



University Ethics Office

2013 Annual Report

March 6, 2014

Ethics Case Load - 2013

92 new concerns received and reviewed

- 47 required detailed review, collaboration, investigation, follow-up, etc.
 - Additionally, 3 significant concerns carried-over from 2012
- 14 data requests from the Executive Inspector General for the Agencies of the Illinois Governor (OEIG)
- 3 subpoenas involving thousands of pages
- 13 referrals for investigation from the OEIG
- 4 resource intense cases

Ethics Case Load - 2013

Ethics Cases (cont'd)

- 45 required only limited resources for follow-up

In addition to formal concerns and cases:

- Daily calls to Ethics Toll-free Help Line:
1-866-758-2146
- Daily emails to: ethicsofficer@uillinois.edu
- Inquiries/discussions regarding policies, procedures, advisory services, etc.

Advisory Services

Common Advisory Areas

- Conflicts of Interest
 - Coordination with Purchasing and/or VCs for Research
- Gift Ban Restrictions
 - Gifts
 - Vendor-paid travel, outings, conferences (Gift Ban - Educational Materials and Mission exceptions)
- Prohibited Political Activity
- Procurement Communications Reporting
- University Policy – location and interpretation
- General Office and Personnel Management

Collaboration & Exposure

- Orientation for New Deans
 - Office overview and scenario presentation in collaboration with University Audits
- UIC Athletics Gift Policy Training
- Procurement Communication Reporting Training
- Significant collaboration with Legal Counsel and Campus VCRs as a result of cases
- *Ethics Matters* publication – February 2013

Ethics Training

Compliance rates of over 99.9% again in 2013

- 49,100 employees completed annual training
- 14,700 completed new hire ethics orientation
- 8 employees remain noncompliant at March 4, 2014

Developed and provided high-level administrative support to all Illinois public universities on a fee-based contract

Statements of Economic Interests

- Statements will be mailed by the Secretary of State March 17th to approximately 9,900 U of I employees
- All BOT members are required to complete this form
- Original forms due to the University Ethics Office by April 18th

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