for example, models, machines, devices, designs, apparatus, instrumentation, circuits, computer programs and visualizations, biological materials, chemicals, other compositions of matter, plants, and records of research and experimental results.
- (b) Traditional Academic Copyrightable Works. "Traditional academic copyrightable works" are a subset of copyrightable works created independently and at the creator's initiative for traditional academic purposes. Examples may include class notes, books, theses and dissertations, educational software (also known as courseware or lessonware), articles, non-fiction, fiction, poems, musical works, dramatic works including any accompanying music, pantomimes and choreographic works, pictorial, graphic and sculptural works, or other works of artistic imagination that are not created as an institutional initiative (as specified in Section 4(a)(2) below).
- (c) Creator. "Creator" refers to an individual or group of individuals who make, conceive, reduce to practice, author, or otherwise make a substantive intellectual contribution to the creation of intellectual property. "Creator" includes the definition of "inventor" used in U.S. patent law <u>for patentable inventions</u> and the definition of "author" used in the U.S. Copyright Act <u>for copy written works of authorship</u>.
- (d) University Resources Usually and Customarily Provided. When determining ownership and license rights in copyrightable works, "<u>aU</u>niversity resources usually and customarily provided" includes <del>such support as</del> office space, library facilities, ordinary access to computers and networks, or salary. In general, it does not include <u>the</u> use of students or employees as support staff to develop the work, or substantial use of specialized or unique facilities and equipment, or other special subventions provided by the University unless approved as an exception.

Exceptions are expected in units where the tradition is to provide subvention to some faculty in the form of graduate assistants to help prepare traditional academic copyrightable works. Exceptions are also expected in situations where creators use uuriversity-provided facilities and resources in the creation of works of artistic imagination, for example, use of studios, pottery wheels, or kilns for the creation of paintings, sculpture or ceramics; use of high end computer hardware and software in the

creation of artistic graphical images; and so on. Other individual exceptions may be approved on a case-by-case basis [see section  $7(\frac{1}{2})$ ].

### SECTION 3. APPLICATION

This policy as amended from time to time shall be deemed is considered a part of the conditions of employment for every employee of the University and a part of the conditions of enrollment and attendance at the University by students. It is also the policy of the University that individuals (including visitors) by participating in a sponsored research project and/or making significant use of uUniversity-administered resources thereby accept the principles of ownership of intellectual property as stated in this policy unless an exception is approved in writing by the University. All University creators of intellectual property shall execute appropriate assignment and/or other documents required to set forth effectively determine ownership and rights as specified in this policy. \( \begin{align\*} \text{University} \\ \text{determine} \) ownership and rights as specified in this policy. \( \begin{align\*} \text{Iniversity} \\ \text{Determine} \)

This policy applies only to intellectual property disclosed after the effective date of the policy (September 3, 1998).

### SECTION 4. COPYRIGHTS

(a) Ownership. Unless subject to any of the exceptions specified below or in Section 4(c), creators retain all copyright rights to traditional academic copyrightable works as defined in Section 2(b) above. (See, however, Sections 4(b)(2) below.)

The University shall own copyrightable works as follows:

- (1) Works created pursuant to the terms of a <u>uU</u>niversity agreement with an external <u>a third</u> party, or
- (2) Works created as a specific requirement of employment or as an assigned uUniversity duty that may be specified, for example, in a written job description

A provision in an employment agreement which provides that an employee shall assign or offer to assign any of the employee's rights in an invention to the employer does not apply to an invention for which no equipment, supplies, facilities, or trade secret information of the employer was used and which was developed entirely on the employee's own time unless (a) the invention relates (i) to the business of the employer, or (ii) to the employer's actual or demonstrably anticipated research or development, or (b) the invention results from any work performed by the employee for the employer. Any provision which purports to apply to such an invention is to that extent against the public policy of the state and is to that extent void and unenforceable. The employee shall bear the burden of proof in establishing that his invention qualifies under this subsection.

