MEETING OF THE BOARD OF TRUSTEES

OF THE

UNIVERSITY OF ILLINOIS

February 8, 1996



The February meeting of the Board of Trustees of the University of Illinois was held in Room C, Chicago Illini Union, Chicago, Illinois, on

Thursday, February 8, 1996, beginning at 11:40 a.m.¹

Chair Thomas R. Lamont called the meeting to order and asked the secretary to call the roll. The following members of the board were present: Dr. Gloria Jackson Bacon, Mrs. Judith Ann Calder, Mr. William D. Engelbrecht, Dr. Jeffrey Gindorf, Mrs. Susan L. Gravenhorst, Mr. Thomas R. Lamont, Ms. Ada N. Lopez, Mrs. Martha R. O'Malley, Ms. Judith R. Reese. Governor Jim Edgar was absent. The following nonvoting student trustees were present: Mr. Neil J. Malone, Springfield campus; Mr. Roy Mathew, Chicago campus; Mr. Chapin Rose,² Urbana-Champaign campus.

¹ Prior to the start of this board meeting, the Committee on the University Hospital and Clinics met to receive presentations concerning the University Hospital and Clinics. Dr. R. K. Dieter Haussmann, vice chancellor for health services at the Chicago campus, reported on the status of the reorganization of the UIHMO and marketing efforts underway to targeted populations, relationships with the Advocate Health Care organization, and the status of work toward securing a "Certificate of Need" for constructing a new ambulatory care facility. In addition, he reported data regarding the second quarter performance of the hospital and discussed the two health services items that appear in the regular agenda for this meeting; a proposed contract for information systems consultation, and a cooperative Educational Master Agreement with Cook County Hospital.

² Mr. Rose joined the meeting at 1:20 p.m.

Also present were President James J. Stukel; Dr. Sylvia Manning, vice president for academic affairs; Dr. Michael Aiken, chancellor, University of Illinois at Urbana-Champaign; Dr. David C. Broski, interim chancellor, University of Illinois at Chicago; Dr. Naomi B. Lynn, chancellor, University of Illinois at Springfield; and the officers of the board, Dr. Craig S. Bazzani, comptroller (and vice president for business and finance); Mr. Byron H. Higgins, university counsel; Mr. Lester H. McKeever, Jr., treasurer; and Dr. Michele M. Thompson, secretary. In addition, the following persons were also in attendance: Mr. Donald K. Coe, university director of public affairs; Mr. Kirk Hard, associate president for governmental relations; Ms. Susan J. Sindelar, special assistant to the president; and Ms. Marna K. Fuesting, special assistant to the secretary.

EXECUTIVE SESSION

Chair Lamont, referring to Section Two of the Open Meetings Act, stated: "A motion is now in order to hold an executive session to consider information regarding the appointment, employment, or dismissal of employees or officers, to discuss pending, probable, or imminent litigation, the acquisition of real property, to discuss campus security, and to receive legal advice from counsel."

The motion was made by Mrs. Gravenhorst and approved by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew; no, none; absent, Mr. Rose.)

Report from Chair of Search Committee for Chancellor, Chicago Campus

At this time, Dr. John Solaro, chair for the Search Committee for a Chancellor, Chicago campus, joined the board and the University officers. He reported that the committee had winnowed the list of nominees and applicants to 14 and that the committee was in the process of requesting references on these individuals. He indicated that the committee would meet again after the references were in to reduce the list further and then attempt to interview this number. He stated that he hoped to report to the president a list of candidates for the position prior to the March board meeting.

Report from Chancellor, Urbana Campus

Chancellor Aiken reported on searches for administrative positions at the Urbana campus. He told the board members that he would recommend Patricia Askew to them for the position, vice chancellor for student affairs. He then noted that the search for a dean of the College of Agriculture, Consumer and Environmental Sciences was concluding and that a search for a dean of the College of Fine and Applied Arts

was just beginning.

Dr. Aiken then indicated that he had a matter concerning potential land acquisition to discuss with the board. He explained that he and other administrators on the campus believed that the time was auspicious for developing the Master Plan of the Urbana campus and that as land within this plan's boundaries became available it would be helpful if it could be acquired. He then told the board that some of this land should be used for fulfilling one of the intents of the Master Plan, which is development of facilities with corporate partners for joint purposes. These would include internships for students, employment opportunities for some students, and joint research activities for faculty and graduate students.

Report from Chancellor, Chicago campus

Dr. Broski reported that searches for a vice chancellor for student affairs would be concluded soon as well as that for a dean of the Honors College and a dean of the College of Nursing. He noted that the search for a dean for the College of Urban Planning and Public Affairs was on-going and that the search for a director of the Division of Intercollegiate Athletics was just getting started.

He also reported that the campus was delineating those items needed by the campus in order to develop the land south of Roosevelt Road for the campus. He indicated that legislation would undoubtedly be

needed to secure the powers needed for this development.

Report from Chancellor, Springfield Campus

Chancellor Lynn reported that two searches were still in process, the dean of the School of Public Affairs and the vice chancellor for student affairs.

Report from University Counsel

Mr. Higgins advised the trustees that there were no developments in the Tully case at this time. Mr. Engelbrecht asked when a decision could be expected. Mr. Higgins replied that this might be expected within the next several weeks.

EXECUTIVE SESSION ADJOURNED

There being no further business, the executive session was adjourned and the board recessed for lunch.

BOARD MEETING RECONVENED

The board reconvened in regular session at 2:20 p.m.

MINUTES APPROVED

The secretary presented for approval the press proof of the minutes of the Board of Trustees meeting of July 13, 1995, copies of which had previously been sent to the board.

On motion of Mrs. Gravenhorst, these minutes were approved.

BUSINESS PRESENTED BY THE PRESIDENT OF THE UNIVERSITY'

President Stukel recognized and introduced observers from the campus senates and from the University Senates Conference.2

The president then introduced Mr. Donald Prince, a member of the board of the Illinois Student Assistance Commission.

President Stukel then reported on his recent visit to Lake County, Illinois, to meet alumni, high school students, legislators, and community leaders. He turned to Mrs. Gravenhorst and asked that she comment on this visit. She said the presentations made by the president were excellent and all who met him were very pleased to have him in their community for a day.

Next, the president reviewed for the board the newly established Kellogg Commission on Higher Education. He explained that it was unusual for the Kellogg Foundation to lend their name to a national commission but that this group believed it very important that they become involved in topics key to higher education governance in this country. He went on to say that the work of this commission is to emphasize undergraduates and the experiences of those students in American land-grant universities in particular. He noted that the commission is also concerned about access to higher education and about financial aid. Further, the commission is interested in discovering how connected land-grant institutions are to their states and communities. Dr. Stukel explained that another stress of this group was on life-long learning and a learning society. He concluded this report by noting that this commission was going to study the culture of campuses in terms of reward systems.

Dr. Stukel then turned to a report of good news from the campuses. He told the trustees of a major breakthrough in cardiac surgery performed by Dr. Renee Hartz at the University Hospital. He then reported that Professor Frank J. Stevenson had received the 1995-96 Wolff Prize, stating that this was the top prize in agriculture in Israel. He then reported that a graduate student in gerontology at the Spring-

¹ Prior to this, the Committee on Buildings and Grounds met to receive a report on several selections of architects/engineers for projects at the Chicago and Urbana campuses and to review in the regular agenda that deal with issues within the purview of this committee.

A general discussion of the entire regular agenda to be presented later in the day followed.

2 University Senates Conference: William G. Jones, assistant university librarian and associate professor, University Library, Chicago; Chicago Senate: Donald Marshall, professor and head of English; Springfield Senate: Ronald Ettinger, professor, experimental studies; Urbana-Champaign Senate Council: Wesley D. Seitz, professor of agricultural and consumer sciences and professor in the Institute for Environmental Studies.

field campus has been honored for contributing conceptual ideas to that field.

The president then said that he wanted the board to know that 30 percent of the vendors doing business in aid of completing the Molecular Biology Building, to be dedicated later that day, were minority group members and another 10 percent of the vendors servicing this building project were women.

OLD BUSINESS

Mr. Lamont distributed the following list of membership for the standing committees of the Board of Trustees and representatives to external boards. Mr. Lamont had previously discussed these appointments with the board members. He also introduced Mr. Phillip Later, a guest at the board meeting.

Standing Committees

Academic Affairs

Judith R. Reese, Chair William D. Engelbrecht Susan L. Gravenhorst Ada N. Lopez Martha R. O'Malley

*Neil Malone

*Roy Mathew *Chapin Rose

Athletics

Susan L. Gravenhorst, Chair William D. Engelbrecht Jeffrey Gindorf Martha R. O'Malley

*Neil Malone

*Chapin Rose

Buildings and Grounds

Jeffrey Gindorf, Chair Gloria Jackson Bacon Judith Ann Calder Ada N. Lopez Judith R. Reese *Roy Mathew

Diversity

Ada N. Lopez, Chair Judith Ann Calder William D. Engelbrecht Jeffrey Gindorf Susan L. Gravenhorst Judith R. Reese *Neil Malone

Finance and Audit

Judith Ann Calder, Chair Gloria Jackson Bacon William D. Engelbrecht Jeffrey Gindorf Susan L. Gravenhorst

*Chapin Rose Student Affairs

Martha R. O'Malley, Chair Gloria Jackson Bacon Susan L. Gravenhorst Ada N. Lopez Judith R. Reese

*Neil Malone

*Roy Mathew *Chapin Rose

University Hospital and Clinics

William D. Engelbrecht, Chair Gloria Jackson Bacon Judith Ann Calder Jeffrey Gindorf Ada N. Lopez Judith R. Reese

Distinguished Service Medallion

Thomas R. Lamont, Chair Jeffrey Gindorf Susan L. Gravenhorst Ada N. Lopez Judith R. Reese

^{*} Nonvoting student trustee.

