

MEETING OF THE BOARD OF TRUSTEES

OF THE

UNIVERSITY OF ILLINOIS

September 2-3, 1998



The September meeting of the Board of Trustees of the University of Illinois was held in Chicago Room C, Chicago Illini Union, Chicago campus, Chicago, Illinois, on Wednesday and Thursday, September 2-3, 1998, beginning at 12:10 p.m. on September 2.

Chair Susan L. Gravenhorst called the meeting to order and asked the secretary to call the roll. The following members of the board were present: Mrs. Judith Ann Calder, Mr. William D. Engelbrecht, Dr. Jeffrey Gindorf, Mrs. Susan L. Gravenhorst, Mr. Thomas R. Lamont, Ms. Ada N. Lopez, Mrs. Martha R. O'Malley, Mr. Roger L. Plummer, Ms. Judith R. Reese. Governor Jim Edgar was absent. Mr. Samuel N. Gallo, voting student trustee from the Urbana-Champaign campus, was present. The following nonvoting student trustees were present: Mr. Michael Clark, Chicago campus; Mr. Robert Doyle, Springfield campus.

Also present were President James J. Stukel; Dr. Sylvia Manning, vice president for academic affairs; Dr. Michael Aiken, chancellor, University of Illinois at Urbana-Champaign; Dr. David C. Broski, chancellor, University of Illinois at Chicago; Dr. Naomi B. Lynn, chancellor, University of Illinois at Springfield; and the officers of the board, Dr. Craig S. Bazzani, comptroller (and vice president for business and finance); Mr. Thomas R. Bearrows, university counsel; Mr. Lester H. McKeever, Jr., treasurer, and Dr. Michele M.

Thompson, secretary. In addition, the following persons were also in attendance: Mr. Donald K. Coe, director, University Office of Public Affairs; Mr. Kirk Hard, executive director of governmental relations, Ms. Susan J. Sindelar, executive assistant to the president of the University; and Ms. Marna K. Fuesting, special assistant to the secretary.¹

GENERAL DISCUSSION OF ITEMS ON THE AGENDA AND OTHER MATTERS

Mrs. Gravenhorst asked if there were questions related to the agenda for this meeting. Ms. Lopez indicated that she had a question regarding agenda item no. 7 (Appointments to the Faculty, Administrative Staff, and Intercollegiate Athletic Staff). Specifically, she asked why this group of new appointees was not more diversified in terms of race and sex. She stated that she had seen greater representation of women and minorities in other months when this same kind of item was presented. It was explained that this was a sparse listing of new faculty, administrators, and intercollegiate athletic staff and that in October 1998 this agenda item would display many more individuals recommended, and there will be greater diversity. Chancellor Aiken commented that there is an increased number of minority faculty and staff at the Urbana campus this academic year.

Mr. Plummer then posed a question related to agenda item no. 27 (Increase in Project and Amendment to Professional Services Contract for the Gregory Drive Steam Tunnel, Urbana). He asked if the presence of this item on the agenda indicated that something was overlooked in the original contract. Mr. Todd answered by saying that there was new evidence presented that more work is needed for this project which was not apparent when the contract was written.

RECESS

The board recessed for luncheon and for a meeting of the board as a Committee of the Whole, for a board assessment.² The board reconvened at 3:40 p.m. in executive session.

¹Prior to this, the Committee on Finance and Audit met to receive a quarterly report on investments and the annual audit report. The Committee on Buildings and Grounds met to consider a site for a new building for the Natural History Survey in Urbana and a report on the status of capital projects. Also, there were questions and discussion about the proposed plan for development of the South Campus, Chicago. Following that, the board met as a Committee of the Whole for a presentation by Vice President Manning on the budget request for Fiscal Year 2000 and a presentation from Vice President Bazzani on the operating budget for FY 1999 and the capital budget request for FY 2000.

²Chair Gravenhorst introduced the board assessment and asked the members of the Ad Hoc Committee for the Board Assessment, Trustees Engelbrecht, O'Malley (chair), and Plummer, to present a summary of data collected from a questionnaire each trustee was asked to complete. The major points reported were: (1) the trustees responded that they received sufficient information about the University and about the board meetings; (2) they had very similar perceptions of the University's strengths and needs; and (3) they thought they needed more information about the academic programs of the University. In discussing these findings and other perceptions, the board agreed that a new approach to chairing and organizing the work of the board committees was in order and that each would try to work more closely with others on their respective committees in the future. The major conclusion was that most board members responded that they were satisfied with their own contributions as board members.

EXECUTIVE SESSION

Chair Gravenhorst, referring to Section Two of the Open Meetings Act, stated: "A motion is now in order to hold an executive session to consider information regarding the appointment, employment, or dismissal of employees or officers, to discuss pending, probable, or imminent litigation, the acquisition of real property, to discuss campus security, and to receive legal advice from counsel."

The motion was made by Dr. Gindorf and approved by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

Report from the President of the University

President Stukel reported that Governor Edgar would accept a position offered to him in the Institute of Government and Public Affairs, and would announce this soon.

He also indicated to the board that the case of fraud involving an employee at the Chicago campus would be referred to the Attorneys Registration and Discipline Commission and to the Cook County State's Attorney, as required. Ms. Reese asked Mr. Bearrows to explain the lack of University procedures for dismissal as described in the audit of this case. He explained that current University procedures do not provide for dismissal of academic professional employees for this kind of problem. By consensus, the board agreed that all further inquiries about this will be referred to the university legal counsel.

Report from Chancellor, Urbana

Chancellor Aiken told the board he wished to clarify the situation recently reported in newspapers concerning the head women's basketball coach. He explained that the stories about the coach making statements about four players, alluding to their ability at playing basketball, were unfortunate because the four players, their families, and the coach had agreed that no comment would be made about the players' abilities. The chancellor stated that the coach had been told to not make any further comments of this nature. Further, he acknowledged that the reports of these students that the coach had presented bottles of champagne to team members were true. However, contrary to some reports, these were presented only to the seniors on the team. Team members were not served alcohol, nor had the coach joined them in consuming alcohol. However, since these gifts are something that is not available to all similarly situated students, this constitutes a violation of the NCAA rules. The chancellor said that Athletic Director Ronald Guenther did report to the NCAA as soon as it was discovered, and he spoke with the coach.

Report from Vice President for Business and Finance

Dr. Bazzani announced that Anthony F. Graziano has been appointed as special assistant to the president to implement a strategic plan for the University. He explained that Mr. Graziano is a very well respected administrator who has been an associate dean in the College of Engineering at Urbana most recently. Further, he said that Mr. Graziano would occupy this position until December 1999 when he plans to retire.

Authorization for Settlement

(1) The university counsel recommends that the board approve settlement of *Perez v. Brill, et al.*, in the amount of \$625,000. The plaintiff alleges that University defendants negligently perforated the bowel of Piedad Altamirano during laproscopic surgery, resulting in an infection. Plaintiff also alleges that the infection was not discovered and treated in a timely manner and that the delay resulted in the death of Ms. Altamirano.

The vice president for business and finance concurs.

I recommend approval.

Mr. Bearrows discussed this case and told the board that in deciding to settle this a focus group had been assembled to determine whether to take it to trial. The results of the focus group indicated that there was little sympathy for the physicians involved. Thus, it seemed prudent to settle. He also explained the range of settlements for similar cases and recommended the amount suggested.

By consensus, the trustees approved of this recommendation as submitted, formal action to be taken subsequently when the board convenes in open meeting.

SPECIAL EXECUTIVE SESSION

Chair Gravenhorst excused all university officers except Drs. Bazzani and Thompson. She announced that there would be a discussion of future guidelines for determining the annual salary for the president. She then asked Dr. Bazzani to present comparative data from peer institutions' salaries for their presidents. He distributed a listing of presidential salaries in a selected group of institutions (on file with the secretary). He then observed that he thought that the Big Ten set of institutions was the best comparison. He advised the board to develop a logic path for arriving at a salary for the president. He urged treating the president as the top faculty member and giving the same rate of increase to the president as the faculty receive, after the board is satisfied that the base salary is appropriate. He also noted that the tenure for most presidents is about four or five years.

Dr. Bazzani reminded the board that the goal for the University has been to reach third place in the Big Ten for faculty salaries, and that this principle seemed appropriate for the president, too.

Mrs. Gravenhorst then excused Dr. Bazzani.

The board continued to discuss a method for settling on the right presidential salary. There was commentary on information gathered from the Association of Governing Boards about how boards determine salaries for

presidents. Also, the trustees shared their perceptions of what they had understood from a previous meeting in June 1998 and concluded that there was great diversity of understanding.

Several trustees thought that appointment of a special committee of the board, to study this matter further, would be helpful. Then, by consensus they agreed that Mrs. Gravenhorst should appoint such an ad hoc committee to study this subject and bring back recommendations to the board.