<sup>&</sup>lt;sup>1</sup>The creator's obligation to assign rights to the University is subject to the provisions of the Illinois Employee Patent Act, which provides in part:

or an employment agreement. Such specification may define the full scope or content of the employee's <code>uU</code>niversity employment duties comprehensively or may be limited to terms applicable to a single copyrightable work. Absent such prior written specification, ownership will vest with the University in those cases where the University provides the motivation for the preparation of the work, the topic or content of which is determined by the creator's employment duties and/or when the work is prepared at the <code>uU</code>niversity's expense.<sup>2</sup>

- (3) Works specifically commissioned by the University. The term "commissioned work" is hereafter used to describe refers to a copyrightable work prepared under a written an agreement between the University and the creator when (1) the creator is not a uUniversity employee, or (2) the creator is a uUniversity employee but the work to be performed falls outside the normal scope of the creator's uUniversity employment. Contracts covering commissioned works shall specify that the author convey by assignment, if necessary, such rights as are required by the University.
- (4) Works that are also patentable. The University reserves the right to pursue multiple forms of legal protection concomitantly if available. Computer software, for example, can be protected by copyright, patent, trade secret and trademark.

# (b) University Rights in Creator-Owned Works

- (1) Traditional academic copyrightable works created using <u>uU</u>niversity resources usually and customarily provided are owned by the creators. Such works need not be licensed to the University.
- (2) Traditional academic copyrightable works created with use of uuniversity resources over and above those usually and customarily provided shall be owned by the creators but licensed to the University. The minimum terms of such license shall grant the University the right to use the original work in its internally administered programs of teaching, research, and public service on a perpetual, royalty-free, non-exclusive basis. The University may retain more than the minimum license rights when justified by the circumstances of development.
- (c) Student Works. Unless subject to the provisions of paragraph (a) or provided otherwise by written agreement, copyrightable works prepared by students as part of the requirements for a university degree program are deemed to be the property of the student but are subject to the following provisions:

<sup>&</sup>lt;sup>2</sup>Provisions (1) and (2) above define those works that fall within the scope of <u>uUniversity</u> employment as that term is used in the definition of "work made for hire" in the U.S. Copyright Statute (see Title 17, USC, Section 101).

- (1) The original records (including software) of an investigation for a graduate thesis or dissertation are the property of the University but may be retained by the student at the discretion of the student's major department.
- (2) The University shall have, as a condition of the degree award, the royalty-free right to retain, use and distribute a limited number of copies of the thesis, together with the right to require its publication for archival use.
- (d) Copyright Registration and Notice. University-owned works should be protected by copyright notice in the name of the Board of Trustees of the University of Illinois. Such copyright notice should be composed and affixed in accordance with the United States Copyright Law. Registration of the copyright for uUniversity-owned works shall be in accordance with the operational guidelines and procedures established by the vice chancellor for research on each campus. The University may also decide to release a work to the public domain and if so, should so indicate.
- (e) University Press Publications. The University Press shall be responsible for copyright registration of works owned by the University and published by the Press and for administering contracts with its authors. Such contracts shall define the rights and obligations of the author and the University and shall be processed as are other aUniversity contracts.
- (f) Compliance with the Copyright Act. University units that administer activities involving any usage regulated by the Copyright Act are responsible for knowing applicable regulations, monitoring their continuing evolution, and conducting their programs in full compliance with the applicable laws and regulations.

#### SECTION 5. OTHER INTELLECTUAL PROPERTY

Ownership. Except as otherwise specified herein in this Article or by the University in writing, intellectual property shall belong to the University if made: (1) by a <u>uUniversity</u> employee as a result of the employee's duties or (2) through the use by any person, including a <u>uUniversity</u> employee, of <u>uUniversity</u> resources such as facilities, equipment, funds, or funds under the control of or administered by the University. (See also Section 4(a)(4) above.)

#### SECTION 6. TRADEMARKS

Trademarks and service marks are distinctive words or graphic symbols identifying the source, product, producer, or distributor of goods or services. Registration of trademarks or service marks, at the state or federal level, shall be approved by the appropriate campus or #University level officer. Proceeds received from

commercialization of a <u>registered</u> or <u>unregistered</u> mark that is related to an intellectual property license <u>for associated intellectual property</u> will be shared with all creator(s) of the associated property as specified in Sections 8(b) and 8(c) below. For proceeds received from commercialization of a mark that is licensed independently and is not directly related to an intellectual property license, the share that would normally be distributed to the creator(s) will be assigned to the unit(s) from which the trademark or service mark originated. Except as provided herein or <u>unless</u> subject to prior written agreement between the creator(s) and the University, the University will not share the proceeds from commercialization of a mark with the individual(s) who created the mark.