Representatives to External Boards

University of Illinois Foundation
Thomas R. Lamont

Civil Service Merit Board Jeffrey Gindorf Susan L. Gravenhorst Martha R. O'Malley University of Illinois Alumni Association
Susan L. Gravenhorst

NEW BUSINESS

There was no business presented under this aegis.

REGULAR AGENDA

The board considered the following reports and recommendations from the president of the University.

By consensus, the board agreed that one vote would be taken and considered the vote on each agenda item nos. 1 through 7 inclusive. The recommendations were individually discussed but acted upon at one time.

(The record of board action appears at the end of each item.)

Chair, Department of Pediatrics, College of Medicine at Rockford, Chicago

(1) The interim chancellor at Chicago, after consultation with the dean of the College of Medicine and with the Executive Committee of the department, has recommended the appointment of Lawrence D. Frenkel, presently professor, Departments of Pediatrics and Molecular Genetics and Microbiology and director, Division of Immunology, Allergy and Infectious Diseases at the University of Medicine and Dentistry of New Jersey, Robert Wood Johnson Medical School, New Brunswick, as chair of the Department of Pediatrics, College of Medicine at Rockford, beginning July 1, 1996, on a twelve-month service basis with an administrative increment of \$20,000. Dr. Frenkel will also be appointed as professor of pediatrics, College of Medicine at Rockford, on indefinite tenure on a twelve-month service basis with an annual salary of \$107,000 and as physician surgeon in pediatrics, College of Medicine at Rockford, on a twelve-month service basis with an annual salary of \$180,000.

Dr. Frenkel will succeed Dr. Donald H. Wortmann, who has served as chair since 1987. Dr. Wortmann will be retiring from the University.

This recommendation is made with the advice of a search committee, and after consultation with the faculty of the department. The vice president for academic affairs concurs.

¹ Ronald S. McCord, assistant professor of family and community medicine, College of Medicine at Rockford, chair; Frank Chmelik, physician surgeon and clinical associate professor, Department of Family and Community Medicine and clinical associate professor of medicine, College of Medicine at Rockford; Frederick W. Church, clinical assistant professor of surgery, College of Medicine at Rockford; Danton S. Dungy, M4 student; Billie S. Lin, family practice resident; Donald MacLean, associate professor and chair, Department of Psychiatry, and physician surgeon, College of Medicine at Rockford; Michael J. Potts, physician surgeon and assistant professor, Department of Pediatrics, and director, Division of Ambulatory Pediatrics, Department of Pediatrics, College of Medicine at Rockford.

I recommend approval.

On motion of Dr. Gindorf, this appointment was approved.

Appointments to the Faculty

(2) The following new appointments to the faculty at the rank of assistant professor and above, and certain administrative positions, have been approved since the previous meeting of the Board of Trustees.

Positions in the University are classified in the following categories and are

designated in the budget by the symbols indicated.

A - Indefinite tenure

N — Term appointment not eligible to be appointed for an indefinite term and not credited toward probationary period

Q — Initial term appointment for a professor or associate professor

Y — Twelve-month service basis

1-7 — Indicates the number of years of service which will be credited at the end of the contract period toward completion of the probationary period relating to tenure.

Figures following a symbol indicate percentage of time if the appointment is on a part-time basis (e.g., N75 means one year on three-fourths time).

Chicago

AIXA ALFONSO, assistant professor of biological sciences, beginning January 6, 1996 (1), at an annual salary of \$48,000.

GIANFRANCO BILARDI, professor of electrical engineering and computer science, January 6, 1996-August 31, 1999 (Q), at an annual salary of \$82,000.

Jaime Cazares, assistant professor of family and community medicine, on 51 percent time, and physician surgeon, on 49 percent time, College of Medicine at Rockford, and clinical assistant professor of family medicine, College of Medicine at Chicago, on zero percent time, beginning December 1, 1995 (NY51;NY49;N), at an annual salary of \$120,000.

ERMINIO COSTA, professor of psychiatry, on 90 percent time, scientific director, Psychiatric Institute, on 10 percent time, and professor of biochemistry, on zero percent time, College of Medicine at Chicago, beginning January 25, 1996

(AY90;NY10;NY), at an annual salary of \$150,000.

RAY GONZALEZ, assistant professor of English, on 50 percent time, and assistant professor, Latin American Studies Program, on 50 percent time, beginning

January 6, 1996 (1;1), at an annual salary of \$40,000.

RAMESHCHANDRA M. KAKAIYA, assistant professor of family and community medicine, on 51 percent time, and physician surgeon in family and community medicine, on 49 percent time, College of Medicine at Rockford, beginning December 1, 1995 (NY51;NY49), at an annual salary of \$110,000.

FABIEN P.H. KENIG, assistant professor of geological sciences, beginning January 6,

1996 (N), at an annual salary of \$43,500.

FRANCIS LOTH, assistant professor of mechanical engineering, beginning January 6, 1996 (N), at an annual salary of \$53,000.

HAZEL LUM, assistant professor of pharmacology, College of Medicine at Chicago, beginning January 1, 1996 (1), at an annual salary of \$40,910.

ARYE NEHORAI, professor of electrical engineering and computer science, beginning

January 6, 1996 (A), at an annual salary of \$85,000.

YOLANDA R. RENFROE, assistant professor of obstetrics and gynecology, on 55 percent time, physician surgeon in obstetrics and gynecology, on 23 percent time, and assistant director of Maternal Fetal Unit in Obstetrics and Gynecology, on 22 percent time, College of Medicine at Peoria, beginning January 1, 1996 (NY55;NY23;NY22), at an annual salary of \$200,000.

CHINNASWAMY TIRUPPATHI, assistant professor of pharmacology, College of Medicine at Chicago, beginning January 1, 1996 (1), at an annual salary of \$40,910.

CHARLES A. WALDHEIM, assistant professor, School of Architecture, beginning January 6, 1996 (N), at an annual salary of \$32,000.

Urbana-Champaign

MARK A. ASCHHEIM, assistant professor of civil engineering, beginning January 6, 1996 (N), at an annual salary of \$54,000.

James T. Campanelli, assistant professor of biochemistry, beginning January 6, 1996

(N), at an annual salary of \$43,000.

ANANDA ROOP GANGULY, assistant professor of accountancy, beginning November 28, 1995 (N), at an annual salary of \$85,000.

ROCHELLE GUTIERREZ, assistant professor of curriculum and instruction, beginning

January 6, 1996 (N), at an annual salary of \$41,000. PHILIP J. McConnaughay, associate professor, College of Law, November 15, 1995-

August 20, 2000 (Q), at an annual salary of \$70,000.

NADINE B. SARTER, assistant professor, Institute of Aviation, beginning January 6,

1996 (N), at an annual salary of \$54,800.

CYNTHIA W. TURNER, assistant professor of accountancy, beginning December 15,

1995 (N), at an annual salary of \$85,000.

PAUL M. VANCUTSEM, assistant professor of veterinary pathobiology, on 67 percent time, and assistant professor of pharmacology, University of Illinois College of Medicine at Urbana-Champaign, on 33 percent time, beginning January 6, 1996 (I;1), at an annual salary of \$47,025.

On motion of Dr. Gindorf, these appointments were confirmed.

Proposed Policy on Conflicts of Commitment and Interest

(3) The proposed *Policy on Conflicts of Commitment and Interest* was written by a faculty committee from the Chicago and Urbana-Champaign campuses. It will replace the current document that has been in effect since 1988. The impetus for this new policy was largely due to new Federal regulations that took effect October 1, 1995.

Upon approval of the Board of Trustees, this policy will be implemented at the Chicago and Urbana-Champaign campuses. Since the UIS faculty are organized under a collective bargaining agreement, this Policy on Conflicts of Commitment and

Interest will not cover the Springfield campus at this time.

This proposed policy has been approved by the Chicago and Urbana-Champaign Senates and by the University Senates Conference. The vice president for academic affairs recommends approval.

I concur.

On motion of Dr. Gindorf, this recommendation was approved.

University of Illinois Policy on Conflicts of Commitment and Interest

Table of Contents

- I. PREAMBLE
- II. OVERVIEW
- III. POLICY
 - A. Persons Covered

B. Definitions

- 1. conflict of commitment
- 2. conflict of interest
- 3. family
- 4. unit executive officer

C. General Principles

- 1. conflict of commitment principles
- 2. conflict of interest principles
- D. Specific Responsibilities
- E. Examples of Allowable Income-Generating Activities
- F. Examples of Non-allowable Activities or those Requiring Prior Approval and Potential Management

IV. PROCEDURES

- A. Disclosure of Non-University Activities
- B. Role of the Unit Executive Officer
- C. Management of Non-University Activities
- D. Approval/Denial of Non-University Activities
- E. Sanctions for Violation of This Policy
- F. Appeal

V. APPENDIX

I. PREAMBLE

Active participation by academic staff members in external activities that enhance their professional skills or constitute public service can be beneficial to the University as well as to the individual. Because such activities can lead to conflicts of commitment or interest with regard to one's University responsibilities, the need exists for a general framework against which the propriety and advisability of non-University activities can be measured and monitored.

This University of Illinois Policy on Conflicts of Commitment and Interest provides such a framework and identifies procedures for consultation and advice on conflicts of commitment or interest matters, for resolution of situations in which a conflict may exist, and for approval of exceptions when warranted. The Policy makes every effort to balance the integrity and interests of the University of Illinois with the integrity and interests of individual academic staff members. To that end, the Policy attempts not only to identify and eliminate or manage actual conflicts of commitment or interest but, whenever possible, to prevent even the appearance of conflicts. The Policy provides for remedies to manage conflicts constructively and for sanctions when the Policy is violated.

This Policy implements an Illinois law requiring University faculty members to obtain prior written approval before engaging in remunerated private consulting or research for external persons or organizations. It also implements various policies set forth in the University Statutes and The General Rules Concerning University Organization and Procedure. Finally, the Policy accommodates federal regulations designed to protect the integrity of federally funded research. Relevant excerpts from University, state, and federal documents appear in the Appendix.