EXECUTIVE SESSION ADJOURNED

There being no further business, the executive session was adjourned.

BOARD MEETING RECESSED

The board recessed at 5:00 p.m. to reconvene in regular session at 8:30 a.m. on Thursday, September 3, 1998.

BOARD MEETING, SEPTEMBER 3, 1998

The board reconvened in regular session at 8:30 a.m. on Thursday, September 3. The same members of the board, officers of the board, and officers of the University noted as present on the preceding day were also present at this time with the exception of Mrs. Calder.¹

PUBLIC COMMENT SESSION

Mrs. Gravenhorst announced that there were four speakers who had requested time to address the board according to the board's procedures for public comment. She stated that each would be given five minutes for their remarks. She then asked the first speaker, Dr. Steve Balkin, to begin his comments. Dr. Balkin spoke in support of preserving the area around the former Maxwell Street Market. He noted that Maxwell Street was the birthplace of blues music and that the area had a rich history in the context of Chicago's development. He compared the nature of stores on Maxwell Street to that of the University, citing that each provided high quality at low prices. He concluded by asking for balancing some of the old buildings with the new structures planned for this area. Specifically, he asked for preservation of 36 buildings in the Maxwell Street area.

Next, Mrs. Gravenhorst invited Mr. William L. Lavicka to the podium. Mr. Lavicka also spoke of the importance of preserving the Maxwell Street area. He emphasized blending local architectural elements, which he said are a part of the history of the area, with new buildings that the University has planned. He too asked that 36 existing buildings be saved. During his presentation he referred to several maps and enlargements of newspaper stories to illustrate his points. Mr. Lavicka stated that old buildings could be renovated for less than new ones could be constructed. He also suggested that the renovation of the buildings could be accomplished as a private venture.

¹Mrs. Calder joined the meeting at 8:45 a.m.

Mrs. Gravenhorst then asked Mr. William F. Wendt, Jr., if he would like to take his turn. Mr. Wendt spoke about preserving these same buildings in the Maxwell Street area. He also quoted, from what he stated was a book on higher education, a passage that said that modern universities are the central institutions of the present. He related this to problems he saw associated with the development of UIC's south campus, and suggested that the University had a responsibility to preserve this area. He also criticized the developers who had been chosen for the campus expansion.

Last, Mrs. Gravenhorst asked Mr. Jimmie Lee Robinson to present his remarks. He presented these in song, with guitar accompaniment. The title of the song, which he had composed, was "The Teardown Blues."

(Copies of Dr. Balkin's and Mr. Lavicka's remarks are on file with the secretary. A compact disc of Mr. Robinson's song is also on file with the secretary.)

REPORT FROM THE CHICAGO CAMPUS SENATE

Dr. Donald L. Ehresmann, chair, UIC Senate Executive Committee, presented a report of the activities of the Chicago Senate for the past year. (A copy of the report is on file with the secretary.) He listed several accomplishments of the senate at Chicago for the past year including the establishment of an all-campus promotion and tenure committee; a review of a system of priority registration for students, with a recommendation to the chancellor for discontinuance of this; passage of a policy on "fitness to work" for faculty; and a report on faculty responsibility, to be issued later this year.

He then indicated that for this year the senate would review a policy for sanctions short of dismissal for faculty and a proposal for post-tenure reviews. In closing, Dr. Ehresmann thanked the board for their support this past year.

President Stukel then thanked the Chicago Senate for taking action on the matter of defining faculty responsibility and saluted them for their leadership in doing so.

Ms. Lopez asked a question about the review of faculty performance and the faculty responsibility matter and whether this would change the current way faculty carry out their responsibilities. In response, Professor Ehresmann answered that these new policies would certainly change the current practices of some faculty and departments. He noted that all academic units would be required to perform reviews of faculty performance and report the findings back to the individual faculty members.

The last comment was from Mrs. Gravenhorst who commended the Chicago Senate for recommending that an Athletic Board be established at that campus for oversight of intercollegiate athletics.

RECESS

The board recessed for meetings of the Committee on Academic Affairs and the Committee on the University Hospital and Clinics, and for luncheon.¹

BOARD MEETING RECONVENED

When the board reconvened at 1:20 p.m., Mrs. Gravenhorst welcomed the new student trustees to the board and introduced each since this was the first meeting she had attended with them. She then turned to President Stukel and invited him to present his report.

BUSINESS PRESENTED BY THE PRESIDENT OF THE UNIVERSITY

President Stukel recognized and introduced observers from the campus senates and from the University Senates Conference.²

Vision Statement for the University

The president then referred to the vision statement for the University which he had transmitted to the board several days earlier. He noted that this was first discussed at the board retreat in July 1997 and presented again in September 1997, with changes that the board had suggested. Further, he recounted that the board had agreed by consensus that the statement should be circulated internally to constituent groups. The president reported that he had consulted with the campus senates and staff advisory groups for comment, and now that that process was complete he was presenting the vision statement for the University to the board, as follows:

A Vision Statement for the University of Illinois

Context: Enduring Core Values

The University's 130-year evolution as a land-grant institution has produced a set of core values that underlie all aspects of its present and future programs. All new development will take place in the context of these core values, which stress:

- A university engaged with the society it serves;

¹The Committee on Academic Affairs received a presentation from Professor Burks Oakley on the new program UI-OnLine and the new pedagogy this involves, as well as an analysis of similar developments nationally. Professor Bernard Turnock then demonstrated a course he developed that utilizes the Internet as the modality for course delivery. Discussion followed about how using the Internet will change the way some students take courses and how it will augment traditional teaching and learning for more. Also, there was discussion on issues of intellectual property and charges for this kind of coursework versus the more traditional. President Stukel commented on this technological application saying that its importance and impact would be very great. (Materials are on file with the secretary.)

The Committee on the University Hospital and Clinics received the 1998 report on operations. Discussion followed on how this enterprise is faring in comparison with similar operations. The conclusion was that it was doing better than some and keeping pace with others, particularly in admitting patients. The board also learned that the Medical Service Plan is progressing in paying off its debt. (Materials are on file with the secretary.)

²University Senates Conference: Elliot Kaufman, M.D., associate professor of molecular genetics, College of Medicine at Chicago, Chicago campus; Chicago Senate: William G. Jones, assistant university librarian and associate professor, University Library; Springfield Senate: Frank Kopecky, professor in the Legal Studies Program; Urbana-Champaign Senate Council: Kenneth E. Andersen, professor emeritus of speech communication.

- A university delivering affordable education of internationally recognized stature to undergraduate, graduate, and professional students;
- A university that continuously improves the quality of its academic research and support programs; and
- A university that operates following best-business practices.

Emerging Environmental Challenges

- The U of I must shape its future, not leave it to chance.
- Technology is the one factor that pervades every aspect of the multiple missions the U of I fulfills. It is the single element that can produce a sea-change across all that we do. It is a wonderful opportunity, but a serious threat if we fall behind others who assume leadership roles.
- Technology is the third modern revolution in higher education:
 - Land-grant movement in the 19th century: access to the middle class
 - Community college development in the 20th century: universal access
 - Technology in the 21st century: access beyond the bounds of time and place
- The U of I's rich heritage of technology development, from the birth of the ILLIAC computer to the creation of MOSAIC, which opened the Internet to the world, matches our special strengths with a momentous opportunity.
- Future students, their parents and their prospective employers will expect a technologically sophisticated university education.

Vision for the Next Decade

Towards the full realization of our enduring core values—engagement with our society, provision of affordable and outstanding education, continuous improvement of academic research and support programs, and utilization of best-business practices—the University of Illinois will lead nationally in creating, assessing, transferring, and integrating advanced technologies in our teaching, research, outreach, and operations.

Report, Illinois Board of Higher Education

Next, President Stukel described for the board a recent report from the Illinois Board of Higher Education entitled *Education for the 21st Century: A Citizens' Agenda for Illinois Higher Education*. He indicated that this is the vision and mission statement of the Executive Officer of the IBHE and of that board. He explained that this report is important because the University's budget requests will be judged against the principles stated in this. He added that the presidents and chancellors of Illinois' public higher education institutions had had an opportunity for input into this report. President Stukel then described a survey that the Executive Director of the IBHE plans to circulate to the general public, graduates of Illinois public universities and colleges, and employers of these graduates, regarding their perceptions of these institutions. He promised to keep the board apprised of developments in these areas.

Comment Regarding Expansion Plans, Urbana Campus

The president then asked Chancellor Aiken for comment regarding the Urbana campus. The chancellor briefly told the board of plans being drawn for expansion of the South Farms of the Urbana campus. He indicated that this expansion was primarily for the Colleges of ACES and Veterinary Medicine. He said that representatives of the campus would make a report on these plans later in the fall.