### SECTION 7. INTELLECTUAL PROPERTY ADMINISTRATION

- (a) Disclosure. All intellectual property in which the University has an ownership interest under the provisions of this policy and that has the potential to be brought into practical use for public benefit or for which disclosure is required by law shall be reported promptly in writing by the creator(s) to the designated campus officer through the appropriate unit executive officer(s) employee using the disclosure form provided by the campus that unit. The disclosure shall constitute consist of a full and complete disclosure description of the subject matter of the discovery or development and identify all persons participating therein. The creator(s) shall furnish such additional information and execute such documents from time to time as may be reasonably requested.
- (b) Evaluation and Exploitation Decisions. After evaluation of the intellectual property and review of applicable contractual commitments, the University may develop the property through licensing, to an established business or a start-up company, may release it to the sponsor of the research under which it was made (if contractually obligated to do so), may release it to the creator(s) if permitted by law and current University policy, or may take such other actions as are determined considered to be in the public interest. Exploitation by the University may or may not involve statutory protection of the intellectual property rights, such as filing for patent protection, registering the copyright, or securing plant variety certification. All agreements regarding intellectual property must be executed by the vice president/chief financial officer and comptroller and attested to by the Secretary of the Board of Trustees or their designees.
- (c) Questions Related to University Ownership. In the event there is a question as to whether the University has a valid ownership claim in intellectual property, such intellectual property should be disclosed in writing to the University by the creator(s) in accordance with Section 7(a). Such disclosure is without prejudice to the creator's ownership claim. The University will provide the creator with a written statement as to the <u>uUniversity</u>'s ownership interest.

- (d) Informing Creators of Decisions. The University will inform principal creators of its substantive decisions regarding protection, commercialization and/or disposition of intellectual property which they have disclosed. However, specific terms of agreements with external parties may be proprietary business information and subject to confidentiality restrictions.
- (e) University Abandons Intellectual Property. Should the University decide to abandon development or protection of #University-owned intellectual property, ownership may be assigned to the creator(s) as allowed by law and current University practice, subject to the rights of sponsors and to the retention of a license to practice for #University purposes. The minimum terms of such the license shall grant the University the right to use the intellectual property in its internally administered programs of teaching, research, and public service on a perpetual, royalty-free, non-exclusive basis. The University may retain more than the minimum license rights, and the assignment or license may be subject to additional terms and conditions, such as revenue sharing with the University or reimbursement of the costs of statutory protection, when justified by the circumstances of development.
- (f) Commercialization by Creator(s). The University may, at its discretion and consistent with the public interest, license intellectual property to the creator(s) on an exclusive or non-exclusive basis. The creator(s) The licensee must demonstrate technical and business capability to commercialize the intellectual property. The license may include clear performance milestones with a provision for recapture of intellectual property if milestones are not achieved. The creator(s) licensee may be required to assume the cost of statutory protection of the intellectual property.
- (g) Conflict of Interest and Commitment. Commercialization Agreements with activities involving creators University employees will be subject to review of potential conflict of interest and commitment issues and approval of a conflict of interest issues management plan in accordance with applicable uUniversity policy.
- (gh) University's Acceptance of Independently Owned Intellectual Property. The University may accept assignment of intellectual property from other parties provided that such action is determined to be consistent with the public interest. Intellectual property so accepted shall be administered in a manner consistent with the administration of other #University-owned intellectual property.
- (hi) Consulting Agreements. <u>University</u> <u>Ee</u>mployees engaged in external consulting work or business are responsible for ensuring that agreements emanating from such work are not in conflict with <u>uUniversity</u> policy, of with the <u>uUniversity</u>'s contractual commitments or with <u>University</u> policies regarding <u>University-owned</u> intellectual property. Such employees should make their <u>non-uUniversity</u> obligations known to others with whom they make such agreements the appropriate campus officer

and should provide other parties to such agreements with a statement of applicable <u>uUniversity</u> policies regarding ownership of intellectual property and related rights.