II. OVERVIEW

- Each academic staff member must obtain prior written approval to engage in non-University income-generating activities, and must also disclose such activities annually, whenever a substantial change in such activities occurs, or when required by granting agencies.
- A conflict of commitment arises when the external activities of an academic staff member are so demanding of time or attention that they interfere with the individual's responsibilities to the University.
- A conflict of interest occurs when the academic staff member is in a position to advance one's own interests or that of one's family or others, to the University's detriment.
- The involvement of University students or employees in the external activities of the academic staff member must be disclosed and may require monitoring.
- The unit executive officer will work with the academic staff member to identify and evaluate potential conflicts and to manage or eliminate them.
- Reports of potential conflicts and remedies are reviewed on each campus by the Vice Chancellor for Research, who is advised by a Conflict Review Committee of three or more academic staff members.
- If remedies mutually satisfactory to the academic staff member and the University are not reached, the University may impose a sanction, subject to appeal.
- Sanctions for violating this Policy depend on the severity of the violation and range from reprimand to dismissal.
- An academic staff member may appeal a denial of approval for an external activity or a sanction.
- Final responsibility for this Policy rests with the President or the President's designee.
- Diligent efforts shall be made to maintain confidentiality of reports, remedies, and sanctions.

III. POLICY

A. Persons Covered

This Policy applies to all paid academic staff members, whether part time or full time, of the University of Illinois. The academic staff includes the faculty ranks of professor, associate professor, assistant professor (and all of the foregoing whose appointments contain such terms as "research," "adjunct," "visiting," or "clinical"), instructor, and lecturer, as well as academic professionals and postdoctoral associates. All covered persons are referred to herein as "academic staff members." For the purpose of this Policy, students, including medical residents, are not considered academic staff.

B. Definitions

1. A "conflict of commitment" exists when the external activities of an academic staff member are so substantial or demanding of the staff member's time and attention as to interfere with the individual's responsibilities to the unit to which the individual is assigned, to students, or to the University.

- 2. A "conflict of interest" arises when an academic staff member is in a position to influence either directly or indirectly University business, research, or other decisions in ways that could lead to gain for the academic staff member, the staff member's family, or others to the detriment of the University's integrity and its missions of teaching, research, and public service.
- 3. "Family," for the purposes of this Policy, includes one's spouse and children.
- 4. The "unit executive officer" means the department head/chair, or equivalent officer of other units, in whom primary authority resides. For conflicts involving a unit executive officer, the term refers to the administrator at the next higher level in the normal reporting lines.

C. General Principles

Conflicts of commitment and interest occur because of the type and scope of activities engaged in by the University and its academic staff members. The mere existence of a conflict, real or potential, will not necessarily exclude a particular activity since conflicts can span a wide spectrum, from those that are minor and inconsequential to those that have serious consequences and cannot be permitted. The University and its academic staff members have ethical and legal obligations to conduct themselves and their University activities in accordance with the highest standards of integrity.

Although teaching and research are primary functions of the University, public service is an inherent responsibility. Employee contributions to his/her profession and to the citizens and economic development of the State of Illinois are not solely represented by functions and activities performed on behalf of University programs.

Active participation by academic staff members in outside activities that enhance the professional skills of staff members, or constitute a public service activity are encouraged. For example, donation of professional services to outside organizations and participation in professional societies, for reasonable periods of time without substantial allocation of University resources, are promoted. However, the University expects academic staff members to accord a full professional commitment to the University during the terms of appointment by meeting University obligations first and foremost.

For reporting and review purposes, conflicts of commitment only occur during the contract period; conflicts of interest can occur beyond the contract period.

1. Conflict of Commitment Principles

Interaction between academic staff members and external entities for reasonable periods of time and for personal remuneration is desirable and encouraged when the relationship enhances the professional skills of staff members, or constitutes a public service activity and is a benefit to the University. The time allowable will vary among individuals, from discipline to discipline, from one type of proposed activity to another, and will be affected by specific departmental needs to meet teaching, research, service, and governance functions. As a practical guide and subject to prior approval, the University may approve the equivalent of up to one day per week for full-time faculty (40 days per academic year appointment and 52 days per calendar year appointment). Such released

¹ For example, in the case of a contract that requires service over 9 months but is paid over 12 months, conflict of commitment is relevant only during the 9-month service period.

time is not an automatic entitlement and requires prior written approval by the unit executive officer.

Assuming prior approval has been given for an external activity, academic staff members are expected to arrange the outside obligations, financial interests, and activities so they do not impede or conflict with their University duties and responsibilities.

Released time is not normally available for activities that are primarily personal in nature, that do not enhance the academic staff member's professional skills, or that are not a potential benefit to the University.

With the exception of the types of activities indicated in Section III. E below, remunerated activities must be reported whether related to one's professional duties or not. Approval will be denied only if a conflict of commitment or interest is present, as elaborated in this Policy.

This Policy is generally not concerned with unremunerated activities, whether of a charitable or professional nature. However, when any activity interferes with an academic staff member's responsibilities to the University, including accessibility by students, staff, and other clients, a conflict of commitment will exist.

2. Conflict of Interest Principles

Because a role of the University is to create and disseminate knowledge, an academic staff member must avoid external commitments which would encumber one's choice of subject matter and/or research strategies. In addition, a delay in disseminating information (i.e., publication of research results, seminars, etc.) for inappropriate periods of time must be avoided.

When consulting privately with external entities, academic staff members are acting in their individual capacities and must make it understood that they are not acting on behalf of the University. To this end, academic staff members may wish to include in private consulting arrangements reference to this Policy and to refer questions to the Vice Chancellor for Research. An academic staff member may use his/her University title when signing reports and letters pertaining to outside work so long as it is clear to the recipient that the University title is used for identification only. Official University stationery shall not be used in private outside work.

In general, University facilities and resources should not be used for non-University activities. Such use is limited to those activities that enhance the academic staff member's University-related professional skills and requires prior written agreement from the unit executive officer.

It is improper for an academic staff member, without prior written approval, to divert to external entities or other institutions opportunities for research support that could have been obtained by the University.

In order to protect the University's intellectual property rights and comply with federal guidelines and the University commitment to technology transfer, an academic staff member must make University obligations known to external entities with whom business is contracted and provide them with a statement of relevant University policies governing inventions and discoveries. University intellectual property may not be assigned to other entities without prior approval through established University procedures.

Careful scrutiny is required when the academic staff member's financial interests, or the assumption of outside executive or administrative responsibilities, appears to be incompatible with the individual's duties and obligations to the University. Likewise, such financial interests and activities merit additional monitoring when the academic staff member may be in a position to influence University research or business decisions in ways that could lead to the staff member's direct or indirect personal financial gain or give improper advantage to the staff member's family or others. If the proposed activities are to be approved, appropriate control mechanisms must be established in writing prior to the commencement of the activity and continuously monitored.

Research agreements with external entities, especially entities with which academic staff members have a financial, managerial, or executive relationship, are of special concern. The terms and enactment of such agreements must maintain basic academic values and promote the development and open dissemination of knowledge. Likewise, the educational experience of the University's students and postdoctoral fellows should not be diminished or impeded in any way. In order to maintain the University's credibility and public trust, neither interference in the choice by academic staff members of the scientific or scholarly subjects they pursue, nor diversion of their energies or University resources from its primary missions should occur. All research agreements between the University and external sponsor are subject to prior approval through established University review procedures.

The involvement of University students or employees in the outside professional activities of an academic staff member can be beneficial. However, such involvement must be disclosed, reviewed, and approved in writing by the unit executive officer in advance to assure that exploitation or unreasonable interference with University duties and responsibilities, including course and thesis work, does not occur. Students and staff involved in these outside professional activities shall be made fully aware of the circumstances, University policy regarding these activities, and the precautions instituted by the academic staff member and the unit executive officer in its regard. Additional situations of concern include those that might prejudice an academic staff member with respect to judging other staff in issues of rank, pay, and tenure by virtue of collaboration in outside activities. The unit executive officer may need to implement appropriate monitoring depending on the facts of specific cases.

State and federal agencies have legitimate concerns that any research they sponsor is free of bias due to financial interests of the investigator and institution, that funds provided be expended as intended, and that the commitment of time and effort be appropriate for the project supported. Most governmental agencies have required institutions to assume the responsibility for ensuring that these concerns are addressed. Failure to comply may jeopardize existing or future funding. Accordingly, academic staff members must cooperate fully with the University to ensure that the institution can resolve and/or manage potential and actual conflicts of commitment and interest in conformity with regulations of governmental granting agencies.

D. Specific Responsibilities

The academic staff member is required and expected to take the initiative to promptly report in detail to the unit executive officer, asking for prior written approval for all activities or situations that may involve, or appear to involve, a conflict of commitment or interest, and to respond fully and in a timely manner to inquiries from the unit executive officer in connection with that report.

The unit executive officer has the duty and responsibility to evaluate carefully all potential conflict situations reported or known before acting to approve or disapprove the activities. As a state institution, the University is entitled to possess sufficient information and control to discharge its obligations of public accountability. Accordingly, in a specific conflict situation, it may be appropriate for the unit executive officer to inquire into a number of factors, including the extent of the time commitment, the income derived by an academic staff member from consulting or management activities, or the extent of financial or other interests in external entities over which the academic staff member or his/her family have sufficient influence to be able to affect its general policy or specific decision.

On each campus, the Vice Chancellor for Research has the responsibility for implementing this Policy. In matters of conflicts of commitment or interest, he/she is advised by a Conflict Review Committee made up of at least three academic staff members. All reports of potential conflicts, remedies for managing them, and sanctions for violation of this Policy shall be transmitted through normal reporting channels to the Vice Chancellor for Research for review and approval. In some cases, final approval by the President or the President's designee may be required. All communications with governmental entities relative to conflicts involving individual academic staff members will be made by the Vice Chancellor for Research.