Good News from the Campuses

President Stukel presented his monthly report of good developments at the campuses by noting that Professor Richard Powers, a novelist and professor of English at Urbana, had been named a 1998 Fellow of the American Academy of Arts and Sciences for his significant contributions to knowledge and culture.

He also reported that the UIC Entrepreneurial Studies Program had been named one of the best programs of its kind by *Success* magazine, ranking it 10th in the nation.

OLD BUSINESS

Mr. Lamont reported on a meeting of the Athletic Board at Urbana that had been held recently. He said that much of the meeting's discussion focused on budget matters, and added that the Division of Intercollegiate Athletics was predicting that revenues would not reach budget expectations. He added that the Urbana campus currently had no teams being monitored by the NCAA.

NEW BUSINESS

Mrs. Gravenhorst asked the student trustees to present their reports. Mr. Doyle stated that he was part of a group working to restructure student government at the Springfield campus. He added that this was his third year at that campus and that he had worked on a special program this past summer known as the Springfield Project, aimed at providing assistance to the community. He noted that he was the recipient of a Whitney Young scholarship for this year, and he also mentioned that his wife was a student at UIS and that they have three daughters.

Mr. Clark congratulated Mr. Gallo for being the first student trustee to have an official vote. He then said that he has tried to stay active and help other students during his time at the Chicago campus. He said that he has been at UIC for seven years, as an undergraduate and graduate student. He stated that he is currently working to increase the activity level and involvement of other students at UIC. He then referred to tee shirts he had given to his colleagues on the board and explained that these refer to a program known as Planet UIC. He described this as a volunteer program for students. He added that he had circulated a questionnaire to students at Chicago regarding their experiences on campus and that the results showed that students had very positive reactions to their experiences at UIC.

Mr. Gallo thanked everyone for the kind words made to him the past two days on the occasion of his first board meeting as a student trustee with an official vote. He then thanked Chancellor Aiken and the vice chancellors at Urbana, the Illini Union, the residence halls, and the faculty and staff at the Urbana campus for welcoming one of the largest freshman classes in the history of the campus this past week. He said that the special convocation, the quad cinema, and the all-nighter held at the Intramural-Physical Education Building were great for the students. He reported that he had a booth at the Quad Day presentation, along with the 800 organiza-

tions represented there—in an effort to educate students and communicate with them about the work of the board. He also noted that the Career Center had opened in new quarters this semester and that he had learned that a record-setting number of students had visited it in the last week to seek jobs following their graduation. In closing, he thanked the board and others for their consideration and assistance.

MINUTES APPROVED

The secretary presented for approval the press proofs of the minutes of the Board of Trustees meetings of January 14-15 and February 12-13, 1998, copies of which had previously been sent to the board.

On motion of Mrs. O'Malley, these minutes were approved.

REGULAR AGENDA

The board considered the following reports and recommendations from the president of the University.

By consensus, the board agreed that one vote would be taken and considered the vote on each agenda item nos. 2 through 6 inclusive. The recommendations were individually discussed but acted upon at one time.

(The record of board action appears at the end of each item.)

Provost and Vice Chancellor for Academic Affairs, Urbana

(2) The chancellor at Urbana has recommended the appointment of Richard H. Herman, presently dean of the College of Computer, Mathematical and Physical Science (CMPS) at the University of Maryland, as provost and vice chancellor for academic affairs, beginning on or before October 15, 1998, on a twelve-month service basis, at an annual nine-month base salary of \$135,000 plus 2/9 annualization of \$30,000 and an administrative increment of \$50,000, for a total annual salary of \$215,000. Dr. Herman will also be appointed to the rank of professor of mathematics on indefinite tenure on an academic year service basis on zero percent time.

Dr. Herman will succeed Larry R. Faulkner who left to become president of the University of Texas at Austin on April 12, 1998. Thomas Mengler, dean of the College of Law, has served as interim provost and vice chancellor for academic affairs since Dr. Faulkner's departure and will continue to serve through October 14, 1998.

This recommendation is made with the advice of a search committee.¹

The vice president for academic affairs concurs.

I recommend approval.

On motion of Dr. Gindorf, this appointment was approved.

¹Paul W. Bohn professor and head, Department of Chemistry, School of Chemical Sciences, College of Liberal Arts and Sciences, *chair*; Ilesanmi Adesida, professor of electrical and computer engineering and associate director, Center for Compound Semiconductor Microelectronics, College of Engineering; Laura M. Appenzeller, undergraduate student in advertising, College of Communications; Sharon K. Bryan, director of budget and resource planning, College of Engineering; Richard W. Burkhardt, professor of history, College of Liberal Arts and Sciences; Kathleen F. Conlin, professor of theatre and dean, College of Fine and Applied Arts; Lizanne DeStefano, associate professor of educational psychology and associate dean for research and director, Bureau of Educational Research, College of Education; Timothy K. Eatman, graduate student in educational policy studies, College of Education; William T. Greenough, professor of psychology, Swanlund Endowed Chair in Psychology, and director, Neuroscience Program, School of Life Sciences, College of Liberal Arts and Sciences, professor of psychiatry, College of Medicine at Urbana-Champaign, and Center for Advanced Study Professor of Psychology; Michael Grossman, professor of genetics in the Department of Animal Sciences, College of Agricultural, Consumer and Environmental Sciences; Dianne M. Pinderhughes, professor of political science and professor and director, Afro-American Studies and Research Program, College of Liberal Arts and Sciences.

Dean, College of Architecture and the Arts, Chicago

(3) The chancellor at Chicago has recommended the appointment of Judith Russi Kirshner, currently interim dean, College of Architecture and the Arts, director, School of Art and Design, professor, School of Art and Design, and professor of art history, as dean, College of Architecture and the Arts, beginning September 7, 1998, on a twelve-month service basis, at an annual nine-month base salary of \$98,182 plus 2/9 annualization of \$21,818 and an administrative increment of \$10,000, for a total salary of \$130,000. Dr. Kirshner will continue to hold the rank of professor, School of Art and Design, on indefinite tenure on an academic year service basis on zero percent time and professor of art history non-tenured on an academic year service basis on zero percent time. Professor Kirshner will succeed Ellen T. Baird who returned to the faculty in the Department of Art History in 1997.

This recommendation is made with the advice of a search committee.¹

The vice president for academic affairs concurs.

I recommend approval.

On motion of Dr. Gindorf, this appointment was approved.

Dean, College of Business Administration, Chicago

(4) The chancellor at Chicago has recommended the appointment of Anthony J. Rucci, formerly executive vice president, human resources, Fidelity Investments, Boston, Massachusetts, as dean, College of Business Administration, beginning September 28, 1998, on a twelve-month service basis, at an annual nine-month base salary of \$147,273, plus 2/9 annualization of \$32,727 and an administrative increment of \$50,000, for a total salary of \$230,000. Dr. Rucci will also be appointed to the rank of professor of managerial studies on indefinite tenure on an academic year service basis on zero percent time. He will succeed Paul Uselding who returned to the faculty in the Department of Economics. Dr. Lawrence Officer, who has served as the interim dean from September 1997 to date, will return to the faculty in the Department of Economics upon Dr. Rucci's arrival.

This recommendation is made with the advice of a search committee.²

The vice president for academic affairs concurs.

I recommend approval.

On motion of Dr. Gindorf, this appointment was approved.

¹Joan L. Shaver, professor of medical-surgical nursing and dean, College of Nursing, *chair*; Philip C. Burton, associate professor, School of Art and Design, College of Architecture and the Arts; Stuart E. Cohen, professor, School of Architecture, College of Architecture and the Arts; Margaret H. Denny, teaching assistant in art history, College of Architecture and the Arts; Anthony Graham-White, professor of performing arts, College of Architecture and the Arts; Peter B. Hales, professor of art history, College of Architecture and the Arts; Edna Hamburger, assistant to the dean for management and operations, College of Architecture and the Arts; William M. Kaplan, professor and music coordinator, Department of Performing Arts, College of Architecture and the Arts; Victor Margolin, associate professor of art history, College of Architecture and the Arts; Kerry James Marshall, associate professor, School of Art and Design, College of Architecture and the Arts; Sidney K. Robinson, associate professor, School of Architecture, College of Architecture and the Arts; Alene Valkanas, director, Illinois Arts Alliance.

