

APPROVED BY THE
COMMITTEE

MAY 10 2017

Alden M. Williams
SECRETARY OF THE BOARD

MEETING OF THE GOVERNANCE, PERSONNEL, AND ETHICS COMMITTEE

OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS

MARCH 14, 2017

This meeting of the Governance, Personnel, and Ethics Committee of the Board of Trustees of the University of Illinois was held in Illini Rooms B and C, Illini Union, 1401 West Green Street, Urbana, Illinois, on Tuesday, March 14, 2017, beginning at 2:06 p.m.

Trustee Patrick J. Fitzgerald, chair of the committee, convened the meeting and asked the clerk to call the roll. The following members of the committee were present: Mr. Patrick J. Fitzgerald, Dr. Timothy N. Koritz, Ms. Jill B. Smart. Mr. Jauwan Hall, student trustee, Chicago, and Mr. Nathan C. Hoffman, student trustee, Springfield, were absent. Mr. Ramón Cepeda and Mr. Edward L. McMillan, trustees, and Mr. Collin T. Schumock, voting student trustee, Urbana, attended the meeting as guests. President Timothy L. Killeen was in attendance.

Also present were Dr. Barbara J. Wilson, executive vice president and vice president for academic affairs; Dr. Robert J. Jones, chancellor, University of Illinois at Urbana-Champaign, and vice president, University of Illinois; Dr. Michael D. Amiridis, chancellor, University of Illinois at Chicago, and vice president, University of Illinois; Dr. Susan J. Koch, chancellor, University of Illinois at Springfield, and vice president, University of Illinois; Mr. Walter K. Knorr, comptroller (and vice president/chief

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financial officer); Mr. Thomas P. Hardy, executive director for University relations; and Ms. Dedra M. Williams, secretary of the Board of Trustees and of the University.

Attached is a listing of other staff members in attendance at this meeting.

Mr. Fitzgerald asked if there were any comments or questions regarding the minutes from the meeting that was held on January 18, 2017, and there were none. He requested a motion to approve the minutes, and on motion of Dr. Koritz, seconded by Ms. Smart, the minutes were approved. There were no “nay” votes.

REVIEW OF RECOMMENDED BOARD ITEMS
FOR THE MEETING OF THE BOARD OF TRUSTEES
OF THE UNIVERSITY OF ILLINOIS ON MARCH 15, 2017

Mr. Fitzgerald introduced the recommended items within the purview of this committee on the Board’s agenda at its meeting on March 15, 2017. All recommended items discussed at the meeting are on file with the clerk.

Appointments to the Faculty, Administrative/Professional Staff,
and Intercollegiate Athletic Staff

Mr. Fitzgerald asked Ms. Williams to comment on the recommended appointments to the faculty, administrative/professional staff, and intercollegiate athletic staff. Ms. Williams explained that the appointments recommended in this item are thoroughly reviewed at the appropriate levels within the college, department, and unit, and she asked that members of the committee contact her with any questions. There were no comments or questions regarding this recommendation.

Appoint Vice Chancellor for Advancement, Urbana,
and Senior Vice President, University of Illinois Foundation

Mr. Fitzgerald asked Dr. Jones to report on the recommendation to appoint Mr. Barry D. Benson as vice chancellor for advancement at Urbana and senior vice president of the University of Illinois Foundation. Dr. Jones described Mr. Benson's background and experience and reviewed some details of the appointment.

Appoint Interim Vice Chancellor for Administrative Services, Chicago

Mr. Fitzgerald then asked Dr. Amiridis to comment on the recommendation to appoint Dr. Michael Landek, presently executive associate vice chancellor for administrative services, Chicago, as interim vice chancellor for administrative services, Chicago. Dr. Amiridis described Dr. Landek's background and experience and said he will succeed Mr. Mark Donovan, who retired in March. Dr. Amiridis said he expects this to be a short assignment and that a national search will be conducted to fill the position long-term.

