

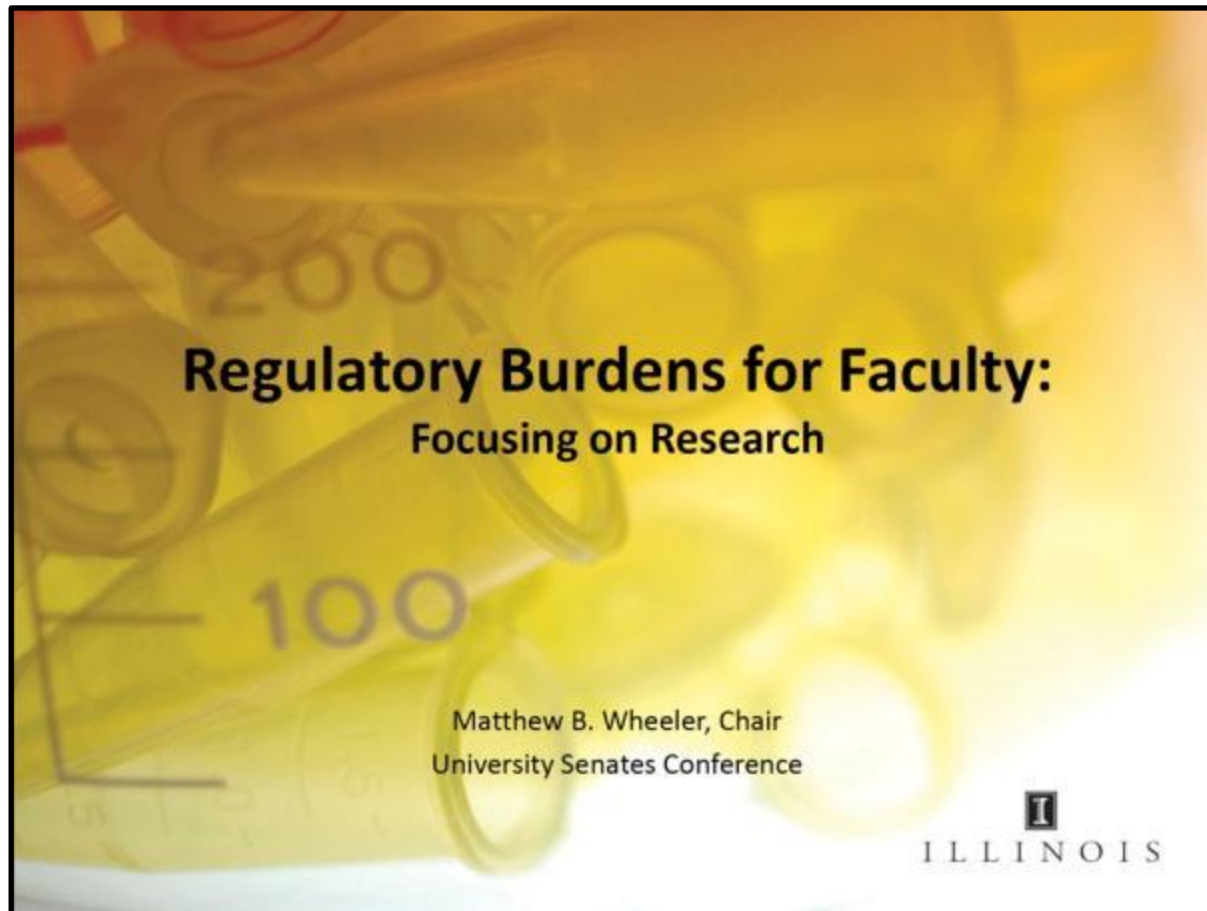
The background of the slide features several petri dishes containing bacterial cultures. The cultures are illuminated with a blue light, causing them to glow. Some dishes show distinct patterns of growth, while others are more densely populated. The dishes are arranged in a slightly overlapping manner, creating a sense of depth. The overall aesthetic is scientific and modern.

# REGULATORY BURDENS FOR FACULTY:

*An update on progress and areas of continued concern*

Matthew B. Wheeler, Chair  
UIUC Academic Senate Executive Committee

# The status in March 2011



# Status in March 2011

## Regulatory burdens from several sources: Paperwork and Bureaucracy

- Differences in Agency Requirements
- Audit Fears
- Federal Regulations
- Safety – Biological, Chemical, Radiation, General Lab Safety, etc.
- Export Controls

## What is under University control?

- Institutional Requirements - Pre- and post-award, OTM, hiring,
- Technology (or lack thereof)
- Expectations (of time and effort)

# Easing the Burden

## Three areas of focus

- Research Compliance
- Conflict of Commitment and Interest
- Procurement





# RESEARCH COMPLIANCE

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## Addressing the Challenges

- Huron Consulting conducted analysis of research admin in Spring 2011
  - *Focused on pre-award and select compliance processes*
  - *Also defined key IT requirements for RFP for Research Admin Software*
  - *Final report has 18 recommendations*
  - *To date, a number of recommendations are in the process of implementation (Rec. #4 UIUC Campus Administrative Manual-Research Compliance (i.e. research, safety, human subjects etc., are undergoing revision; Rec. #5, assist Investigators with grant submission; Rec. #13) others are yet to be implemented (i.e. Rec. #1 have not gotten very far due to lack of a process to do such; not begun Rec. #8 or #15 at this point)*
  - *building electronic protocol submission for IRB and IACUC*
- OVPR to lead task force to expand upon and implement Huron recommendations
  - *Committee members identified, including VCRs and faculty members from each campus*
  - *Will benchmark and I.D. best practices*
- RFP issued for Research Administration Application Suite Components
  - *Focused on pre-award and select compliance processes*
  - *Massive, multi-year undertaking*

- Research Administration Application Suite

### Software and Integration for:

- Proposal development
- Award Tracking
- PI Portal or Dashboard
- Conflict of Commitment and Interest Compliance
- Animal Care, Biosafety, and Review Board Compliance

### Project status

- RFP released December 2011
- Ratings have been collected but extra efforts underway to engage faculty feedback.



# CONFLICT OF COMMITMENT & INTEREST



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## Revisions and Updates

- Revised policy approved by BoT July 19
- New RNUA/COCI Section on OVPR Website
  - provides access to policy and materials in a central, easily navigable location
  - provides answers and addresses collective concerns
  - promotes transparency by providing clear explanation for rationale behind policy revisions
  - strengthens disclosure process for new employees and provide basic guidelines for HR and business staff responsible for new hire paperwork
  - UIUC built and implemented internal system to deal with new HHS regulations, new system is for training and process management of these new requirements.
- Research Admin Software suite will align processes across the three campuses and provide a web-based system to report/update conflict list  
***(this is likely to be the most difficult part as the individual campuses want to do these processes their specific way, three separate systems or one system?)***



# PROCUREMENT

# Procurement

## **Governmental Relations has been working to address state procurement challenges**

- They have achieved some relief at the edges (i.e., Krannert Center, Assembly Hall, Library)
- Continues to be a burden for faculty and remains an items of great concern

# Final Thoughts

## Additional Comments

- Some hiring processes have been streamlined, reducing paperwork
- Issue of Academic vs. Civil Service Employees (Civil Service Merit Board) presents a real concern
- Pension issues