

APPROVED BY THE
COMMITTEE

MAY 05 2016


SECRETARY OF THE BOARD

MEETING OF THE GOVERNANCE, PERSONNEL, AND ETHICS COMMITTEE

OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS

MARCH 3, 2016

This meeting of the Governance, Personnel, and Ethics Committee of the Board of Trustees of the University of Illinois was held in Room 270, College of Pharmacy, 833 South Wood Street, Chicago campus, Chicago, Illinois, on Thursday, March 3, 2016, beginning at 1:00 p.m. Attendance via videoconference was also available in Room 1030, National Center for Supercomputing Applications, 1205 West Clark Street, Urbana campus, Urbana, Illinois; the Hatmaker Room, Room 550, Public Affairs Center, One University Plaza, Springfield campus, Springfield, Illinois; and Dean's Conference Room, 1601 Parkview Avenue, College of Medicine, Rockford, Illinois.

Trustee Patrick J. Fitzgerald, chair of the committee, convened the meeting and asked the clerk to call the roll. The following members of the committee were present: Mr. Patrick J. Fitzgerald, Dr. Timothy N. Koritz. Ms. Patricia Brown Holmes was absent. President Timothy L. Killeen attended via telephone.

Also present were Dr. Barbara J. Wilson, interim chancellor, Urbana campus, and interim vice president, University of Illinois; Dr. Michael D. Amiridis,¹ chancellor, Chicago campus, and vice president, University of Illinois; Mr. Walter K.

¹ Dr. Amiridis attended the meeting via telephone.

Knorr, comptroller (and vice president/chief financial officer); Dr. Lawrence B. Schook, vice president for research; Mr. Thomas R. Bearrows, University counsel; Dr. Susan M. Kies, secretary of the Board of Trustees and of the University; and Ms. Dedra M. Williams, secretary designate of the Board of Trustees and of the University. Attached is a listing of other staff members in attendance at this meeting, as well as some members of the media.

Mr. Fitzgerald asked if there were any comments or questions regarding the minutes from the meeting that was held on January 7, 2016, and there were none. He requested a motion to approve the minutes, and on motion of Dr. Koritz, seconded by Mr. Fitzgerald, the minutes were approved. There were no “nay” votes.

REVIEW OF RECOMMENDED BOARD ITEMS
FOR THE MEETING OF THE BOARD OF TRUSTEES
OF THE UNIVERSITY OF ILLINOIS ON MARCH 16, 2016

Mr. Fitzgerald introduced the recommended items within the purview of this committee on the Board’s agenda at its meeting on March 16, 2016. All recommended items discussed at the meeting are on file with the clerk.

Appointments to the Faculty, Administrative/Professional Staff,
and Intercollegiate Athletic Staff

Mr. Fitzgerald asked Dr. Kies to comment on the recommended appointments to the faculty, administrative/professional staff, and intercollegiate athletic staff. Dr. Kies explained that the appointments recommended in this item are thoroughly reviewed at the

appropriate levels within the college, department, and unit, and she asked that members of the committee contact her with any questions. She noted that the appointments listed under the Office of the Vice Chancellor for Health Affairs are employees who had been employed under the Office of the Vice President for Health Affairs, in University Administration. She indicated that these are being transferred into the Office of the Vice Chancellor for Health Affairs, reporting to the Chicago campus. There were no comments or questions regarding this recommendation.

Appoint Executive Director of Governmental Relations

Mr. Fitzgerald asked President Killeen to discuss the recommendation to appoint Ms. Lindsay Anderson as executive director, Office of Governmental Relations. President Killeen commented briefly on Ms. Anderson's background and experience and said he is pleased to recommend her appointment. There were no comments or questions regarding this recommendation.