²Lawrence A. Kennedy, professor of mechanical engineering and dean, College of Engineering, *chair*; Boris M. Astrachan, distinguished professor and physician surgeon, Department of Psychiatry at Chicago, College of Medicine; John J. Binder, associate professor of finance and associate dean and director of the MBA Program, College of Business Administration; Peter Chalos, professor of accounting and associate dean and director of the Ph.D. Program, College of Business Administration; James L. Chan, professor of accounting, College of Business Administration; Robert Forney, president and CEO, Chicago Stock Exchange, Inc.; James Kar-Yew Ho, professor of information and decision sciences, College of Business Administration; Evelyn L. Lehrer, professor of economics, College of Business Administration; John F. McDonald, professor of economics and professor and interim head, Department of Finance, College of Business and Administration; Carolyn Nordstrom, president, Chicago United, Inc.; Aris M. Ouksel, associate professor of information and decision sciences, College of Business Administration; Albert L. Page, professor of managerial studies, College of Business Administration; Sandra Jean Wayne, associate professor and director of academic affairs for the Center for Human Resources Management, Department of Managerial Studies; David Weinberg, formerly CEO, Fel-Pro.

Dean, College of Liberal Arts and Sciences, Chicago

(5) The chancellor at Chicago has recommended the appointment of Stanley Fish, currently associate vice provost, Arts and Sciences Professor of English and professor of law, and executive director of the Duke University Press, Duke University, Durham, North Carolina, as dean of the College of Liberal Arts and Sciences, beginning January 1, 1999, on a twelve-month service basis, at an annual nine-month base salary of \$180,000 plus 2/9 annualization of \$40,000 and an administrative increment of \$10,000, for a total salary of \$230,000. Dr. Fish will also be appointed to the rank of professor of English on indefinite tenure on an academic year service basis on zero percent time and to the rank of professor of criminal justice on indefinite tenure on an academic year service basis on zero percent time. Dr. Fish will succeed Sidney B. Simpson, Jr., who returned to the faculty in the Department of Biological Sciences. Dr. Eric Gislason, who has served as interim dean from October 1997 to date, will return to the faculty and headship of the Department of Chemistry upon Dr. Fish's arrival.

This recommendation is made with the advice of a search committee.¹

The vice president for academic affairs concurs.

I recommend approval.

On motion of Dr. Gindorf, this appointment was approved.

Interim Dean, College of Applied Life Studies, Urbana

(6) The chancellor at Urbana has recommended the appointment of Robert L. Sprague, presently professor of kinesiology, College of Applied Life Studies, as interim dean of the College of Applied Life Studies, beginning August 21, 1998, at an annual nine-month base salary of \$94,616 plus an administrative increment of \$2,000 per month pending approval of a permanent dean by the Board of Trustees.

Professor Sprague will continue to hold the rank of professor of kinesiology on indefinite tenure on an academic year service basis on zero percent time. In addition, Dr. Sprague will continue to hold the rank of professor of psychology, College of Liberal Arts and Sciences, on indefinite tenure, professor of special education, College of Education, on indefinite tenure, professor of basic sciences, College of Medicine at Urbana-Champaign, non-tenured, professor of community health, non-tenured, and professor, Division of Rehabilitation Education Services, non-tenured. He is succeeding Dean Michael Ellis who, effective August 21, 1998, is returning to his tenured faculty position in the Department of Kinesiology.

The nomination is made with the advice of the faculty and the Executive Committee of the college. The vice president for academic affairs concurs.

I recommend approval.

On motion of Dr. Gindorf, this appointment was approved.

¹Gerald S. Moss, professor of surgery and dean, College of Medicine, chair; Anne J. Cruz, professor of Spanish, French, Italian, and Portuguese, College of Liberal Arts and Sciences; Alejandra Garza (alumna), lecturer, AMA Solutions; Darnell Felix Hawkins, professor and head, Department of African-American Studies and professor, Department of Sociology, College of Liberal Arts and Sciences; Clark Hulse, professor of English, College of Liberal Arts and Sciences and executive associate dean of the Graduate College; Richard M. Johnson, professor of political science, College of Liberal Arts and Sciences; Timothy A. Keiderling, professor and acting head, Department of Chemistry, College of Liberal Arts and Sciences; Kathleen A. Knaf, professor of maternal-child nursing, executive associate dean, and director of graduate studies, College of Nursing, and NCAA liaison; Susan W. Liebman, professor of biological sciences, College of Liberal Arts and Sciences; Peter P. Moschovis (pre-medicine), student employee; Margaret A. Strobel, professor and acting director, Women's Studies Program, College of Liberal Arts and Sciences; Joseph P. Stokes, professor of psychology and director of undergraduate studies, College of Liberal Arts and Sciences; Linda A. Vavra, assistant director, Institute for the Humanities, College of Liberal Arts and Sciences; Philip D. Wagreich, professor of mathematics, statistics, and computer science and director, Institute for Mathematics and Science Education, College of Liberal Arts and Sciences.

By consensus, the board agreed that one vote would be taken and considered the vote on each agenda item nos. 7 through 15 inclusive. The recommendations were individually discussed but acted upon at one time.

(The record of board action appears at the end of each item.)

Appointments to the Faculty, Administrative/Professional Staff, and Intercollegiate Athletic Staff

(7) Positions in the University are classified in the following categories and are designated in the budget by the symbols indicated.

A—Indefinite tenure

B—Ten months' service paid over twelve months

K—Headship—As provided in the *Statutes*, the head of a department is appointed without specified term

N—Term appointment not eligible to be appointed for an indefinite term and not credited toward probationary period

Q—Initial term appointment for a professor or associate professor

Y—Twelve-month service basis

1-7—Indicates the number of years of service which will be credited at the end of the contract period toward completion of the probationary period relating to tenure.

Figures following a symbol indicate percentage of time if the appointment is on a part-time basis (e.g., N75 means one year on three-fourths time).

Appointments to the Faculty

According to State statute, the student trustee will not vote on those items marked with an asterisk.

The following new appointments to the faculty at the rank of assistant professor and above, and certain administrative positions, have been approved since the previous meeting of the Board of Trustees.

Chicago

* KEVIN G. BARNHURST, associate professor of communication, beginning August 21, 1998 (A), at an annual salary of \$66,000.

DANIEL J. BERNSTEIN, assistant professor of mathematics, statistics, and computer science, beginning September 1, 1998 (2), at an annual salary of \$48,000.

HUA YUN CHEN, assistant professor of biostatistics, School of Public Health, July 1-August 20, 1998 (N), \$8,241, and continuing August 21, 1998 (1), at an annual salary of \$44,501.

WEI CHEN, assistant professor of mechanical engineering, beginning August 21, 1998 (2), at an annual salary of \$62,000.

* ALAN MARK DIAMOND, associate professor of human nutrition and dietetics, College of Health and Human Development Sciences, July 1-August 20, 1998 (N), \$13,889, and continuing August 21, 1998 (A), at an annual salary of \$75,000.

PETER T. DORAN, assistant professor of earth and environmental sciences, July 1-August 20, 1998 (N), \$9,259, and continuing August 21, 1998 (1), at an annual salary of \$50,000.

MARK THOMAS DUFFY, assistant professor of ophthalmology and visual sciences, on 79 percent time, physician surgeon in ophthalmology and visual sciences, on zero percent time, College of Medicine at Chicago, and physician surgeon, University of Illinois Hospital and Clinics, on 21 percent time, beginning July 1, 1998 (NY79;NY;NY21), and continuing September 1, 1998 (1Y79; NY;NY21), at an annual salary of \$125,000.

DAVID R. FORDE, associate professor of criminal justice, for three years beginning August 21, 1998 (Q), at an annual salary of \$49,000.

- RAYMOND C. GARCIA, assistant professor of psychiatry, on 51 percent time, and physician surgeon in psychiatry, on 49 percent time, College of Medicine at Rockford, beginning July 1, 1998 (NY51:NY49), and continuing September 1, 1998 (1Y51:NY49), at an annual salary of \$115,000.
- ERIC NOVOTNY, assistant reference librarian and assistant professor, University Library, beginning August 3, 1998 (NY), and continuing September 1, 1998 (1Y), at an annual salary of \$34,500.
- M. VENKATA REDDY, assistant professor of microbiology in the Department of Biomedical Sciences, College of Medicine at Rockford, July 1-August 20, 1998 (\$7,407), and continuing August 21, 1998 (1), at an annual salary of \$40,000.
- CRISTIAN A. ROA-DE-LA CARRERA, assistant professor of Spanish, French, Italian, and Portuguese, on 50 percent time, June 4-August 20, 1998 (N), \$5,704, and continuing, on 100 percent time, August 21, 1998 (1), at an annual salary of \$40,000.
- LIJUN RONG, assistant professor of microbiology and immunology, College of Medicine at Chicago, June 21-August 20, 1998 (N), \$12,000, and continuing August 21, 1998 (1), at an annual salary of \$54,000.
- SUSANNE ROTT, assistant professor of German, on 25 percent time, June 1-August 20, 1998 (N), \$3,148, and continuing, on 100 percent time, August 21, 1998 (1), at an annual salary of \$42,500.
- MOHAN K. SAPRU, assistant professor, School of Kinesiology, June 1-August 20, 1998 (N), \$16,296, and continuing August 21, 1998 (1), at an annual salary of \$55,000.
- LEWIS E. WEDGEWOOD, associate professor of chemical engineering, for three years beginning August 21, 1998 (Q), at an annual salary of \$68,500.
- ERIC W. WELCH, assistant professor, Public Administration Program, College of Urban Planning and Public Affairs, beginning August 21, 1998 (1), at an annual salary of \$43,500.
- * RICHARD D. YE, associate professor of pharmacology, August 1-20, 1998 (N), \$5,289, and continuing August 21, 1998 (A), at an annual salary of \$71,400.