When a conflict is identified, and a decision is made to allow the activity to proceed, the appropriate University officer may install appropriate monitoring procedures and restrictions to minimize and control the conflict. Mutually agreeable remedies are encouraged. The academic staff member must be afforded an opportunity to respond to a proposed remedy and to appeal it to the next higher administrative level.

When an academic staff member violates this Policy or the remedies imposed under this Policy, sanctions may be imposed, subject to appeal.

For purpose of this Policy, reports, remedies, and sanctions that involve members of the academic staff of the Central Administration shall be overseen by the Vice President for Academic Affairs.

All parties to the evaluation, management, and approval of conflicts are to make diligent efforts to keep disclosures, remedies, and sanctions confidential to the extent allowed by law. When required by a granting agency, the Vice Chancellor for Research will report a conflict and its management to that agency. Failure to satisfactorily resolve or manage a conflict may require that it be divulged. The granting agency will have access to relevant documents as part of an investigation or audit. The granting agency may also stipulate that significant conflicts of interests must be disclosed at each public presentation of research results.

E. Examples of Allowable Income-Generating Activities

The following are offered as examples of external, income-generating activities that are not considered conflicts of interest. They are exempt from

reporting requirements, unless they are so extensive in time and effort that they constitute a potential conflict of commitment.

- Receiving honoraria, stipends, and/or royalties for published scholarly works and other writing, creative works, lectures, and/or presentations.
- 2. Participating at professional conferences for the purpose of making scholarly presentations, conducting seminars or workshops.
- 3. Receiving honoraria for serving as a special reviewer or on a review panel for academic, governmental, or not-for-profit organizations.
- 4. Participating in a clinical practice plan approved by the University of Illinois Board of Trustees.
- Receiving royalties under the University's or another academic institution's royalty distribution policies.
- 6. Preparing books, articles, software, and creative works relevant to University duties.
- 7. Earning income from passive investments such as interest or dividends from banks, mutual funds, or stocks and bonds.

F. Examples of Non-allowable Activities or those Requiring Prior Approval and Potential Management

The following activities represent examples of potential or actual conflicts of commitment or interest. The list is not inclusive and is intended to provide guidance.

- 1. Failing to fully meet University responsibilities (e.g., conducting classes, assisting students outside of class, conducting research, serving on committees) due to involvement in external activities.
- 2. Using University resources to conduct research that is sponsored by an entity in which the academic staff member or his/her family member holds a substantial financial interest.
- 3. Serving in an executive or managerial capacity or holding significant financial interests in for-profit or not-for-profit entities doing business with the University.
- 4. Serving on the board of directors or a major advisory committee of an external entity which sponsors the academic staff member's research or provides gift funds for the use of the academic staff member or his/her department.
- 5. Utilizing University students or employees in consulting activities, activities supported by gift funds, and/or research sponsored by an entity in which the academic staff member has financial interests.
- 6. Conducting testing or clinical trials of products, devices, or services owned or controlled by a business in which the academic staff member or a member of his/her family has a financial interest or receives remuneration.
- 7. Diverting research opportunities from the University to another academic institution, federal laboratory, business, or consulting entity.
- 8. Directing purchasing opportunities to a family-owned company or an associated entity.
- 9. While acting in the context of his/her University duties, making professional referrals to a business in which an academic staff member or a member of his/her family has a financial interest.
- 10. Conducting business activities involving students or staff.

IV. PROCEDURES

A. Disclosure of Non-University Activities

Except for activities specified in Section III. E, each academic staff member of the University of Illinois is required to obtain prior written approval from the University before undertaking, contracting for, or accepting anything of value in return for consulting or research from any external person or organization. Each academic staff member must complete annually a "Report of Non-University Activity," which requires retrospective and prospective disclosure of external activities. Throughout the year, additional disclosure is necessary whenever a substantial change in external activities occurs or when required by granting agencies.

On the Report, the academic staff member must disclose external activities that constitute actual or potential conflicts of commitment or interest. These include:

- 1. consulting or other financial relationships with a sponsor of one's research;
- 2. managerial role or significant¹ financial relationship with a company in one's field of research or a company that does business with the University;
- 3. external activities or business that involve University students or employees;
- 4. relationships, commitments, or activities on the part of the academic staff member or his/her family that might present or appear to present a conflict of commitment or interest with regard to one's University appointment. These relationships may be of a financial, fiduciary, or uncompensated nature.

B. Role of the Unit Executive Officer

The unit executive officer reviews submitted reports, evaluates the nature and extent of actual or potential conflicts, and works with each academic staff member having such conflicts to manage or eliminate them. Further, the unit executive officer may initiate an inquiry of an academic staff member when he/she believes that a conflict of commitment or interest may exist. The unit executive officer can require sufficient specificity to ensure that he/she understands the nature and extent of the conflict. The unit executive officer must respond within fourteen calendar days to a written request for approval of an external activity or within fourteen calendar days of receiving a response to inquiries regarding the nature and extent of any conflict. If fourteen days pass without a response, the academic staff member has the right to take the request to the next higher administrative level.

C. Management of Non-University Activities (Remedy)

It is incumbent upon the academic staff member and the unit executive officer to manage or resolve real or apparent conflicts. Remedies may include modifying the research plan, appointing an oversight panel or person to monitor research, appointing an impartial person to monitor the use of students, disclosing significant conflicts at presentation of results, abstaining from promotional decisions for staff, removing purchasing decision authority, divesting financial interests, and severing relationships that constitute actual or potential conflicts. The academic staff member may apply for a leave of absence with or without pay as a remedy. In trying to reach a mutually acceptable remedy, the advice of the dean or Vice Chancellor for Research may be sought.

¹ In 1995, federal regulations define "significant" as financial interest in business enterprises or entities that exceeds \$10,000 or represents more than 5 percent ownership.

Arrangements agreed upon to minimize or manage the conflict must be reduced to writing by the unit executive officer, signed by the staff member and attached to that member's Report of Non-University Activity. This Report shall be routed through regular reporting channels for approval (e.g., unit executive officer, dean, Vice Chancellor for Research).

If voluntary agreement on how to manage or eliminate the conflict is not reached, the unit executive officer shall record that fact on the academic staff member's Report and forward it with information about the conflict and proposed remedy through regular reporting channels. The academic staff member will be afforded the opportunity to respond before the proposed remedy is transmitted to the next administrative level.

Monitoring of University agreements regulating conflicts shall ensure conformity with predetermined goals, and shall act to have project expenditures (including travel) reviewed and approved in accordance with established procedures. Any person involved in negotiating or administering such arrangements has an absolute duty to disclose in writing any actual, apparent, or potential conflict that he/she has in the matter and may not participate further in the process unless approved in writing by the University.

D. Approval/Denial of Non-University Activities

The President or the President's designee has final responsibility in all matters concerning conflicts of commitment or interest. The President's designee is the Vice President for Academic Affairs. The Vice President has delegated authority to the Vice Chancellor for Research on each campus as follows.¹

- 1. In all matters of conflict of commitment, the Vice President delegates authority for implementation of this Policy to the Vice Chancellor for Research.
- 2. Conflict of interest matters that did not receive unanimous approval as they moved through regular reporting channels shall be sent to the Vice President for Academic Affairs for a final decision. If the approval is unanimous, final authority shall rest with the Vice Chancellor for Research.
- 3. The President remains the only person who can authorize a contract on behalf of the University with an entity in which a University employee has an interest.

In matters of conflict of commitment or interest, the Vice Chancellor for Research is advised by a Conflict Review Committee. This committee will consist of at least three academic staff members appointed after consultation with the executive committee of the respective Senate. If a mutually agreeable remedy is not reached, a remedy may be imposed by the Vice Chancellor for Research and, in matters of conflict of interest, with concurrence of the Vice President for Academic Affairs.

E. Sanctions for Violation of This Policy

Sanctions are warranted for failure to report potential conflicts or to abide by a remedy. The University has the right to impose sanctions consistent with the rights of academic staff members under the University *Statutes*. Severity of sanctions depends on the extent of the violations of the Policy. Inadvertent, unintentional, and minor breaches require lesser sanctions,

¹ These delegations by the president and vice president are subject to change at their discretion.

whereas knowing, deliberate, and major violations demand the severest sanctions. Any sanctions for violations of this Policy shall be carefully examined with due regard for the academic freedom and rights of the academic staff member and the interests of the University. While gross noncompliance with this Policy could constitute due cause for dismissal, this Policy does not abrogate any of the procedural protection afforded by tenure.¹

Sanctions shall be reduced to writing, attached to the Report of Non-University Activities and any associated documents and routed through regular reporting channels. The academic staff member will be afforded an opportunity to respond before the proposed sanction is forwarded to the next administrative level.

F. Appeals

When approval of an external activity is denied or when a remedy or sanction is imposed, an academic staff member shall be afforded the opportunity to respond before the request is transmitted to the next level of review. These administrative appeals are up to and including the Vice Chancellor for Research in all matters of conflict of commitment and to the Vice President for Academic Affairs in matters of conflict of interest.

An academic staff member is entitled to make a final appeal of sanctions to the Chancellor in matters of conflict of commitment or to the President in matters of conflict of interest. This appeal must be made in writing and within 45 calendar days. The Chancellor or President will solicit the advice of the Faculty Advisory Committee (in the case of faculty) or the Professional Advisory Committee (in the case of academic professionals) who will investigate the matter, afford a hearing to the academic staff member, and make a recommendation to the Chancellor or President. The Chancellor's or President's decision shall be final and shall be based on whether, in light of institutional obligations, fundamental fairness was afforded the academic staff member.¹

V. APPENDIX

Current University documents, state law and federal regulations that impact this Policy include:

University Documents

"No person employed by the University shall have any interests incompatible with that person's obligations to the University" (Article IX, Section 5b. of the Statutes);

"The responsibilities to the University of full-time members of the academic staff are fulfilled by the performance, appropriate to rank and terms of appointment, of teaching, scholarly research, continuing education and public service, and committee work and special assignments. Such staff members may carry on some professional or business activities of an income-generating character so long as such activities are compatible and not in conflict with University interests. The head of the department of which the employee is a member should know and approve of these activities outside the University" (Article IX, Section 5d. of the *Statutes*);

¹ Procedures (charges, hearings and appeals) for dismissal of a tenured faculty member shall be as specified in Article X of the *Statutes*.

