

University Ethics Office

2010 Annual Report

Presented March 23, 2011



UNIVERSITY OF ILLINOIS
URBANA-CHAMPAIGN • CHICAGO • SPRINGFIELD

2010 In Review

- Online ethics training program received outstanding feedback from all Illinois public universities
- For the U of I, annual ethics training was completed by 48,100 employees of all appointment classifications - over 99% of employees completed the required training
- The *Handbook for Good Ethical Practice* 6th edition was published via the Web

2010 In Review (cont'd)

- Announced Procurement Communications Reporting requirements of Senate Bill 51 and the related CMS online reporting system
- Presented at national conference for ethics and compliance officers
- Reduced FTE count in the University-wide office from three to two employees due to budget constraints

2011 Plans – Resources & Outreach

- Advisory venue for concerns
 - ethicsofficer@uillinois.edu
 - Toll Free Help Line – 866-758-2146
- University Ethics Office Web site
- *Ethics Matters* electronic newsletter
- Liaison to the Executive Inspector General
- Subject matter email communications to target audiences

2011 Plans – Procurement

- Procurement Communications Reporting requirements from Senate Bill 51 - effective January 1, 2011
 - Gain system access for 8,500+ employees
 - Develop further reporting guidance for communications received that are material to current or near future procurement decisions
 - Continue efforts to influence implementation and interpretive rules

2011 Plans - Statements

- Statement of Economic Interests forms
 - Identify population of employees meeting filing criteria and provide mailing addresses to the Secretary of State
 - Review over 8,000 completed forms before filing with the Secretary of State (filing window March 14 - May 2, 2011)

2011 Plans – Ethics Training

- Design content for 2011 ethics training documents and programs (used by nearly 100,000 employees statewide):
 - Board of Trustees
 - New hires
 - Seasonal/temporary employees and medical residents
 - Classroom training sessions
 - Faculty and staff online training
- Continue ethics training cost-sharing contract with all Illinois public universities

2011 Plans: Revolving Door

- Coordinate with University Administration to identify positions/individuals impacted by Revolving Door Prohibitions within the *Ethics Act*
- Provide necessary information to the Governor's Office allowing for employee notification and education
- Assist impacted employees with the determination process through the Office of Executive Inspector General

Trustee Compliance Requirements

- Statement of Economic Interests form – by May 2, 2011
- Annual ethics training
- Revolving Door Prohibitions – no determination or exception process for trustees
- Material procurement communications must be reported