Emeriti Appointments

- KATE BARANY, professor emerita of physiology and biophysics, College of Medicine at Chicago, September 1, 1998
- DAVID BARDACK, professor emeritus of biological sciences, May 21, 1998
- G. BENJAMIN BOUCK, professor emeritus of biological sciences, February 1, 1998
- DONALD A. EGGERT, professor emeritus of biological sciences, May 21, 1997
- DOROTHY L. GROVER, professor emerita of philosophy, September 1, 1998

Springfield

- PETER (PIOTR) BOLTUC, assistant professor, Philosophy Program, on 23 percent time, August 9-15, 1998 (N), \$967, and continuing, on 100 percent time, August 16, 1998 (3) at an annual salary of \$37,300.
- * WILLA MARIE BRUCE, director, Doctorate of Public Administration Program, on 100 percent time on a twelve-month service basis at an annual salary of \$82,000, plus an administrative increment of \$2,000, beginning July 16, 1998 (NY100; NY). Dr. Bruce was also appointed to the rank of professor, Public Administration Program, on indefinite tenure on an academic year service basis, on zero percent time, beginning July 16, 1998 (A), for a total annual salary of \$84,000.
- PATRICIA E. BYRNES, assistant professor, Public Administration Program, on 67 percent time, and assistant professor, Institute for Public Affairs, on 33 percent time, beginning August 16, 1998 (3), at an annual salary of \$45,000.
- PATRIA DE LANCER, assistant professor, Public Administration Program, on 67 percent time, and assistant professor, Institute for Public Affairs, on 33 percent time, beginning August 16, 1998 (1) at an annual salary of \$45,000.

Urbana-Champaign

- STEVEN G. ANDERSON, assistant professor, School of Social Work, beginning May 21, 1998 (N), and continuing August 21, 1998 (1), at an annual salary of \$46,500.
- MARY PAULA ARENDS-KUENNING, assistant professor of agricultural and consumer economics, on 60 percent time, July 1-August 20, 1998 (N), \$6,278, and continuing, on 100 percent time, August 21, 1998 (1), at an annual salary of \$56,500.
- BRIDGET A. ARVOLD, assistant professor of curriculum and instruction, on 50 percent time, June 13-August 20, 1998 (N), \$4,176, and continuing, on 100 percent time, August 21, 1998 (1), at an annual salary of \$42,230.
- CHRISTOPHER J. BARDEEN, assistant professor of chemistry, July 21-August 20, 1998 (N), \$5,278, and continuing August 21, 1998 (1), at an annual salary of \$47,500.
- GERMAN A. BOLLERO, assistant professor of biometry in the Department of Crop Sciences, July 21-August 20, 1998 (N), \$5,667, and continuing August 21, 1998 (1), at an annual salary of \$51,000.
- JARED C. BRONSKI, assistant professor of mathematics, beginning August 21, 1998 (2), at an annual salary of \$53,000.
- M. CHRISTOPHER BROWN II, assistant professor of educational organization and leadership, beginning August 21, 1998 (1), at an annual salary of \$48,000.
- * ALEXANDR BUIUM, professor of mathematics, beginning August 21, 1998 (A), at an annual salary of \$78,000.
- THOMAS E. CANEVA, associate director of bands, School of Music, July 21-August 20, 1998 (N), \$5,100, and continuing, on an academic year service basis on 100 percent time (N100), August 21, 1998, at an annual salary of \$45,900. Dr. Caneva will receive an amount equal to one-ninth of his total academic year salary for one month's service during each summer of his appointment as associate director of bands (\$5,100 for 1998-99), for a total annual salary of \$51,000. Dr. Caneva was also appointed to the rank of associate professor of music, on an academic year service basis on zero percent time, for four years beginning August 21, 1998 (Q).
- CORY S. CAPPS, assistant professor of economics, beginning August 21, 1998 (1), at an annual salary of \$57,000.
- * IN-KOO CHO, William Kinkead Distinguished Professor of Economics, July 1-31, 1998 (N), \$15,000, and professor of economics, beginning August 21, 1998 (A), at an annual salary of \$136,000. Dr. Cho will also continue to hold the non-tenured position of William Kinkead Distinguished Professor of Economics, on zero percent time.
- * LEON DECOSTA DASH, professor of journalism, on 75 percent time, and professor, Afro-American Studies and Research Program, on 25 percent time, beginning August 21, 1998 (A75;A25), at an annual salary of \$80,000.
- JEFF G. ERICKSON, assistant professor of computer science, beginning August 21, 1998 (1), at an annual salary of \$62,000.
- FENG SHENG HU, assistant professor of plant biology, beginning August 21, 1998 (1), at an annual salary of \$47,500.
- * ANDREW MARK ISSERMAN, professor of agricultural and consumer economics on 75 percent time, and professor of urban and regional planning on 25 percent time, beginning August 21, 1998 (A75;A25), at an annual salary of \$115,500.
- * RUSSELL DOUGLAS JAMISON, professor of materials science and engineering, July 21-August 20, 1998 (N), \$13,333, and continuing August 21, 1998 (A), at an annual salary of \$120,000.
- JAY P. KESAN, assistant professor, College of Law, on 64 percent time, July 6-August 20, 1998 (N), \$8,000, and continuing, on 100 percent time, August 21, 1998 (1), at an annual salary of \$75,000.
- JEFFREY A. KRUG, assistant professor of business administration, on 98 percent time, May 25-July 8, 1998 (N), \$12,000, and continuing, on 100 percent time, August 21, 1998 (1), at an annual salary of \$75,000.

- JORDANA S. MENDELSON, assistant professor, School of Art and Design, beginning August 21, 1998 (1), at an annual salary of \$36,000.
- ERIC K. MEYER, assistant professor of journalism, beginning August 21, 1998 (1), at an annual salary of \$46,000.
- JEFFREY W. MOSS, assistant professor of agricultural education in the Department of Human and Community Development, beginning August 21, 1998 (1), at an annual salary of \$52,500.
- ALAYNE J. ORMEROD, assistant professor of educational psychology, beginning August 21, 1998 (1), at an annual salary of \$44,000.
- * RICHARD W. PAINTER, associate professor, College of Law, on 50 percent time, July 3-August 20, 1998 (N), \$8,000, and continuing, on 100 percent time, August 21, 1998 (A), at an annual salary of \$90,000.
- * TIMOTHY R. PAUKETAT, associate professor of anthropology, beginning August 21, 1998 (A), at an annual salary of \$55,000.
- * MARK EVERETT PEECHER, associate professor in the Department of Accountancy, on 53 percent time, June 15-August 20, 1998 (N), \$15,656, and in the Center for International Business Education and Research, on 32 percent time, June 15-August 20, 1998 (N), \$9,344, and continuing as associate professor of accountancy, on 100 percent time, August 21, 1998 (A), at an annual salary of \$120,000.
- CHRIS ROBERT REHMANN, assistant professor of civil and environmental engineering, beginning August 21, 1998 (1), at an annual salary of \$60,000.
- LYNN L. RIDINGER, assistant professor of leisure studies, beginning August 21, 1998 (1), at an annual salary of \$40,000.
- SCOTT K. ROBINSON, associate professor of ecology, ethology, and evolution, on 33 percent time, beginning January 21, 1998 (A), at an annual salary of \$21,303. Dr. Robinson will continue to hold the rank of adjunct associate professor of forestry on zero percent time, and will continue to serve as associate head of the Department of Ecology, Ethology, and Evolution on zero percent time on an academic year service basis with an administrative increment of \$3,000 (N;N), for a total academic year 1997-98 salary of \$24,303. Dr. Robinson was recommended for promotion in rank to professor of ecology, ethology, and evolution effective August 21, 1998; the promotion was approved by the board on July 9, 1998.
- RICHARD OWEN SNYDER, assistant professor of political science, beginning August 21, 1998 (1), at an annual salary of \$45,000.
- LUCIO SOIBELMAN, assistant professor of civil and environmental engineering, July 30-August 20, 1998 (N), \$4,978, and continuing August 21, 1998 (1), at an annual salary of \$64,000.
- THOMAS B. VOIGT, assistant professor of natural resources and environmental sciences, beginning August 21, 1998 (1), at an annual salary of \$46,000.