- (ij) Statement by Creators. The creators of <u>University-owned</u> intellectual property owned by the <u>University under the terms of this policy</u> may be required to state that to the best of their knowledge the intellectual property does not infringe on any existing patent, copyright or other legal rights of third parties; that if the work is not the original expression or creation of the creators, the necessary permission for use has been obtained from the owner; and that the work contains no libelous material nor material that invades the privacy of others.
- (jk) Administrative Responsibility. The president has ultimate authority for the stewardship of intellectual property developed at the University. Pursuant to Article I, Section 2, Paragraph (d) the vice president for technology and economic development has direct line authority for University offices and entities involved in technology commercialization and related economic development. With the advice of the chancellors, and Iin consultation with the vice president for academic affairs and the campus vice chancellors for research, the vice president for technology and economic development will shall establish operational guidelines and procedures for the administration of intellectual property, including but not limited to determination of ownership, assignment, protection, licensing, marketing, maintenance of records, oversight of revenue or equity collection and distribution, approval of individual exceptions, and resolution of disputes among creators and/or unit executive officers.
- (k]) Campus Responsibility. Each campus may establish an office which has responsibility for administering uUniversity policies regarding intellectual property as defined herein in this Article.
- (lm) Contractual Authority. Licenses, options for licenses and other agreements related to commercialization or exploitation of intellectual property will shall be granted in the name of the Board of Trustees of the University of Illinois. All such contracts shall be executed in accordance with Article II of these General Rules\_the policies described in this Article.
- (mn) Administrative Guidelines and Procedures. General guidelines and procedures for the administration of intellectual property shall be established by the president in consultation with the University Intellectual Property Committee (as specified in Section 7(no) below) and the campuses. Detailed operational guidelines and procedures for the administration of campus-based responsibilities shall be established by the vice chancellor for research on each campus.
- (no) University Intellectual Property Committee. The University Intellectual Property Committee shall be appointed annually by the president to make

recommendations to the president regarding procedures, guidelines, and responsibilities for the administration and development of intellectual property and such other matters as the president shall determine.

- (op) Appeals. After following the administrative guidelines and procedures established by each campus, the <u>uUniversity</u> creator or unit executive officer may appeal to the University Intellectual Property Committee to seek resolution of complaints or questions regarding the matters addressed in this <u>aA</u>rticle.
- Preferential Treatment of Sponsors. Sponsored research agreements shall provide that all intellectual property developed as a result of the sponsored research project shall belong to the University unless otherwise specified in writing. The sponsor may receive an option to license such the resulting intellectual property on terms to be negotiated, said with the option to be exercised within a specified period following the disclosure of the intellectual property. When the nature of the proposed research allows identification of a specific area of intellectual property or application which is of interest to the sponsor, the University may accept research agreements with terms which entitle the sponsor to reasonable specific commercial rights within the defined field of interest. Otherwise, the specific terms of licenses and rights to commercial development shall be based on negotiation between the sponsor and the University at the time of exercise of an the option is executed by the sponsor and shall depend on the nature of the intellectual property and its application, the relative contributions of the University and the sponsor to the work, and the conditions deemed most likely to advance the commercial development and acceptance of the intellectual property. In all cases where exclusive licensing is deemed appropriate, such license agreements shall be executed apart from the sponsored research agreement and shall require diligent commercial development of the intellectual property by the licensee. The University may also determine, on a case-bycase basis and only if allowed by law, that it is in the uUniversity's interest to assign ownership of resulting intellectual property to the sponsor as an exception to this policy when circumstances warrant such action, in accordance with guidelines established by the University Intellectual Property Committee.
- (qr) Exceptions to Policy. Recommendations for exceptions to the provisions of the policy in this a Article shall be made by the University Intellectual Property Committee to the president for presentation to the Board of Trustees. [For individual exceptions, see Section  $7(\frac{1}{2})$ .]