"Procurement contracts involving expenditures of University funds are governed by Regulations Governing Procurement and Bidding at State Systems Universities in Illinois as adopted and amended from time to time by the Board of Trustees. Other University contracts may be awarded to any business entity, including those in which a University officer or employee (or members of their immediate families) serve as major officers or primary employees thereof, or hold a significant equity interest therein, if such contract is deemed in the best interests of the University, and has the approval of the President or the President's designee. Documentation of such approval shall be filed with the contract" (Article II, Section 4(d) of the General Rules); and

"Employees engaged in external consulting work or business are responsible for ensuring that agreements emanating from such work are not in conflict with University Policy or with the University's contractual commitments. Such employees should make their University obligations known to others with whom they make such agreements and should provide other parties to such agreements with a statement of University policies on inventions and discoveries" (Article III., Section 1(g)(3) of the General Rules).

State Law

110 Illinois Compiled Statutes (ILCS) 100/1

No full time member of the faculty of any State-supported institution of higher learning may undertake, contract for or accept anything of value in return for research or consulting services for any person other than that institution on whose faculty he serves unless (a) he has the prior written approval of the President of that institution, or a designee of such President, to perform the outside research or consulting services, such request to contain an estimate of the amount of time which will be involved, and (b) he submits to the President of that institution or such designee, annually, a statement of the amount of actual time he has spent on such outside research or consulting services.

Federal Regulations

Current regulations include those from the National Science Foundation and proposed regulations from the Department of Health and Human Services.

Federal Register, Vol. 59, No. 123/Tuesday, June 28, 1994 (pages 33308-33312) and Federal Register, Vol. 60, No. 132, Tuesday, July 11, 1995 (pages 35820-35823).

The National Science Foundation (NSF) Investigator Financial Disclosure Policy has the following primary features:

- A. A requirement that any NSF grantee employing more than fifty persons maintain "an appropriate written and enforced policy on conflict of interests."
- B. Minimum requirements for what must be in an institution's policy. These include (a) limited and targeted financial disclosure, (b) designation of a person(s) to review the disclosures and resolve actual or potential problems revealed, (c) enforcement mechanisms, and (d) arrangements for informing NSF of conflict issues that are not resolved to the satisfaction of the institution.

Federal Register, Vol. 60, No. 132 Tuesday, July 11, 1995 (pages 35810-35819)

The Public Health Service (PHS) issued rules requiring Institutions that apply for research funding from the PHS to assume responsibility for ensuring that the financial interests of the employees of the Institution do not compromise the objectivity with which such research is designed, conducted, or reported.

Under the PHS rules, investigators are required to disclose to an official(s) designated by the Institution a listing of Significant Financial Interests. The institutional official(s) will review these disclosures in accordance with an administrative process to be established by each institution. Following this review, the institutional official(s) will determine the acceptability of the reported financial interests and act to protect PHS-funded research from any bias that is reasonably expected to arise from those interests.

Revision of the Residency Status Regulations for Admission and Assessment of Student Tuition

(4) The current University of Illinois residency status regulations for admission and assessment of student tuition allow out-of-state students to establish in-state residency with relative ease when compared to the policies at other Big Ten and research universities. The proposed regulations are designed to prevent students from establishing residency for the sole purpose of reducing tuition costs.

The primary change in the residency regulations extends the domicile period from six months to one year. During this one-year period, a person must be financially independent, must rely on gainful employment in Illinois or prove reliance on resources in Illinois, and must reside in the State primarily for non-educational

purposes.

These regulations will be implemented for the fall term, 1997. The vice president for academic affairs, with the advice of the vice chancellors for academic affairs, recommends approval of the following revised residency status regulations for admission and assessment of student tuition.

I concur.

On motion of Dr. Gindorf, this recommendation was approved. (Mr. Malone and Mr. Rose asked to be recorded as casting advisory votes of "no" on this item.)

(Note: line-throughs = deleted text; underlines = added text)

University of Illinois Residency Status Regulations for Admission and Assessment of Student Tuition

General

The University of Illinois is a land-grant institution assisted by funding from state of Illinois tax revenue. As a state tax-assisted institution, the University (with some exceptions) extends preference in admission and tuition to residents of the state of Illinois — that is, to students persons whose circumstances conform to the University's definition of resident status stated below.

Principal elements which determine residency are domicile in Illinois and actions which evidence the intent to make Illinois the person's permanent residence. A person has but one domicile at any time. Mere physical presence in Illinois, regardless of how prolonged, is insufficient to establish residency without existence of action and intention to make the place a permanent residence and principal home. In order

to establish bona fide residency in Illinois under this policy, a person must demonstrate presence and intent to reside permanently in Illinois for reasons other than educational objectives.

The burden of establishing that a student person is domiciled in Illinois for other than educational purposes is upon the student person. The regulations, factors, and procedures enumerated in this policy will be considered by the University in determining the residency status of students.

Residency Status Regulations are subject to change from time to time at the discretion of the Board of Trustees. Persons holding non-resident status are subject to rules in effect when their petitions seeking Illinois residency are filed. Nothing in these rules shall be applied retroactively to reverse in-state residency status previously

granted under former regulations.

The University of Illinois' definition of the term "resident" may be different from the definitions developed by other, non-University, agencies. Thus, a person who is an Illinois resident for tax or voting purposes, for example, is not necessarily a resident for University of Illinois tuition and admission purposes. The University's definition of resident status applies both to payment of tuition and admission to the University of Illinois.

Regulations

The following regulations are used to determine the resident classification of a student person for admission and tuition assessment.

A. A student's person's domicile is presumed to be that of his/her parent(s) or legal guardian unless the student person is emancipated independent and

establishes a separate domicile.

A person who is dependent upon his/her parent(s) or other person in authority, other than spouse, for financial support shall not be considered emanicipated independent for the purpose of these regulations. A person claiming emancipation independence may be requested to present satisfactory evidence that his/her parent(s) or legal guardian have not contributed significantly to his/her support nor claimed him/her as a dependent for federal or state income tax purposes during the period for which emanicipation is claimed in which the person attempts to establish and/or maintain residency. Filing and payment of Illinois income tax is necessary to establish residency.

- B. In order to be classified as a resident for purposes of admission, an emanicpated independent person shall be domiciled in Illinois and a bona fide resident of the state for at least six consecutive months one calendar year immediately preceding the date of receipt of the application for admission. To be considered a resident for purposes of assessment of tuition, an emaneipated independent person must be a bona fide resident of the state for at least six consecutive months one calendar year immediately preceding the first scheduled day of classes for the term for which residency is sought.
- C. A student must be self-sufficient and During the one-year period in which a person attempts to establish residency, a person must be financially independent. He/she must rely upon gainful employment in Illinois or prove reliance upon resources in Illinois for more than fifty percent of the income sufficient to provide for tuition, fees, and normal living, and related expenses, e.g., food, clothing, housing, and transportation. Income earned as a result of University enrollment, such as educational loans, graduate assistantships, or student employment, is not considered as evidence of intent to establish residency. During the one-year period in which a person attempts to establish Illinois residency, a person must reside in the state primarily for other than educational purposes.
- D. A person who is not a citizen of the United States of America may establish resident status unless the person holds a visa which precludes an intent to permanently reside in the United States. A list of the visa classifications may be obtained from the Office of Admissions and Records.
- E. Noncitizens may commence establishment of residency with notification of permanent residency status by the United States Immigration and Naturalization Service provided the person meets and complies with all the applicable requirements of these Reguations.

F. The minor children of persons who, having resided in this state for at least twelve months immediately prior to such a transfer, are transferred by their employers to some location outside the United States shall be considered as Illinois residents for purposes of the computation and payment of tuition. at any state supported school. However, this Section shall apply only when the minor children of such parents enroll in a state-supported college or university within five years from the time their parents are transferred to some location outside the United States.

If the parent(s) or legal guardian of a resident student person establishes a domicile outside the state of Illinois after the student person has been admitted, the student person shall continue to be classified as a resident student until degree completion, assuming timely matriculation and providing the student person maintains continuous enrollment and maintains a separate residence within the state of Illinois.

- G. It is required that a person who claims Illinois domicile while living in another state or country will provide proof of the continued Illinois domicile. Proof may include, but is not limited to, evidence that the student person (or parent or legal guardian as applicable) has not acquired a domicile in another state, has maintained a continuous voting record in Illinois, and has filed regular Illinois resident state income tax returns during absence from the state.
- **H.** A student person whose parents move to Illinois may become a resident at the beginning of the next term following the move.

An adult independent person whose parent or parents have established and are maintaining a bona fide residence in Illinois will be regarded as a resident if the adult independent person lives in Illinois.

Even though a divorced or separated parent who is not a resident of Illinois provides significant financial support to the student, the student, a person shall be classified as a resident as long as the other parent resides permanently in Illinois.

- I. A nonresident shall be classified as a resident if his/her spouse is a resident of Illinois and meets the applicable requirements of these regulations. A noncitizen may establish residency through his/her resident spouse, provided the noncitizen complies with Section D of these Regulations.
- J. A person who is actively serving in the Armed Forces of the United States and who is stationed and/or present in the state in connection with that service, may be eligible for a waiver of the nonresident portion of tuition in accordance with Board policy as long as the person remains stationed and/or present in Illinois. The waiver is extended to the person's spouse and dependent children when they also live in the state. A resident of Illinois, and the spouse and dependent children, who is stationed outside of Illinois in active service in the Armed Forces of the United States and who has maintained residency under Section G shall be classified as a resident.
- K. Staff members of the University and of allied agencies, and faculties of stateassisted institutions of higher education in Illinois, holding an appointment of at least one-quarter time, and their spouses and dependent children, shall be treated as residents.