Emeriti Appointments

- DONELSON E. DULANY, JR., professor emeritus of psychology, August 21, 1998
- RICHARD E. FORD, professor emeritus of crop sciences, August 21, 1998
- ROBERT G. HAYS, associate professor emeritus of agricultural communications in the Department of Human and Community Development, August 21, 1998
- LELAND E. HOLLOWAY, professor emeritus of physics, August 21, 1998
- L. DANIEL METZ, professor emeritus of general engineering, August 1, 1998
- CHRISTOPHER MOYER, associate professor emeritus, School of Architecture, August 21, 1998
- ALAN J. PESHKIN, professor emeritus of comparative education in the Department of Educational Psychology, May 21, 1998
- GLYN C. ROBERTS, professor emeritus of kinesiology, August 21, 1998
- STANLEY L. SHINALL, assistant professor emeritus of French, August 21, 1998
- ROBERT EARL STAKE, professor emeritus of educational psychology, August 21, 1998
- KOJI TAIRA, professor emeritus in the Department of Economics and in the Institute of Labor and Industrial Relations, August 21, 1998

PAUL C. VIOLAS, professor emeritus of history of education in the Department of Educational Policy Studies, June 21, 1998

THEODORE ZERNICH, JR., professor emeritus of art, School of Art and Design, August 21, 1998

Administrative/Professional Staff

PATRICIA A. BUCHANAN, assistant dean for extension operations, Cooperative Extension Service, Urbana-Champaign, beginning July 21, 1998 (NY), at an annual salary of \$131,000.

JOHN E. COLLINS, director, Housing Division, Urbana-Champaign, beginning August 17, 1998 (NY), at an annual salary of \$97,000.

STANTON DELANEY, executive director, South Campus Development Project, Office of the Chancellor, Chicago, beginning June 1, 1998 (NY), at an annual salary of \$139,400. Dr. Delaney will continue to hold the non-salaried position of adjunct associate professor of criminal justice, on zero percent time (N).

* LIZANNE DESTEFANO, chair, Department of Educational Psychology, Urbana-Champaign, on zero percent time on a twelve-month service basis with an administrative increment of \$3,000, beginning September 4, 1998 (NY). In addition, Dr. DeStefano will receive an amount equal to two-ninths of her academic year salary for two months' service during each summer of her appointment as chair (\$15,975 during 1998-99). Dr. DeStefano will continue to serve as associate dean for research and director, Bureau of Educational Research, on an academic year service basis on 50 percent time (N50), at an annual salary of \$35,943 plus an additional administrative increment of \$3,000 (NY), and will continue to hold the rank of associate professor of educational psychology on indefinite tenure on an academic year service basis on 50 percent time (A50), at an annual salary of \$35,943, for a total annual salary of \$93,861.

MARK DONOVAN, associate vice chancellor for facilities management, Chicago, beginning September 7, 1998 (NY), at an annual salary of \$95,000.

* SHERMAN ELIAS, head, Department of Obstetrics and Gynecology, College of Medicine at Chicago, on zero percent time on a twelve-month service basis with an administrative increment of \$50,000, beginning September 15, 1998 (KY). Dr. Elias will also be appointed to the rank of professor of obstetrics and gynecology, on indefinite tenure on a twelve-month service basis on 38 percent time (AY38), at an annual salary of \$100,000, and as physician surgeon in obstetrics and gynecology, College of Medicine at Chicago, on a twelve-month service basis on 62 percent time (NY62), at an annual salary of \$167,000, beginning September 15, 1998. In addition, Dr. Elias will serve as chief of service of obstetrics and gynecology, University of Illinois Hospital and Clinics, on zero percent time on a twelve-month service basis with an administrative increment of \$50,000, beginning September 15, 1998 (NY), for a total annual salary of \$367,000.

* CHESTER S. GARDNER, associate dean, College of Engineering and director, Engineering Experiment Station, Urbana-Champaign, July 1-August 20, 1998 (N), \$24,670, and continuing, on an academic year service basis on 100 percent time (N100), August 21, 1998, at an annual salary of \$146,540. In addition, Dr. Gardner will receive an amount equal to two-ninths of his academic year salary for two months' service during each summer of his appointment as associate dean and director (\$32,564 for 1998-99). Dr. Gardner will continue to hold the rank of professor of electrical and computer engineering on indefinite tenure on an academic year service basis on zero percent time (A), for a total academic year salary of \$179,104.

ANTHONY F. GRAZIANO, assistant to the president, University Administration, beginning July 1, 1998 (NY), at an annual salary of \$166,800.

* VIOLET J. HARRIS, head, Department of Curriculum and Instruction, Urbana-Champaign, on zero percent time on a twelve-month service basis with an administrative increment of \$3,000, beginning September 4, 1998 (KY). In addition, Dr. Harris will receive an amount equal to two-ninths of her academic year salary for two months'

- service during each summer of her appointment as head (\$15,058 during 1998-99). Dr. Harris will continue to hold the rank of professor of curriculum and instruction on indefinite tenure on an academic year service basis on 100 percent time (A100), at an annual salary of \$67,760, for a total annual salary of \$85,818.
- * TIMOTHY P. JOHNSON, director, Survey Research Laboratory, College of Urban Planning and Public Affairs, Chicago, on 100 percent time on a twelve-month service basis, at an annual salary of \$79,444 plus an administrative increment of \$15,000, beginning September 7, 1998 (NY100;NY). Dr. Johnson will also be appointed to the rank of associate professor, Public Administration Program, on indefinite tenure on an academic year service basis on zero percent time, beginning September 7, 1998 (A), and will continue to hold the rank of research assistant professor, School of Public Health, non-tenured, on zero percent time (N), for a total annual salary of \$94,444.
- * GERALD J. PIJANOWSKI, associate dean for academic and student affairs, College of Veterinary Medicine, Urbana-Champaign, on 90 percent time on a twelve-month service basis, at an annual salary of \$90,000 plus an administrative increment of \$5,000, beginning July 1, 1998 (NY90;NY). Dr. Pijanowski will continue to hold the rank of associate professor of veterinary biosciences on indefinite tenure on a twelve-month service basis on 10 percent time (AY10), at an annual salary of \$10,000, for a total annual salary of \$105,000.
- JILL A. TARZIAN SORENSEN, director, Intellectual Property Office, Office of the Vice Chancellor for Research, Chicago, beginning September 7, 1998 (NY), at an annual salary of \$120,000.
- * DIETMAR R. WINKLER, director, School of Art and Design, Urbana-Champaign, on 100 percent time on a twelve-month service basis, at an annual salary of \$98,000 plus an administrative increment of \$8,000, beginning September 4, 1998 (NY100;NY). Dr. Winkler was appointed to the rank of professor, School of Art and Design, on indefinite tenure on an academic year service basis on zero percent time (A), beginning August 21, 1998, for a total annual salary of \$106,000.
- JENNIFER L. WOODARD, deputy associate chancellor, Office of the Chancellor, Chicago, beginning September 7, 1998 (NY), at an annual salary of \$97,750.

Intercollegiate Athletic Staff

- MICHAEL J. DEE, head coach, Men's Baseball, Division of Intercollegiate Athletics, Chicago, on a twelve-month service basis, multi-year agreement, effective September 7, 1998 through September 30, 2001 (NY), at an annual salary of \$50,000. Mr. Dee was appointed head coach designate, with a temporary appointment beginning August 3, 1998, pending approval of the terms of a permanent appointment with multi-year agreement by the Board of Trustees.
- MICHAEL B. MCGOVERN, head varsity coach, Women's Softball, Division of Intercollegiate Athletics, Chicago, on 50 percent time on a ten months' service paid over twelve months service basis, with a multi-year agreement effective October 1, 1998 through September 30, 2001 (NB), at an annual salary of \$43,000 for 1998-99. In addition, Mr. McGovern will continue to hold the rank of assistant professor, School of Kinesiology, College of Health and Human Development Sciences, on indefinite tenure on an academic year service basis, on 50 percent time, at an annual salary of \$30,540 (A) for a total annual salary of \$73,540.

On motion of Mr. Engelbrecht, these appointments were confirmed.

Honorary Degree, Urbana

- (8) The senate of the Urbana-Champaign campus has recommended that an honorary degree be conferred on the following person at the Commencement Exercises on May 16, 1999:

SHOZO SATO, professor emeritus, University of Illinois at Urbana-Champaign, retired artist-in-residence, Krannert Center for the Performing Arts—the honorary degree of Doctor of Fine Arts

The chancellor concurs in the recommendation.

