

MAY 16 2018

*Aletha M. Williams*  
SECRETARY OF THE BOARD

MEETING OF THE GOVERNANCE, PERSONNEL, AND ETHICS COMMITTEE

OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS

MARCH 14, 2018

This meeting of the Governance, Personnel, and Ethics Committee of the Board of Trustees of the University of Illinois was held in Illini Rooms B and C, Illini Union, 1401 West Green Street, Urbana, Illinois, on Wednesday, March 14, 2018, beginning at 2:00 p.m.

Trustee Patrick J. Fitzgerald, chair of the committee, convened the meeting and asked the clerk to call the roll. The following members of the committee were present: Mr. Patrick J. Fitzgerald; Dr. Timothy N. Koritz; Mr. Edward L. McMillan; Ms. Jill B. Smart; Mr. Trayshawn M. W. Mitchell, student trustee, Urbana; Ms. Karina Reyes, voting student trustee, Chicago; and Mr. Edwin Robles, student trustee, Springfield. Dr. Stuart C. King joined the meeting as a guest. President Timothy L. Killeen was in attendance.

Also present were Dr. Barbara J. Wilson, executive vice president and vice president for academic affairs; Dr. Robert J. Jones, chancellor, University of Illinois at Urbana-Champaign, and vice president, University of Illinois; Dr. Michael D. Amiridis, chancellor, University of Illinois at Chicago, and vice president, University of Illinois; Dr. Susan J. Koch, chancellor, University of Illinois at Springfield, and vice president, University of Illinois; Dr. Avijit Ghosh, interim comptroller (and vice president/chief

financial officer); Dr. Edward Seidel, vice president for economic development and innovation; Mr. Thomas R. Bearrows, University counsel; Mr. Thomas P. Hardy, executive director for University relations; and Ms. Dedra M. Williams, secretary of the Board of Trustees and of the University. Attached is a listing of other staff members in attendance at this meeting.

Mr. Fitzgerald asked if there were any comments or questions regarding the minutes from the meeting that was held on January 17, 2018, and there were none. He requested a motion to approve the minutes, and on motion of Ms. Smart, seconded by Ms. Reyes, the minutes were approved. There were no “nay” votes.

REVIEW OF RECOMMENDED BOARD ITEMS  
FOR THE MEETING OF THE BOARD OF TRUSTEES  
OF THE UNIVERSITY OF ILLINOIS ON MARCH 15, 2018

Mr. Fitzgerald introduced the recommended items within the purview of this committee on the Board’s agenda at its meeting on March 15, 2018. All recommended items discussed at the meeting are on file with the clerk.

Appointments to the Faculty, Administrative/Professional Staff,  
and Intercollegiate Athletic Staff

Mr. Fitzgerald asked Ms. Williams to comment on the recommended appointments to the faculty, administrative/professional staff, and intercollegiate athletic staff. Ms. Williams explained that the appointments recommended in this item are thoroughly reviewed at the appropriate levels within the college, department, and unit, and she asked that members

of the committee contact her with any questions. There were no comments or questions regarding this recommendation.

Approve Restatement of 403(b) Plan Document to Incorporate Current Plan Document and Amendments One, Two, and Three, into the IRS 403(b) Pre-Approved Plan Provisions

Mr. Fitzgerald asked Ms. Jami M. Painter, interim associate vice president for human resources, to discuss the recommendation to approve the restatement of the 403(b) plan document and amendments one, two, and three, into the IRS 403(b) pre-approved plan provisions. Ms. Painter reviewed the proposed changes and noted that these include changes required by the Internal Revenue Service by the year 2020. She told the committee that the plan document was last updated in 2009, and she said the same outside law firm was consulted in preparation of these recommended changes.

Appoint Vice Chancellor for Administrative Services, Chicago

Next, Mr. Fitzgerald asked Dr. Amiridis to comment on the recommendation to appoint Mr. John Coronado as vice chancellor for administrative services, Chicago. Dr. Amiridis said that Mr. Coronado currently serves as project management analyst at the United States Department of Energy, and he described Mr. Coronado's background and experience.