The term "staff member" as used in these regulations shall mean a person appointed to an established a faculty, academic professional, or permanent civil service position for a specific amount of time at a salary or wage commensurate with the percentage of time required. The appointment shall require service for not less than three-fourths of the term. For purposes of residency, the term "staff member" shall not apply to persons employed on an hourly basis in either an academic or nonaeademic capacity, nor to persons on leave without pay.

Persons appointed to established Civil Service positions whose rate of pay is determined by negotiation or prevailing rates shall not be considered as being paid on an hourly basis.

Nonresident teachers in the private and public elementary and secondary schools in Illinois holding an appointment of at least one-quarter time shall, if required to pay tuition, be assessed at the resident rate. This privilege also extends to

the summer session immediately following the term of appointment.

Any nonresident teacher who qualifies for resident tution as described above shall become subject to nonresident tuition for the entire term if the school appointment is vacated prior to completion of three-fourths of the term in question. Resignation or cancellation of the appointment prior to the close of the spring term also cancels the eligibility for the resident tuition privilege in the following summer term.

Factors in Determining Residency

Bona fide residency must be maintained in the state of Illinois for at least six consecutive months one calendar year immediately preceding the date of receipt of the application for admission, or for tuition purposes, six consecutive months one calendar year immediately preceding the first scheduled day of classes for the term for which resident classification is sought. The following circumstances, although not necessarily conclusive, have probative value in support of a claim for resident classification:

- Continuous physical presence defined as no more than a three-week absence from the state of Illinois — for at least six consecutive months one calendar year as described above.
- Domicile in Illinois of parent(s) or guardian legally responsible for the student person. Domicile in Illinois of spouse.
- C. Voting or registration for voting in Illinois.
- D. Illinois driver's license or identification card and automobile registration.
- E. Financial independence and payment and filing of Illinois income/property taxes and/or ownership of property in Illinois during the tax year or partial tax year immediately preceding the term for which the person is requesting resident classification. Just the filing of an Illinois state income tax form, or filing a form without substantial Illinois income earned, will not be judged as a significant criterion for reclassification.
- F. One calendar year of gainful employment in Illinois or prove self-supporing reliance upon resources in Illinois for significant financial support more than fifty percent of the income sufficient to provide for tuition, fees, and normal living expenses, e.g., food, clothing, housing, and transportation. Evidence that an emancipated student is not claimed as a dependent by nonresident parents. Reliance upon income earned from loans is not viewed as evidence of intent to establish residency. Employment in Illinios must be in other than graduate assistantships or student employment. Educational loans are not viewed as evidence of intent to establish residency.
- The lease of living quarters and payment of utility bills in Illinois.
- Former domicile in the state and maintenance of significant connections therein while absent.
- Admission to a licensed practicing profession in Illinois.
- Long-term military commitments in Illinois and/or proof that Illinois is the Ţ. home of record. The petitioner must complete a Military Certification Form which can be obtained at the Office of Admissions and Records.

- K. Employment in Illinois other than in graduate assistantships or student employment. A one calendar year period of presence in the state for other than educational purposes.
- L. Establishment of financial accounts at Illinois institutions.
- M. Public records, for example, birth and marriage records.
- N. Other official documents verifying legal, official connection with Illinois or with organizations or institutions within the state of Illinois.
- O. Exclusive use of the Illinois address when home or mailing address is requested.

 The University may request additional documentation of the evidence.

 Missing evidence, the lack of evidence, or inconsistent evidence may be used to refute the claim of residency.

Procedures

The Director of Admissions and Records, or a designee, shall determine the initial residence classification of each student person at the time the student person enters or re-enters the University.

A student person who is not satisfied with a determination concerning his/her residence classification may request that the responsible official reconsider the determination. For the purposes of admission, the written request must be received by the Office of Admissions and Records within twenty calendar days from the date of notification of residency status. For the purposes of assessment of tuition, the written request must be received by the Office of Admissions and Records within twenty calendar days of the date of assessment of tuition or the first scheduled day of classes for the term for which the tuition is payable, whichever is later. received by the Office of Admissions and Records by September 30 for the fall term, February 15 for the spring term, and June 20 for the summer term or some other date as set by the Office of Admissions and Records.

The request should include the Petition for Determination of Residency Status and all other materials which are applicable to the claim. The request and accompanying documentation will not be returned, and the <u>student person</u> is advised to maintain a copy for his/her record.

If the student person is still not satisfied with the determination after it has been reconsidered, the student person may appeal the decision to the Director, University Office for Academic Policy Analysis. The appeal shall be in writing and shall include reasons for the appeal. The appeal must be received by the Director of Admissions and Records within twenty calendar days of the notice of the ruling. The appeal will then be referred to the Director, University Office for Academic Policy Analysis. A student person who fails to file such an appeal within twenty calendar days of the notice of the ruling waives all claims to reconsideration for that academic session. Filing deadlines cannot be extended or waived and applications and appeals untimely filed will not be reviewed. The decision of the Director, University Office for Academic Policy Analysis, shall be final in all cases.

A student person may be reclassified at any time by the University upon the basis of additional or changed information. If the student person is classified in error as a resident student, nonresident tuition shall be assessed in the next term; if the student person is classified in error as a nonresident, resident tution shall be assessed in the term in which the classification occurs, provided the student person has filed a written request for a review in accordance with these regulations.

A student person who fails to notify the University of a change of facts or provides false information which might affect classification or reclassification from resident to nonresident status and/or who provides false information or conceals information for the purpose of achieving resident status may be subject to appropriate disciplinary action, as well as other penalties which may be prescribed by law. Further information or clarification may be secured by contacting the Director of Admissions and Records on the campus concerned:

100a Henry Administration Building (MC-332) University of Illinois at Urbana-Champaign Office of Admissions and Records 506 South Wright Street Urbana, IL 61801

2300 Alumni Hall (MC-018) Student Services Building (MC-018) University of Illinois at Chicago Office of Admissions and Records P.O. Box 5220 Chicago, IL 60680

Office of Enrollment Services
University of Illinois at Springfield
Building F-20
Springfield, IL 62794-9243

Proposed Amendments to *The General Rules Concerning University Organization and Procedure* and to Board of Trustees Policy Regarding Tuition Waiver Authority for Graduate Students

(5) The General Rules Concerning University Organization and Procedure currently states that "For graduate students, waiver of tuition is granted for all University graduate assistants on appointment for at least 25 percent but not more than 67 percent of full-time service" (Article IV, Section 5). At the time this rule was written there was only one graduate tuition rate and therefore it was not necessary to define "tuition." Since then, we have evolved tuition rate differentials for certain professional graduate programs as well as out-of-State students, with the result that the value of a tuition waiver varies depending upon a student's program and residency status. Some professional students now seek teaching assistantship or research assistantship appointments in areas unrelated to their professional studies for the primary purpose of gaining a tuition waiver.

These tuition waivers granted by the *General Rules* are granted as a matter of entitlement, in consequence of appointment as a graduate assistant. In addition, the Board of Trustees' action permits each campus to offer a limited number of tuition waivers to graduate students who are not so appointed but whom the campus wishes to recruit (often in conjunction with a fellowship). It will be helpful to distinguish, therefore, between assistantship-related tuition waivers and non-assistantship related

tuition waivers.

The campuses seek to meet the following goals:

- (1) to limit tuition waivers in the form of assistantship-related entitlements and replace them as needed to fulfill academic goals with tuition waivers granted to students selected by their programs.
- (2) to reduce the incentive for professional program graduate students to seek appointment as teaching assistants or research assistants for the principal purpose of gaining tuition waivers.
- (3) to maintain the campuses' ability to continue the practice of full tuition waivers as needed to remain competitive with other high quality graduate programs.

These goals may be met through an approach with two components:

(1) Change the "waiver of tuition" granted by virtue of appointment as a graduate assistant to "waiver of base-rate tuition," i.e., the in-State graduate (not professional) tuition rate.

(2) Permit campuses to use waiver authority to:

• supplement the base-rate tuition earned by virtue of appointment as a graduate assistant, up to the full tuition assessment for that student.

• award tuition waivers as, or to supplement, a graduate scholarship for students not appointed as graduate assistants.

All current graduate assistants will be grandfathered.

Board Actions

(1) The Board of Trustees amends Article IV, Section 5 of The General Rules Concerning University Organization and Procedure as follows:

For graduate assistants, waiver of base-rate tuition is granted for all University graduate assistants on appointment for at least 25 percent but not more than 67 percent of full-time service....

(2) The Board of Trustees rescinds all past actions regarding non-assistantship-related waiver authority for graduate students and replaces them with the following policy:

The Board of Trustees authorizes the president of the University or his designee(s) to award a limited number of tuition and fee waivers for graduate students and report to the board on the number authorized, by campus, as part of the annual budget and tuition setting process.

Both actions will be effective Fall 1996. The vice president for academic affairs has consulted the University Senates Conference on these matters and recommends approval.

I concur.

On motion of Dr. Gindorf, these recommendations were approved. (Mr. Malone and Mr. Rose asked to be recorded as casting advisory votes of "no" on this item.)

Redesignation of Buildings, Chicago

(6) The interim chancellor at Chicago has recommended that the following buildings each now designated by an outdated or insufficiently descriptive name be changed to facilitate recognition by students, visitors, and others. In each case the proposed name is descriptive of the primary unit that occupies that building.

Current Name

Administrative Services Building Services Building

Student Residence and Commons North

I concur.

Proposed Name

Human Resources Building
Physical Plant Building
Student Residence and

Commons Courtyard Building

Concur.

On motion of Dr. Gindorf, this recommendation was approved.