# SECTION 8 PROCEEDS DISTRIBUTION<sup>3</sup>

- (a) Proceeds. For purposes of this policy, "proceeds" shall refer to all revenue and/or equity, as defined below, received by the University from transfer, commercialization, or other exploitation of <u>uUniversity</u>-owned intellectual property.
- (1) Revenue. "Revenue" shall mean cash from payments including, but not limited to, royalties, option fees, license fees, or and/or fees from the sale of the uUniversity's equity interest.
- (2) Equity. "Equity" shall include, but not be limited to, stock, securities, stock options, warrants, buildings, real or personal property, or other non-cash consideration.
- (b) Revenue Distribution. When revenue is received by the University, all out-of-pocket payments or obligations (and in some cases, a reasonable reserve for anticipated future expenses) attributable to protecting (including defense against infringement or enforcement actions), marketing, licensing or administering the property may be deducted from such income. The income remaining after such deductions is defined as net revenue. In the case of multiple intellectual properties licensed under a single licensing agreement, the University shall determine and designate the share of net income to be assigned to each intellectual property.
- (1) Creator's Share. The creator (or creator's heirs, successors, and assigns) normally shall receive forty percent (40%) of net revenue. If there are joint creators, the net income shall be divided equally among them absent a mutual agreement to the contrary as they shall mutually agree. Should the creators fail to agree mutually on a decision, the University shall determine the division.
- (2) Originating Unit's Share. The originating unit normally shall receive twenty percent (20%) of net revenue. If a creator is affiliated with more than one originating unit or if there are joint creators from different units, the originating unit(s) share shall be divided among such units as agreed in writing by the responsible unit executive officers.

<sup>&</sup>lt;sup>3</sup>These proceeds distribution provisions shall apply only to revenue and equity received from agreements for commercialization that are executed subsequent to the effective date of this policy (September 3, 1998). Unless otherwise agreed in writing between the University and the creator(s), distribution of income for commercialization prior to the effective date of this policy shall be in accordance with the policy in effect at the time the agreement was approved. Where no policy exists (e.g., for equity), this policy shall prevail.

- (3) University's Share. The University normally shall receive forty percent (40%) of net revenue. Distribution of the <u>uUniversity</u>'s share shall be allocated in support of its technology transfer activities and academic and research programs as determined by the vice chancellor for research.
- (c) Equity Distribution. In any instance wherein the University executes an agreement with a corporation or other business entity for purposes of exploiting intellectual property owned by the University and the University receives or is entitled to receive equity, such equity or the proceeds of the revenue from the equity shall be shared among the creator(s), the originating unit(s), and the University in the same proportions as revenue distributions (except as specified in Section 8(d) below).
- (d) Exceptions When the Creator(s) Have No Entitlement. If the University accepts research support in the form of a sponsored research agreement or unrestricted grant as part of the consideration in an intellectual property license in lieu of an option fee, license fee or royalty, the creator(s) shall have no entitlement to receive a share as personal income. For the subset of equity that is buildings, real or personal property, or other non-cash consideration, the creator(s) shall have no entitlement to receive a share as personal income.
- (e) Special Distributions. Special facts or circumstances may warrant a different distribution of proceeds than specified above and such distributions will be determined on a case-by-case basis under the authority of the vice chancellor for research.
- (f) Revenue from Actions for Defense or Enforcement of Intellectual Property Rights. When the University receives revenue from third parties that results from successful actions for the purpose of defending or enforcing the uUniversity's rights in its intellectual property, such revenue may first be used to reimburse the University (or the sponsor or licensee, if appropriate) for expenses incurred in such actions. The creator(s) and their originating unit(s) shall be entitled to recovery of lost royalties from the remaining net revenue, in the same proportions as specified in Section 8(b) above. The remaining net revenue shall be allocated in support of the uUniversity's technology transfer activities and academic and research programs as determined by the vice chancellor for research.

## ARTICLE V. UNIVERSITY PROPERTY

#### SECTION 1. USE OF UNIVERSITY PREMISES AND FACILITIES

- (a) The use of <u>uUniversity</u> premises and facilities shall be subject to all applicable State and Federal laws and shall also be in accord with the actions of the Board of Trustees.
- (b) The use of <u>uUniversity</u> premises and facilities by individuals other than in connection with <u>uUniversity</u> educational or research programs will be permitted only under regulations formulated and administered by the appropriate chancellor and approved by the president. <u>A facilities use agreement, articulating the terms of use, should reflect the conditions deemed most likely to advance the development and acceptance of the intellectual property.</u>
- (c) The president of the University is authorized to make such traffic and parking regulations and such changes therein as conditions may warrant from time to time and may delegate such authority to the chancellors.

