

University Ethics Office

2012 Annual Report

March 7, 2013



UNIVERSITY OF ILLINOIS
URBANA-CHAMPAIGN • CHICAGO • SPRINGFIELD

Ethics Case Load - 2012

- Ethics Cases

- 92 total cases/concerns reviewed

- 61 concerns received required detailed review, collaboration, investigation, follow-up, etc.

- 17 data requests from outside agencies

- » Over 26 gig of email and over 4,200 document pages

- 18 referrals for investigation from the Executive Inspector General for the Agencies of the Illinois Governor

- 1 high profile case resulting in a public report

- 5 very large cases with respect to resources

- » Over 33 gig of email reviewed

- » Over 20,000 document pages reviewed

Ethics Case Load - 2012

- Ethics Cases (cont'd)
 - 31 additional concerns were received and addressed but did not require extensive resources
- In addition to formal concerns and cases –
 - Daily calls to the Ethics Toll-free Help Line: 1-866-758-2146
 - Daily emails to ethicsofficer@uillinois.edu
 - Inquiries/discussions regarding policies, procedures, advisory services, etc.

Advisory Services

- Common Advisory Areas
 - Conflicts of Interest
 - Coordination with Purchasing and/or VCs for Research
 - Gift Ban Restrictions
 - Gifts
 - Vendor-paid travel, outings, conferences
 - Political Activity
 - Procurement Communications Reporting
 - University Policy - location and interpretation

Exposure

- Presentations
 - UIUC College of Business
 - Ethics Week Speaker, MBA Guest Lecturer
- Society of Corporate Compliance and Ethics
 - Opening session panelist and course presenter
- Sexual Abuse and Harassment Prevention Task Force
 - Policy evaluation and development
 - Identification of training vendor for AN CRA (abuse of minors), and development/testing of training

Annual Training and Orientation

- Compliance rates of over 99.9% again in 2012
 - 48,600 employees completed annual training
 - Over 14,000 completed ethics orientation
- Develop and provide high level administrative support to the other Illinois public universities on a fee-based contract.
- Continuing to cultivate community college interest in purchasing University of Illinois-developed program content.

Statements of Economic Interests

- Statements are being mailed by the Secretary of State March 15th
- All BOT members included in this population
- Original forms due to the University Ethics Office prior to April 19, 2013

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