

# University Ethics Office

## 2011 Annual Report

March 15, 2012



**UNIVERSITY OF ILLINOIS**  
URBANA-CHAMPAIGN • CHICAGO • SPRINGFIELD

# Ethics Case Load - 2011

- Ethics Cases
  - 72 concerns required review, collaboration, investigation, follow-up, etc.
    - 8 involved requests from outside entities
      - Over 30 data requests
    - 2 were high profile cases with public reports
  - 26 additional concerns were formally addressed but did not require extensive follow-up

# Ethics Case Load - 2011

- In addition to formal concerns and cases –
  - Daily calls to the Ethics Toll-free Help Line: 1-866-758-2146
  - Daily emails to [ethicsofficer@uillinois.edu](mailto:ethicsofficer@uillinois.edu)
  - Inquiries/discussions regarding policies, procedures, advisory services, etc.

# Advisory Services

- Common Advisory Areas
  - Conflicts of Interest
    - Coordination with campus Purchasing and/or Vice Chancellor for Research
  - Gift Ban Restrictions
    - Gifts or vendor-paid travel, outings, conferences
  - Political Activity
  - Procurement Communications Reporting
  - University Policy - location and interpretation

# Executive Inspector General

- During 2011, the Inspector General received 15 complaints regarding the U of I
  - Less than 1 complaint per 1,000 employees
  - Other entities under the jurisdiction of the OEIG, experience approximately 18 complaints per 1,000

# Exposure

- Conference Presentations
  - Promoting University Ethics Office best practices
    - Selected to present at the Annual Higher Education Compliance Conference sponsored by the Society for Corporate Compliance and Ethics
      - “Maximum Efficiency on a Shoestring Budget: Making the Most of What you Have”
- President’s Task Force - Sexual Abuse and Harassment Prevention

# Procurement Communications Reporting

- Procurement Communications Reporting requirements effective January 1, 2011
  - Training sessions in procurement-heavy units/areas
    - Created new section on our website
      - FAQ's
      - Resource links
      - Training program
      - Helpful information and forms related to reporting

# Annual Training and Orientation

- Compliance rates of over 99.9% again in 2011
  - Nearly 49,00 employees completed annual training
  - Over 14,000 completed ethics orientation
- Community college interest in purchasing University of Illinois-developed program content
  - Small income-producing opportunity to assist in funding office needs



# Statements of Economic Interests

- Statements are being mailed by the Secretary of State this week
- Original forms due to the University Ethics Office prior to April 20, 2012

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