I recommend approval.

On motion of Mr. Engelbrecht, this degree was authorized as recommended.

Appointments to the Athletic Board, Urbana

(9) On recommendation of the Chancellor at Urbana, Professor J. Fred Giertz (Department of Economics), Associate Professor Matthew B. Wheeler (Department of Animal Sciences), Associate Professor Joyce C. Wright (Library), Mr. Joel D. Weisman (alumnus), Kristin L. Acuff (student), Adam M. Collopy (student) and Kara L. Loffelmacher (student) have been nominated for appointment to the Athletic Board of the University of Illinois at Urbana-Champaign. The appointments will be effective in August 1998, and continue for the term designated or until a successor has been appointed.

The Athletic Board is a committee concerned with intercollegiate athletics at the Urbana campus. In its oversight of the academic and educational aspects of the intercollegiate athletics program, it is a committee of the Urbana-Champaign Senate. The board also serves as an advisory committee to the chancellor and the athletic director on the financial management, personnel, and other operational aspects of the intercollegiate athletics program.

The board consists of seventeen members: two faculty representatives to the Big Ten; seven other faculty members; four alumni of the Urbana-Champaign campus; the chair of the Board of Trustees' Committee on Athletic Activities; three students; and three ex-officio members without vote: a designee of the chancellor, the university comptroller or designee, and the athletic director. Terms are staggered so that each year two faculty members and one alumnus are proposed for membership; the faculty members and the alumni serve four-year terms, the student members, one-year terms. A slate of faculty and student nominees is provided by the Urbana-Champaign Senate (the Illinois Student Government and the Student Athlete Advisory Board also submit nominations to the chancellor to fill one student position each), and the slate of alumni, by the Urbana-Champaign Council of the Alumni Association. The chancellor reviews the list of candidates and forwards his recommendations to the president of the University for action by the Board of Trustees.

I recommend approval of these appointments.

On motion of Mr. Engelbrecht, these appointments were approved.

Amend Multiyear Contract with Head Men's Basketball Coach, Chicago

(10) On June 13, 1996, the Board of Trustees approved an employment contract with Jimmy Collins to serve as head men's basketball coach from April 1, 1996, through March 31, 2001. He will receive a base salary for the 1998-1999 year of \$147,420, plus additional other contractual compensation of \$30,000 for shoe and apparel endorsements, and radio and television appearances. Under this Collins contract, other duties include educational, public relations, consulting, and promotional events. Total compensation is \$177,420.

Due to the increase in profile for Coach Collins and the men's basketball program during this past year, the demands of the media, corporate entities, minority outreach, and other community activities have dramatically changed the original prospectus for his activities. Therefore, Coach Collins will receive additional compensation of \$50,000 a year effective 1998-99 for these additional services, making his total compensation \$227,420.

Coach Collins has requested that the University amend his current employment contract by removing the educational, public relations, consulting, and promotional obligations. Instead, the University would enter into a separate contract with the Jimmy Collins Corporation whereby the corporation would arrange these educational, public relations, consulting, and promotional events to be provided by Coach Collins, and the compensation for these services would be paid to the corporation.

This type of corporate structure is identical to that approved by the board in January 1998 for use with Coaches Grentz and Kruger. Prior to entering into contracts with those coaches, the University obtained advice from an independent tax advisor that such arrangements with a corporation are permissible.

The chancellor at Chicago and vice president for business and finance recommend execution of the amended agreement with Jimmy Collins and the new agreement with the Jimmy Collins Corporation as described.

I concur.

On motion of Mr. Engelbrecht, these recommendations were approved.

Proposed Changes to the Policy on Intellectual Property— Revisions to Article III of *The General Rules Concerning University Organization and Procedure*

(11) The following proposed revisions to Article III of *The General Rules Concerning University Organization and Procedure* represents a significant three-year effort to improve the University's policies and administration pertaining to intellectual property. The need to revise our policies in this area was driven by our need to decentralize certain aspects of the administration of intellectual property in order to improve our ability to respond in a timely fashion to opportunities to license our inventions while fully protecting the University's interests and commitments. At the same time, the development of technologies that may be eligible for patent or copyright led us to combine our separate policies on patents and copyrights into one comprehensive and consistent policy.

Authored by the University Intellectual Property Committee and endorsed by all three campus senates, this revision is intended:

- To create as far as possible a unified document covering patents, copyrights, and trademarks, rather than the separate, very repetitive and hence confusing, segments that were evolving;
- To clarify the University's goals in this arena and to indicate its priorities;
- To eliminate the problematic two-stage scheme for distributing royalties;
- To update the policy in light of recent changes in the practice of technology transfer, the relationship between patents and copyrights, the nature of intellectual property itself, and other developments, and to create a policy flexible enough to deal with future changes as yet unknown;
- To recognize the recent increased delegation of responsibility for intellectual property matters to the campuses.

Since this proposal includes a complete re-organization of the existing policy, a line-by-line or even section-by-section comparison is not possible. The major changes to the current policy are the definitions of ownership, the distribution of income, and the delimitation of campus-based responsibility. The distribution of income was changed so as to be consistent between patents and copyrights and to include a 20 percent distribution to the department in addition to the 40 percent distribution to the campus. Finally, language was added to allow each campus to establish an office for administering University policies regarding intellectual property.

This change to the *General Rules* has the support of the vice president for academic affairs, the campus senates, and the University Senates Conference.

I recommend approval.

On motion of Mr. Engelbrecht, this recommendation was approved.

Excerpt from *The General Rules Concerning University Organization and Procedure*

ARTICLE III. INTELLECTUAL PROPERTY

1. Objectives
2. Definitions
3. Application
4. Copyrights
5. Other Intellectual Property
6. Trademarks
7. Intellectual Property Administration
8. Proceeds Distribution

SECTION 1. OBJECTIVES

Inventions, discoveries, copyrightable works and other creative works that have the potential to be brought into practical use may result from the activities of university employees in the course of their duties or through the use, by any person, of university resources such as facilities, equipment, or funds.

The primary purpose of this intellectual property policy is to provide the necessary protections and incentives to encourage both the discovery and development of new knowledge and its transfer for the public benefit; a secondary purpose is to enhance the generation of revenue for the University and the creators. The University is guided by the following objectives:

- (i) To optimize the environment and incentives for research and for the creation of new knowledge at the University;
- (ii) To ensure that the educational mission of the University is not compromised;
- (iii) To bring technology into practical use for the public benefit as quickly and effectively as possible; and
- (iv) To protect the interest of the people of Illinois through a due recovery by the University of its investment in research.

SECTION 2. DEFINITIONS

- (a) Intellectual Property. The term "intellectual property" as used herein is broadly defined to include inventions, discoveries, know-how, show-how, processes, unique materials, copyrightable works, original data and other creative or artistic works which have value. Intellectual property includes that which is protectable by statute or legislation, such as patents, copyrights, trademarks, service marks, trade secrets, mask works, and plant variety protection certificates. It also includes the physical embodiments of intellectual effort, for example, models, machines, devices, designs, apparatus, instrumentation, circuits, computer programs and visualizations, biological materials, chemicals, other compositions of matter, plants, and records of research.
- (b) Traditional Academic Copyrightable Works. "Traditional academic copyrightable works" are a subset of copyrightable works created independently and at the creator's initiative for traditional academic purposes. Examples include class notes, books, theses and dissertations, educational software (also known as courseware or lessonware), articles, non-fiction, fiction, poems, musical works, dramatic works including any accompanying music, pantomimes and choreographic works, pictorial, graphic and sculptural works, or other works of artistic imagination that are not created as an institutional initiative (as specified in Section 4(a)(2) below).
- (c) Creator. "Creator" refers to an individual or group of individuals who make, conceive, reduce to practice, author, or otherwise make a substantive intellectual contribution to the creation of intellectual property. "Creator" includes the

definition of “inventor” used in U.S. patent law and the definition of “author” used in the U.S. Copyright Act.

- (d) University Resources Usually and Customarily Provided. When determining ownership and license rights in copyrightable works, “university resources usually and customarily provided” includes such support as office space, library facilities, ordinary access to computers and networks, or salary. In general, it does not include use of students or employees as support staff to develop the work, or substantial use of specialized or unique facilities and equipment, or other special subventions provided by the University unless approved as an exception.

Exceptions are expected in units where the tradition is to provide subvention to some faculty in the form of graduate assistants to help prepare traditional academic copyrightable works. Exceptions are also expected in situations where creators use university-provided facilities and resources in the creation of works of artistic imagination, for example, use of studios, pottery wheels, or kilns for the creation of paintings, sculpture or ceramics; use of high end computer hardware and software in the creation of artistic graphical images; and so on. Other individual exceptions may be approved on a case-by-case basis (see Section 7(j)).