Redesignation of Engineering Research Laboratory, Urbana

(7) The dean of the College of Engineering at Urbana-Champaign has recommended that the Engineering Research Laboratory be redesignated the Mechanical Engineering Laboratory. This building is located on the Grainger Quadrangle.

At present both names are used and it is important that one name be officially given to the building for clarity. This new name better describes the work of the

faculty and students who currently occupy this building.

The chancellor at Urbana recommends that the Engineering Research Laboratory be redesignated the Mechanical Engineering Laboratory. The vice president for academic affairs concurs.

I recommend approval.

On motion of Dr. Gindorf, this recommendation was approved.

By consensus, the board agreed that one roll call vote would be taken and considered the vote on each agenda item nos. 8 through 20 inclusive. The recommendations were individually discussed but acted upon at one time.

(The record of board action appears at the end of each item.)

Cooperative Educational Master Agreement with Cook County Hospital, College of Medicine, Chicago

(8) The interim chancellor at Chicago recommends approval of an updated cooperative educational master agreement with Cook County Hospital (CCH), Chicago. The agreement is for the period beginning March 6, 1996, through June 30, 2000.

CCH and the University entered into an agreement on August 1, 1976, whereby CCH and the University agreed to reciprocal arrangements involving students and residents between each institution's educational and clinical training programs and services. This new agreement modernizes and clarifies the 20-year-old terms and conditions of the relationship between CCH and the University for the purpose of coordinating clinical, educational, and research programs for the education and training of residents of CCH and the University.

As in the prior agreement, the new agreement will be supplemented by a program addendum for each individual program to be implemented under the agreement. It is anticipated that existing program addenda for the Departments of Surgery, Plastic Surgery, Otolaryngology, and Orthopaedics will be rolled over from the prior

agreement to the new agreement.

The vice president for academic affairs concurs.

I recommend approval.

On motion of Dr. Gindorf, this recommendation was approved by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew, Mr.

Rose; no, none.)

Contract For Information Systems Consulting Services, University of Illinois Hospital and Clinics, Chicago

(9) The interim chancellor at Chicago recommends approval of a contract for consulting services with Klynveld Peat Marwick Goerdeler (KPMG), Chicago, to provide project management support and guidance to the University of Illinois Hospital and Clinics (UIH&C) Department of Information Technology Services (ITS) during the installation and implementation of a suite of patient care information system products from the Cerner Corporation. The contract is for the period of February 9, 1996, through February 8, 1999. Competitive bidding procedures in accordance with the Illinois Purchasing Act were followed.

KPMG will assist ITS in reviewing and finalizing the existing system architecture and implementation strategy to be used at UIH&C, establishing appropriate decision-making bodies and functional teams for a complete and timely project implementation, and defining appropriate project standards and criteria for measuring these standards. KPMG will provide monthly status reports on overall project progress, including technical and financial elements. They will provide the coordination of UIH&C

project resources ensuring compliance with the Cerner system contract and UIH&C quality assurance standards. They will also provide oversight of the project documentation and library to guarantee that comprehensive project records are kept.

KPMG will bring to UIH&C a proven track record of expertise in the oversight of successful Cerner system implementation projects and will work closely with the ITS staff to ensure a successful Cerner system implementation at UIH&C.

The cost of the contract is \$695,520, and funds are available in the Hospital

Income Fund.

The vice president for business and finance concurs.

I recommend approval.

On motion of Dr. Gindorf, this recommendation was approved by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew, Mr.

Rose; no, none.)

Contract for Parking Services, Wood Street Parking Structure, Chicago

(10) The interim chancellor at Chicago recommends award of a contract to Standard Parking, Chicago, as the University's agent for cashiering, custodial services, snow removal, and management services at the existing and planned addition to the Wood Street parking structure. Responsibility for improvements and renovations will remain with the Parking Services Office. Competitive bidding procedures in accordance with

the Illinois Purchasing Act were followed.

The Parking Services Office's objectives for hiring an outside management firm include: obtaining the operations expertise of a professional parking management firm during the design process for the Wood Street parking structure addition; reducing operating expenses; assuring application of best industry practices; improving maintenance and customer service. There will be a reduction of Parking Services' staff for this lot; reductions will be accomplished through attrition or through reassignments to other campus parking lots.

The total cost estimates are \$220,000 for the period from April 1996 through

June 1997 and \$225,000 for the period from July 1997 through June 1998.

The vice president for business and finance concurs.

I recommend approval.

On motion of Dr. Gindorf, this recommendation was approved by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew, Mr.

Rose; no, none.)

Contracts for Remodeling Basement of the Gregory Drive Residence Halls, Urbana

(11) The president of the University, with the concurrence of the appropriate administrative officers, recommends the award of the following contracts for remodeling the basement in the Food Service Building of the Gregory Drive Residence Halls at the Urbana campus. Competitive bidding procedures in accordance with the Illinois Purchasing Act were followed; and the award in each case is to the lowest

Division I Camanal

responsible bidder on the basis of its base bid plus acceptance of the indicated alternate.

Division I — General			
The Petry-Kuhne Company,			
Champaign	Base Bid \$484 800 Alt. G-1 ¹ 4 600		
		\$489	400
Division II — Plumbing			
A & R Mechanical Contractors, Inc.,			
Urbana	Base Bid	62	180
Division III — Heating			
A & R Mechanical Contractors, Inc.,			
Urbana	Base Bid	48	250
Division IV — Ventilation			
A & R Mechanical Contractors, Inc.,			
Urbana	Base Bid	63	975
Division V — Electrical			
Witte Electric, Champaign	Base Bid	94	995
Division VI — Sprinkler			
Fire Suppression Systems, Champaign	Base Bid	35	660
Total		\$794	460

The \$1.2 million project will remodel approximately 14,000 gsf in the basement of the Food Service Building of the Gregory Drive Residence Halls to include a computer room, library, exercise room, music practice room, conference room, study area, and vending space. The remodeling will include reconfiguring existing space and providing the appropriate floor, wall, and ceiling finishes as well as upgrading the mechanical and electrical systems.

Funds are available from the Housing Division's Building Reserve Fund.

A schedule of the bids received has been filed with the secretary of the board for record.

On motion of Dr. Gindorf, these contracts were awarded by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew, Mr. Rose; no, none.)

Contract for Theatre Studio (Annex), Krannert Center for the Performing Arts, Urbana

(12) The president of the University, with the concurrence of the appropriate administrative officers, recommends the award of a contract for \$275,900 to English Brothers Company, Champaign, for the general division work for remodeling an area in the parking garage of the Krannert Center for the Performing Arts at the Urbana campus to provide a theatre studio (annex) for the Department of Theatre. Competitive bidding procedures in accordance with the Illinois Purchasing Act were followed; and the award of the contract is to the lowest responsible bidder on the

¹ Alternate G-1: Assignment of other contracts to the contractor for general work.

basis of its base bid of \$264,900 plus acceptance of alternates G-1 for 5,000 and G-2 for 6,000.

The project is to provide approximately 7,000 square feet of new finished theatre space within the existing Krannert Center for the Performing Arts' parking garage for the Department of Theatre. The general work consists of installation of partitions; leveling of the sloping parking deck floor; wood sprung dance floor; spray-on insulation; steel doors, frames, and hardware; fixed aluminum windows; painting; and miscellaneous finishes.

Funds for the project are available from the proceeds of the Auxiliary Facilities

System Series 1996 Revenue Bond issue.

A schedule of the bids received has been filed with the secretary of the board for record.

On motion of Dr. Gindorf, this recommendation was approved by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew, Mr.

Rose; no, none.)

Increase in Contract for Drainage Improvements, Parking Structure, Krannert Center for the Performing Arts, Urbana

(13) On May 11, 1995, the board approved a recommendation to delegate authority to the comptroller to award a construction contract for the parking structure repairs at the Krannert Center for the Performing Arts at the Urbana campus. On June 8, 1995, the contract award to River City Construction Co., Peoria, for \$3,438,000 was

reported to the board.

Major drainage improvements around the parking structure were included in the project scope. The need for other improvements was identified after the project began. These are important for the elimination of flooding in the lower parking areas during heavy rains. The estimated cost of the improvements is \$750,000. Due to the schedule of the ongoing work (the south half of the parking facility is under construction), it is necessary that the drainage work be installed in two phases. The work includes the addition of storm sewer and interior storm piping around the perimeter of the entire Krannert facility, the replacement and change in elevation of all entrance ramps to the parking garage, and the installation of new storm sump pumps at each ramp location. Phase One activity is for the south side of Krannert and Phase Two is for the remaining three sides.

Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends the contract with River City Construction Co., Peoria, be increased by \$240,000 to cover the first phase of the drainage

improvements.

Funds are available from the Auxiliary Facilities System Repair and Replacement Fund and the proceeds of the Series 1996 Revenue Bond issue.

On motion of Dr. Gindorf, this recommendation was approved by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

¹ Alternate G-1: Assignment of other division contracts to the contractor for general work. Alternate G-2: Provide for leveling of a portion of the existing sloped floor with concrete fill and topping.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew, Mr. Rose; no, none.)

Increase in Project Budget; and Award of Contracts for Remodeling Fourth Floor, Newmark Laboratory, Urbana

(14) The original \$2,030,000 project for remodeling the fourth floor of Newmark Civil Engineering Laboratory at the Urbana campus was to reconfigure approximately 15,400 square feet of laboratories and ancillary space on the fourth floor for Environmental Engineering. The proposed remodeling included new partitions, flooring, laboratory casework, fume hoods, laboratory services, mechanical systems,

lighting, and electrical upgrades.

During the contract documents phase of the project, the campus requested the scope of the project be increased to include horizontal piping on the third floor of the building, extensive ceiling work, specific plumbing fixtures, ceiling tile and lights in the fourth floor corridor, security walls and doors, a cylinder storage room, environmental control rooms, asbestos abatement, and certain fixed equipment requirements. The cost of the additional work is estimated to be \$945,000 for a total of 16,400 square feet of space on the fourth floor and mechanical support space on the third floor.

Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends that the project budget be increased by

\$945,000 from \$2,030,000 to \$2,975,000.

Division I - General

In addition, competitive bidding in accordance with the Illinois Purchasing Act was followed and award of the following contracts for the project is also being recommended. The award in each case is to the lowest responsible bidder on the basis of its base bid plus acceptance of the indicated alternate.

Division I — General		
Ore W. Vacketta & Sons, Danville	Base Bid \$899 500 Alt. G-1 ¹ 22 890	
	\$	922 390
Division II — Plumbing		
A & R Mechanical Contractors, Inc.,		
Urbana	Base Bid	388 000
Division III — Heating		
Reliable Plumbing and Heating,		
Savoy	Base Bid	168 110
Division IV — Ventilation		
A & R Mechanical Contractors, Inc.,		
Urbana	Rase Rid	292 000
Orbana	Dasc Blu	232 000
Division IV — Electrical		
Potter Electric, Urbana	Base Bid	296 400
Total	\$2	066 900

Funds are available from a National Science Foundation grant, private gift funds, and the Institutional Funds Operating Budget of the College of Engineering.

A schedule of the bids received has been filed with the secretary of the board for record.

¹ Alternate G-1: Assignment of other contracts to the contractor for general work.

On motion of Dr. Gindorf, these recommendations were approved by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew, Mr.

Rose; no, none.)

Increase in Project Budget for WILL Communications Building, Urbana

(15) On May 12, 1994, the board approved the proposed project budget of \$7.6 million for the WILL Communications Building at the Urbana campus. The originally approved project was for construction of a 47,500 gsf facility which would house a 3,000 nasf television studio as well as television work areas and control rooms, radio studios, and administrative offices to support the broadcast functions of WILL. The new facility will be located directly north of the existing television facility on Goodwin Avenue, Urbana.

On October 20, 1994, the board approved an increase in the project budget of \$750,000 to cover the cost of adding a 2,500 square foot teaching studio. The teaching studio is to be built just south of the main building and connected with a

walkway

Subsequent design changes to the WILL facility, including an exterior plaza, architectural fence, wood veneer in the lobby, metal roof (zinc or copper), and limestone trim have made it necessary to increase the project budget. The estimated cost of these changes is \$676,188.

Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends that the project budget be increased by

\$676,188 from \$8,350,000 to \$9,026,188.

Funds for the project are available from private gift funds through the University of Illinois Foundation and from campus unrestricted gift funds.

On motion of Dr. Gindorf, this recommendation was approved by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew, Mr.

Rose; no, none.)

Project Approval and Employment of Architect/Engineer for Academic Services Building, Division of Intercollegiate Athletics, Urbana

(16) The president of the University, with the concurrence of the appropriate administrative officers, recommends (1) the approval of a project to remodel the former Kappa Alpha Psi fraternity house for use as an academic services center for the Division of Intercollegiate Athletics at the Urbana campus; and (2) the employment of Rettberg-Gruber Architects, Champaign, for the professional services required for the project.¹

The proposed \$1,779,000 project will remodel the former fraternity house at 402 E. Armory, Champaign, for use as the academic services center for the Division of Intercollegiate Athletics. The proposed remodeling of the 13,200 gsf building will

¹ The selection of the architect/engineer for this project was in accordance with the requirements and provisions of Public Act 87-673 (Architectural, Engineering, and Land Surveying Qualifications-Based Selection Act).

include repairing the foundation; tuckpointing; replacing the existing wood windows; replacing the shingle roof; installing new partitions, flooring, and acoustical ceilings; installing a new elevator; and installing new plumbing, HVAC, and electrical systems. The remodeled facility will provide computer and study carrels, large study lounges, counseling rooms, and a career planning center and offices.

The firm's fee for the program verification phase through the warranty phase of the project will be a fixed fee of \$105,500; for construction on-site observation, on an hourly basis, the total not to exceed \$38,000; and reimbursable expenses which are estimated to be \$10,300.

Funds for the project are available from the proceeds of the Auxiliary Facilities System Series 1996 Revenue Bond issue.

A schedule of the firm's hourly rates has been filed with the secretary of the board for record.

On motion of Dr. Gindorf, these recommendations were approved by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew, Mr. Rose; no, none.)

Employment of Architect/Engineer for Repair and Replacement Designs for the Roofing System, College of Medicine East Tower, Chicago

(17) The interim chancellor at Chicago recommends the approval of an employment contract with Construction Technology Laboratories, Inc., Skokie, for professional architectural and engineering services required for the development of general repair and replacement designs and construction documents for the roofing system on the College of Medicine East Tower at the Chicago campus. The firm's fee for the professional services will be a fixed fee for \$85,000, plus reimbursables not to exceed \$15,000.

This project consists of the development of general repair and replacement designs, and construction documents for the replacement of a clay tile roofing system and a flat roofing system with related building components on the College of Medicine East Tower.

Funds for this contract are available in the Fiscal Year 1996 Institutional Funds Operating Budget of the Chicago Physical Plant.

The vice president for business and finance concurs.

I recommend approval.

On motion of Dr. Gindorf, this recommendation was approved by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew, Mr. Rose; no, none.)

¹ The selection of the architect/engineer for this project was in accordance with the requirements and provisions of Public Act 87-673 (Architectural, Engineering, and Land Surveying Qualifications-Based Selection Act).

Employment of Architect/Engineer for Repair and Replacement Designs for the Roofing System, Behavioral Sciences Building and Alumni Hall, Chicago

(18) The interim chancellor at Chicago recommends approval of an employment contract with Muller and Muller, P.C., Ltd., of Chicago, a registered MAFBE firm, for professional architectural and engineering services required for the development of general repairs and replacement designs for the roofing systems on the Behavioral Sciences Building and Alumni Hall at the Chicago campus. The firm's fee for the professional services will be \$105,700 plus reimbursables not to exceed \$10,000.

This project consists of development of general repair and replacement designs. In addition, the firm will supply construction documents for select roofing systems and related building components in the Behavioral Sciences Building and Alumni

Hall.

Funds for this contract are available in the Fiscal Year 1996 Institutional Funds Operating Budget of the Chicago Physical Plant.

The vice president for business and finance concurs.

I recommend approval.

On motion of Dr. Gindorf, this recommendation was approved by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew, Mr. Rose; no, none.)

Employment of Architect/Engineer for the Waste Transfer Station, Urbana

(19) The president of the University, with the concurrence of the appropriate administrative officers, recommends the employment of Daily and Associates, Engineers, Inc., Champaign, for the professional services required for the development of a waste transfer station at the Urbana campus.²

The firm's fee for the professional services required for the project will be on an hourly basis, the total not to exceed \$61,500, which includes reimbursable expenses.

The proposed \$1.4 million project is to expand the recycling program of the Operation and Maintenance Division at Urbana by adding a manual sorting operation to separate recyclables from the waste stream. By increasing the sorting of waste at the transfer station, it is estimated landfill waste will be reduced by 55 percent (well above the State's mandated level of a 40 percent reduction in such waste by the year 2000).

The work consists of an addition for a sorting platform and baler. The 12,000 gsf facility will also include showers, restrooms, and an office.

Funds are available from the institutional funds budget of the Operation and Maintenance Division.

A schedule of the firm's hourly rates has been filed with the secretary of the board for record.

On motion of Dr. Gindorf, this recommendation was approved by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr.

¹ The selection of the architect/engineer for this project was in accordance with the requirements and provisions of Public Act 87-673 (Architectural, Engineering, and Land Surveying Qualifications-Based Selection Act).

² The selection of the architect/engineer for this project was in accordance with the requirements and provisions of Public Act 87-673 (Architectural, Engineering, and Land Surveying Qualifications-Based Selection Act).

Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew, Mr.

Rose; no, none.)

Purchases

(20) The president submitted, with his concurrence, a list of purchases recommended by the directors of purchases and the vice president for business and finance; also

purchases authorized by the president.

The purchases were presented in two categories: purchases from appropriated funds (i.e., from State appropriations to the University); and purchases from institutional funds. The latter term designates funds received by the University under contracts with the United States government, private corporations, and other organizations; grants from foundations, corporations, and other donors; and University revolving funds authorized by law.

The total amounts of these purchases were:

From Appropriated Funds Recommended	\$ 629	000
From Institutional Funds Authorized \$ 149 445 Recommended 5 652 118		
Grand Total	 801 430	

A complete list of the purchases, with supporting information (including the quotations received), was sent to each member of the board in advance of the meeting. A copy is being filed with the secretary of the board for record.

On motion of Mrs. Gravenhorst, the purchase authorized by the president was confirmed, and the purchases recommended were authorized by the following vote: Aye, Dr. Bacon, Mrs. Calder, Mr. Engelbrecht, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Malone, Mr. Mathew, Mr.

Rose; no, none.)

Comptroller's Financial Report Quarter Ended December 31, 1995

(21) The comptroller presented his quarterly report as of December 31, 1995. A copy has been filed with the secretary of the board.

This report was received for record.

Comptroller's Report of Contracts for the Period Ending December 31, 1995

(22) The comptroller submitted the report of contracts. The report included contractual agreements for payments to the University in amounts of \$50,000 or more and for payments made by the University for subcontracts under sponsored research agreements. A copy of this report is filed with the secretary.

This report was received for record.

SECRETARY'S REPORT

The secretary presented for record appointments to the faculty and changes of status made by the president, resignations, terminations, and retirements. A copy of the report is filed with the secretary.

ANNOUNCEMENTS FROM THE CHAIR OF THE BOARD

Chair Lamont called attention to the schedule of meetings for the next three months: March 13-14, Rockford; April 10-11, Urbana; May 9, Urbana (one-day meeting).

There being no further business, the board adjourned.

MICHELE M. THOMPSON

Secretary

THOMAS R. LAMONT

Chair