PRESENTATIONS

Ethics Annual Report

Next, Mr. Fitzgerald asked Ms. Donna S. McNeely, executive director of ethics and compliance, to give an annual report from the Office of University Ethics and Compliance (materials on file with the clerk). Ms. McNeely said that this is the first full year having an active compliance function. She referred to Executive Order 15-09,

which she said further restricts gift acceptance by University employees, and she discussed the implications of this change. Ms. McNeely then reported on ethics training and described the types of training that are available, and she said that the compliance rate for 2015 is currently 99.9 percent. Next, Ms. McNeely described efforts related to the processing of Statements of Economic Interests and supplemental forms and referred to changes for 2016. She presented the ethics caseload and caseload analysis for 2015, and she and Mr. Fitzgerald discussed the increased caseload and personnel changes in the Illinois Office of the Executive Inspector General (OEIG). Ms. McNeely noted the excellent working relationship with the OEIG. Next, Ms. McNeely reported on compliance initiatives, including those related to Title IX, the Clery Act, and export controls. She also stated that staff in the Office of Ethics and Compliance serve on several committees throughout the University. Mr. Fitzgerald thanked Ms. McNeely for her presentation and said he is impressed by her diligence and integrity.

Legislative Update

Next, Mr. Fitzgerald asked Ms. Jennifer M. Creasey, assistant director of governmental relations, to give a legislative update. Ms. Creasey referred to the governor's recent budget address and potential reductions to higher education funding, and she gave an update on funding for the Monetary Award Program (MAP). She said the University is building on its advocacy work and is leading efforts to demonstrate the importance of

higher education in the State and the impact of the budget impasse. Ms. Creasey also discussed legislation affecting the University. Mr. Fitzgerald thanked her for the report.

The Underreporting of Violent Crimes in 2014 on the UIC Campus

At 1:29 p.m., Mr. Fitzgerald asked Mr. Mark Donovan, vice chancellor for administrative services, Chicago, to give a report on the underreporting of violent crimes in 2014 on the Chicago campus (materials on file with the clerk). Mr. Donovan referred to an investigation and report by NBC 5 Chicago that aired on February 6, 2016, which criticized the Clery Act and also alleged that the Chicago campus underreported crimes that occurred within campus boundaries. Mr. Donovan said that after a thorough review of crime incident reports and a geospatial analysis, it has been determined that there was not a discrepancy in the reporting of aggravated assaults and robberies. He indicated that there was an error in the reporting of motor vehicle thefts, and said that 12, rather than six, should have been reported. Mr. Donovan discussed the reasons for this error and said that measures have been taken to prevent future discrepancies. Brief discussion followed regarding the University's response to the news report and the limitations of the Clery Act. Mr. Fitzgerald thanked Mr. Donovan and his team for their work.

Freedom of Information Act 2015 Year-End Review

Next, Mr. Fitzgerald asked Mr. Thomas P. Hardy, executive director for University relations, to give a Freedom of Information Act 2015 Year-End Review (materials on file

with the clerk). Mr. Hardy discussed increases in Freedom of Information Act (FOIA) requests and said that 1,003 requests were received in 2015, a 17 percent increase from 2014 and a 62 percent increase from 2013. He reported on the number of pages processed and said that request volume resulted from media coverage of some events that occurred at the University throughout the year. Mr. Hardy described the types of requests that were received and the volume of documents processed. He also commented on the work generated by recurrent requestors that use the Freedom of Information Act request process as a mechanism to disrupt the University's work. Mr. Hardy then gave an overview of the total number of Freedom of Information Act requests and also reported on requests by campus, requestor type, and media outlet. Mr. Fitzgerald thanked Mr. Hardy for the report and said he appreciated his hard work and patience.

OLD BUSINESS

There was no business presented under this aegis.

NEW BUSINESS

Mr. Fitzgerald referred to the trustee self-evaluation results, which he said have been positive. He said that some suggestions related to requests for additional training will be discussed with Dr. Kies, and Dr. Kies indicated that another survey will be distributed to assess additional training needs. Dr. Koritz and Dr. Kies briefly discussed the possibility of sending new trustees to the Association of Governing Boards of Universities and

Colleges (AGB) conference, and Dr. Kies said that budget issues did not make that possible this year.

Mr. Fitzgerald announced that the next meeting of this committee is scheduled for Thursday, May 5, 2016, at 1:00 p.m.

MEETING ADJOURNED

On motion of Dr. Koritz, seconded by Mr. Fitzgerald, the meeting adjourned at 2:00 p.m.

There were no "nay" votes.

Respectfully submitted,



SUSAN M. KIES
Clerk



PATRICK J. FITZGERALD
Chair



EILEEN B. CABLE
Assistant Clerk