There were no objections to the items listed above going forward to the full Board for approval.

## PRESENTATIONS

## 2017 Annual Report: University Ethics and Compliance Office

Mr. Fitzgerald invited Ms. Donna S. McNeely, executive director of ethics and compliance, to give the 2017 annual report from the University Ethics and Compliance Office (materials on file with the clerk). Ms. McNeely first gave an overview of the Ethics and Compliance Office and its staff. She described new issues addressed by the Ethics Office and provided information on the oversight and review of filings and communications related to Statements of Economic Interests and Supplemental Statements of Economic Interests. Ms. McNeely reported on annual ethics training and sexual misconduct prevention and reporting training, which are provided by the Ethics Office. She also discussed ethics related inquiries and investigations, compliance focus areas, and other responsibilities of the office. Ms. McNeely said that the Ethics Office responds to inquiries via phone or e-mail, and that this is unique among medium and large universities. She said the Ethics Office at the University of Illinois is the only institution in the Big Ten that manages its own ethics line and e-mail.

Mr. McMillan inquired about consequences for employees who do not complete mandatory training provided by the Ethics Office, and Ms. McNeely referred to several consequences, including the levying of fines and potential dismissal. Ms. Smart referred to concerns that were discussed at the last meeting of this committee regarding sexual harassment and misconduct prevention training for students and said that the 10

universities she contacted require all students to complete sexual harassment and misconduct prevention training and impose consequences for students who do not comply. Ms. Smart asked for an update on the approach to training for students, and President Killeen said that the chancellors of the three universities are working toward a mandatory approach and that a plan will be made available on April 15, 2018. He said the chancellors have asked to somewhat tailor the plans for each university and that this approach will likely take effect September 30, 2018.

Committee members also briefly discussed the European Union's data protection directive and its impact on the University.

#### Legislative Update

Next, Mr. Fitzgerald asked Ms. Jennifer M. Creasey, director of State relations, Office of Governmental Relations, to give a legislative update. Ms. Creasey discussed activities at the State level and said that the spring session is underway. She referred to the governor's budget proposal, which includes funding for the Discovery Partners Institute and maintains the University's current appropriation. Ms. Creasey said the Office of Governmental Relations is advocating for additional funding for the University and for Investment, Performance, and Accountability Commitment (IPAC) legislation, and she told the committee that Northern Illinois University has also signed on to IPAC. She reported that the University of Illinois Caucus is advocating for the University's legislative agenda, and she referred to several activities promoting the University. Ms.

Creasey showed a video from Illinois Connection demonstrating the importance of advocacy work, and she reported on the establishment of the Council on Government and External Relations. At the federal level, Ms. Creasy said the Fiscal Year 2019 appropriation process has begun, and she referred to advocacy work for research funding. She also reported on legislation that would make higher education less affordable and accessible and the response from University advocates through Illinois Connection's call to action. Committee members discussed the potential shift in pension costs to the University, as well as the legislation that mandates posting of performance evaluations of top administrators.

#### Freedom of Information Act Annual Report

Mr. Fitzgerald asked Mr. Hardy to comment on the Freedom of Information Act Annual Report, which was included in materials provided to members of the committee (materials on file with the clerk). Mr. Hardy briefly summarized the report and said that the Office of University Relations responded to 821 Freedom of Information Act requests in 2017, which was a slight increase from 2016. Mr. Hardy reported on the number of pages provided and category of requestor. He discussed new topics that have been the subject of FOIA requests and gave some additional information about the requests, and he indicated that additional details are included in the report.

OLD BUSINESS

There was no business presented under this aegis.

NEW BUSINESS

There was no business presented under this aegis.

MEETING ADJOURNED

On motion of Ms. Reyes, seconded by Mr. Mitchell, the meeting adjourned at 2:47 p.m.

There were no “nay” votes.

Respectfully submitted,

DEDRA M. WILLIAMS  
*Clerk*

PATRICK J. FITZGERALD  
*Chair*

EILEEN B. CABLE  
*Assistant Clerk*