#### SECTION 2. CUSTODIANSHIP OF PROPERTY

- (a) Under the State Property Control Act, the president of the University is accountable to state officials for the supervision, control, and inventory of all #University property subject to that act. In discharging these and other responsibilities, the president is authorized to specify or to deputize the chancellors and other #University officers to specify procedures and responsibilities for the supervision, control, and inventory of all #University property.
- (b) Unless otherwise specified, the supervision, control, and inventory of uuniversity personal property shall be the responsibility of the head of the unit to which the property is assigned. An inventory of all such property shall be maintained in a manner determined by the vice president and chief financial officer/comptroller and the vice president and chief financial officer/comptroller may require reports concerning the same. The individual responsible shall report to the comptroller all items of uuniversity personal property which are of no further use to the department, and the vice president and chief financial officer/comptroller may transfer the same to another unit or direct other disposition.
- (c) Land which has been assigned by the Board of Trustees to a college or department for particular use or for a definite period may not be used for any other purpose or beyond the period designated without authorization by the Board of Trustees. The assignment of land, equipment, or any other property to a department or division

does not give the department a title to the same, but only the right to use as long as necessary for accomplishing the function of the department or division; and the use of land or equipment or other property shall not exclude its use, at the same time, for other purposes by other departments or divisions of the University on approval of the president provided that any such second use shall not interfere with the efficient utilization of said land, equipment, or other property for the purpose for which it was first assigned.

### SECTION 3. PRIVATE USE OF UNIVERSITY PROPERTY FORBIDDEN

No one connected with the University in any capacity shall use for any personal purpose any <u>uUniversity</u> property of whatever description, and no one shall be permitted to remove from the buildings or grounds any property belonging to the University, even though it may seem to be of no value, unless it be temporarily removed pursuant to some well-established regulation, or with the approval of the appropriate chancellor or the <u>vice</u> <u>president/chief financial officer</u> for administration in the instance of <u>uUniversity-level</u> property.

# SECTION 4. NAMING OF UNIVERSITY FACILITIES AND PROGRAMS; COMMEMORATIVE PLAQUES AND TABLETS

- (a) University "Facilities" refers to any building, structure, street, drive, landscaped area, open space, physical improvement, or other property under the administrative control of the University. "Programs" refers to any academic or non-academic program, school, college, institute, center, etc., but does not include endowed faculty positions such as chairs or professorships.
- (b) Insofar as consistent with State law, the naming of University Facilities and Programs lies within the authority of the Board of Trustees and shall require Board approval except as specifically described in paragraph (e) below.
  - (c) University Facilities and Programs may be named for the following:
- (1) Donors who have made substantial contributions toward financing the construction, renovation or remodeling of a Facility or an addition thereto; or establishing, advancing or maintaining a Program;
- (2) An honoree nominated by such a donor and approved by the Board of Trustees:
- (3) Distinguished persons in the public life of the State of Illinois or the nation;
  - (4) Distinguished former members of the Board of Trustees; or

- (5) Former members of the University, faculty, staff or administration. In addition, the Board may approve the naming of a University Facility or Program in situations other than those described above when the Board, in its discretion, determines that the interests of the University are served in doing so.
- (d) Buildings should be named in such a way as to denote their general use; such names may include a designation such as auditorium, gymnasium, hall, laboratory, or school.
- (e) Notwithstanding the above provisions, commemorative plaques or tablets may be installed inside buildings near spaces such as rooms, lounges, laboratories, performance spaces and lecture halls in recognition of:
- (1) Distinguished members of the University faculty, staff, or administration whose services were identified with the functions of said spaces; or
- (2) Donors of funds (or an honoree designated by the donor) where the contribution was substantial in financing the renovation or remodeling of said spaces. Any such plaque or tablet must be approved by the appropriate chancellor but does not require Board approval.
- (f) The Chancellors may develop for their respective campuses procedures for internal review and approval prior to the submission of items to the Board for its consideration.