PRESENTATIONS

2016 Annual Report – University Ethics and Compliance Office

Next, Mr. Fitzgerald asked Ms. Donna S. McNeely, executive director of ethics and compliance, to give the 2016 annual report from the University Ethics and Compliance Office (materials on file with the clerk). Ms. McNeely listed the ethics and compliance

staff and highlighted new training programs made available in 2016. She also discussed new and amended legislation that impacted compliance topics across the University of Illinois System. She discussed annual ethics training and the processing of statements of economic interests, and she reported on new sexual misconduct prevention and reporting training for employees and students. Committee members discussed student participation rates, and Ms. McNeely reported that the training will be required for all students next year.

Ms. McNeely then commented on her office's role as liaison to the Illinois Executive Inspector General, and she gave an update on internal ethics inquiries and investigations. She also described other activities and areas of focus for the University Ethics and Compliance Office and highlighted a series of videos that were created by the office to provide guidance on various topics in five minutes or less. She showed one of these videos, which provides guidance on gift acceptance.

Enterprise Risk Management – Mitigating Key Risks

Next, Mr. Fitzgerald asked Ms. Joda Morton, associate director of enterprise risk management, to give a presentation on enterprise risk management and mitigating key risks (materials on file with the clerk). President Killeen commented on a new approach toward enterprise risk management and the creation of a risk management council, as well as the adoption of a mitigation strategy. Ms. Morton reviewed the Enterprise Risk Management (ERM) process and stakeholder roles. She referred to executive level

discussions and reviewed members of the executive risk management council. Ms. Morton then described a risk ranking exercise and listed key enterprise risk areas, and she presented a mitigation analysis framework and process outcomes. She commented on the benefits of this analysis and referred to an ongoing annual process. President Killeen said this effort is being taken to a new level and gave examples of how this strategy is being implemented throughout the University system. Mr. Fitzgerald and Dr. Koritz expressed support for this approach.

Legislative Update

Next, Mr. Fitzgerald asked Ms. Lindsay K. Anderson, executive director of governmental relations, to give a legislative update. Ms. Anderson referred to a busy legislative session and ongoing State budget discussions. She told the committee that despite an unclear outlook, her office continues to advocate for the University and showcase the great work being done by the University. Ms. Anderson reported that the University of Illinois Investment, Performance, and Accountability Commitment (IPAC) has been refiled and has been well received. She also commented on changes in the federal government and immigration issues that affect students and faculty. Committee members discussed the impact on students and faculty of H1B visa restrictions and limitations on entry into the United States from certain countries.

Freedom of Information Act Requests

Mr. Fitzgerald then asked Mr. Hardy to comment on the Freedom of Information Act 2016 Year-End Review, which had been provided to members of the committee. Mr. Hardy reported on 2016 Freedom of Information Act requests and said that the University received 766 requests last year, which were 230 less than the record 1,000 received in 2015. He told the committee that while the number of requests decreased, the number of pages of records produced was the highest yet. He also reported on some personnel changes in the Office for University Relations, and he thanked Ms. Kathy McCarthy, associate director, FOIA administration, who he said will be leaving the University.

OLD BUSINESS

There was no business presented under this aegis.

NEW BUSINESS

Mr. Fitzgerald commented on the results of the trustee self-evaluation and said a summary had been distributed to trustees. There was discussion about the metrics used in the reporting, and Ms. Williams said she would investigate and report back. Committee members also discussed suggestions related to the communication of follow up on Board items or issues. Mr. Fitzgerald also noted that the trustee expectations document, which has not been updated since 2012, will be evaluated by the committee.

Mr. Fitzgerald announced that the next meeting of this committee is scheduled for Wednesday, May 10, 2017, at 9:30 a.m.

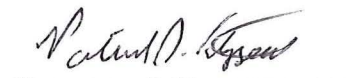
MEETING ADJOURNED

On motion of Ms. Smart, seconded by Dr. Koritz, the meeting adjourned at 2:50 p.m.

There were no "nay" votes.

Respectfully submitted,


DEDRA M. WILLIAMS
Clerk


PATRICK J. FITZGERALD
Chair


EILEEN B. CABLE
Assistant Clerk