SECTION 3. APPLICATION

This policy as amended from time to time shall be deemed a part of the conditions of employment for every employee of the University and a part of the conditions of enrollment and attendance at the University by students. It is also the policy of the University that individuals (including visitors) by participating in a sponsored research project and/or making significant use of university-administered resources thereby accept the principles of ownership of intellectual property as stated in this policy unless an exception is approved in writing by the University. All creators of intellectual property shall execute appropriate assignment and/or other documents required to set forth effectively ownership and rights as specified in this policy.¹

This policy applies only to intellectual property disclosed after the effective date of the policy (September 3, 1998).

SECTION 4. COPYRIGHTS

- (a) Ownership. Unless subject to any of the exceptions specified below or in Section 4(c), creators retain all rights to traditional academic copyrightable works as defined in Section 2(b) above. (See, however, Sections 4(b)(2) below.)

The University shall own copyrightable works as follows:

- (1) Works created pursuant to the terms of a university agreement with an external party,

or

- (2) Works created as a specific requirement of employment or as an assigned university duty that may be specified, for example, in a written job description or an employment agreement. Such specification may define the full scope or content of the employee’s university employment duties comprehensively or may be limited to terms applicable to a single copyrightable

¹The creator’s obligation to assign rights to the University is subject to the provisions of the Illinois Employee Patent Act, which provides in part:

A provision in an employment agreement which provides that an employee shall assign or offer to assign any of the employee’s rights in an invention to the employer does not apply to an invention for which no equipment, supplies, facilities, or trade secret information of the employer was used and which was developed entirely on the employee’s own time unless (a) the invention relates (i) to the business of the employer, or (ii) to the employer’s actual or demonstrably anticipated research or development, or (b) the invention results from any work performed by the employee for the employer. Any provision which purports to apply to such an invention is to that extent against the public policy of the state and is to that extent void and unenforceable. The employee shall bear the burden of proof in establishing that his invention qualifies under this subsection.

work. Absent such prior written specification, ownership will vest with the University in those cases where the University provides the motivation for the preparation of the work, the topic or content of which is determined by the creator's employment duties and/or when the work is prepared at the university's expense.¹

- (3) Works specifically commissioned by the University. The term "commissioned work" is hereafter used to describe a copyrightable work prepared under a written agreement between the University and the creator when (1) the creator is not a university employee or (2) the creator is a university employee but the work to be performed falls outside the normal scope of the creator's university employment. Contracts covering commissioned works shall specify that the author convey by assignment, if necessary, such rights as are required by the University.
 - (4) Works that are also patentable. The University reserves the right to pursue multiple forms of legal protection concomitantly if available. Computer software, for example, can be protected by copyright, patent, trade secret and trademark.
- (b) University Rights in Creator-Owned Works
- (1) Traditional academic copyrightable works created using university resources usually and customarily provided are owned by the creators. Such works need not be licensed to the University.
 - (2) Traditional academic copyrightable works created with use of university resources over and above those usually and customarily provided shall be owned by the creators but licensed to the University. The minimum terms of such license shall grant the University the right to use the original work in its internally administered programs of teaching, research, and public service on a perpetual, royalty-free, non-exclusive basis. The University may retain more than the minimum license rights when justified by the circumstances of development.
- (c) Student Works. Unless subject to the provisions of paragraph (a) or provided otherwise by written agreement, copyrightable works prepared by students as part of the requirements for a university degree program are deemed to be the property of the student but are subject to the following provisions:
- (1) The original records (including software) of an investigation for a graduate thesis or dissertation are the property of the University but may be retained by the student at the discretion of the student's major department.
 - (2) The University shall have, as a condition of the degree award, the royalty-free right to retain, use and distribute a limited number of copies of the thesis, together with the right to require its publication for archival use.
- (d) Copyright Registration and Notice. University-owned works should be protected by copyright notice in the name of the Board of Trustees of the University of Illinois. Such copyright notice should be composed and affixed in accordance with the United States Copyright Law. Registration of the copyright for university-owned works shall be in accordance with the operational guidelines and procedures established by the vice chancellor for research on each campus. The University may also decide to release a work to the public domain and if so, should so indicate.
- (e) University Press Publications. The University Press shall be responsible for copyright registration of works owned by the University and published by the Press and for administering contracts with its authors. Such contracts shall define the rights and obligations of the author and the University and shall be processed as are other university contracts.

¹Provisions (1) and (2) above define those works that fall within the scope of university employment as that term is used in the definition of "work made for hire" in the U.S. Copyright Statute (see Title 17, USC, Section 101).

- (f) Compliance with the Copyright Act. University units that administer activities involving any usage regulated by the Copyright Act are responsible for knowing applicable regulations, monitoring their continuing evolution, and conducting their programs in full compliance with the applicable laws and regulations.

SECTION 5. OTHER INTELLECTUAL PROPERTY

Ownership. Except as otherwise specified herein or by the University in writing, intellectual property shall belong to the University if made: (1) by a university employee as a result of the employee's duties or (2) through the use by any person, including a university employee, of university resources such as facilities, equipment, funds, or funds under the control of or administered by the University. (See also Section 4(a)(4) above.)

SECTION 6. TRADEMARKS

Trademarks and service marks are distinctive words or graphic symbols identifying the source, product, producer, or distributor of goods or services. Registration of trademarks or service marks, at the state or federal level, shall be approved by the appropriate campus or university level officer. Proceeds received from commercialization of a mark that is related to an intellectual property license will be shared with all creator(s) of the associated property as specified in Sections 8(b) and 8(c) below. For proceeds received from commercialization of a mark that is licensed independently and is not directly related to an intellectual property license, the share that would normally be distributed to the creator(s) will be assigned to the unit(s) from which the trademark or service mark originated. Except as provided herein or unless subject to prior written agreement between the creator(s) and the University, the University will not share the proceeds from commercialization of a mark with the individual(s) who created the mark.

SECTION 7. INTELLECTUAL PROPERTY ADMINISTRATION

- (a) Disclosure. All intellectual property in which the University has an ownership interest under the provisions of this policy and that has the potential to be brought into practical use for public benefit or for which disclosure is required by law shall be reported promptly in writing by the creator(s) to the designated campus officer through the appropriate unit executive officer(s) using the disclosure form provided by the campus. The disclosure shall constitute a full and complete disclosure of the subject matter of the discovery or development and identify all persons participating therein. The creator(s) shall furnish such additional information and execute such documents from time to time as may be reasonably requested.
- (b) Evaluation and Exploitation Decisions. After evaluation of the intellectual property and review of applicable contractual commitments, the University may develop the property through licensing, may release it to the sponsor of the research under which it was made (if contractually obligated to do so), may release it to the creator(s) if permitted by law, or may take such other actions as are determined to be in the public interest. Exploitation by the University may or may not involve statutory protection of the intellectual property rights, such as filing for patent protection, registering the copyright, or securing plant variety certification.
- (c) Questions Related to University Ownership. In the event there is a question as to whether the University has a valid ownership claim in intellectual property, such intellectual property should be disclosed in writing to the University by the creator(s) in accordance with Section 7(a). Such disclosure is without prejudice to the creator's ownership claim. The University will provide the creator with a written statement as to the university's ownership interest.
- (d) Informing Creators of Decisions. The University will inform principal creators of its substantive decisions regarding protection, commercialization and/or disposition of intellectual property which they have disclosed. However, specific terms of agreements with external parties may be proprietary business information and subject to confidentiality restrictions.

- (e) **University Abandons Intellectual Property.** Should the University decide to abandon development or protection of university-owned intellectual property, ownership may be assigned to the creator(s) as allowed by law subject to the rights of sponsors and to the retention of a license to practice for university purposes. The minimum terms of such license shall grant the University the right to use the intellectual property in its internally administered programs of teaching, research, and public service on a perpetual, royalty-free, non-exclusive basis. The University may retain more than the minimum license rights, and the assignment or license may be subject to additional terms and conditions, such as revenue sharing with the University or reimbursement of the costs of statutory protection, when justified by the circumstances of development.
- (f) **Commercialization by Creator(s).** The University may, at its discretion and consistent with the public interest, license intellectual property to the creator(s) on an exclusive or non-exclusive basis. The creator(s) must demonstrate technical and business capability to commercialize the intellectual property. The creator(s) may be required to assume the cost of statutory protection. Agreements with creators will be subject to review and approval of conflict of interest issues in accordance with applicable university policy.
- (g) **University's Acceptance of Independently Owned Intellectual Property.** The University may accept assignment of intellectual property from other parties provided that such action is determined to be consistent with the public interest. Intellectual property so accepted shall be administered in a manner consistent with the administration of other university-owned intellectual property.
- (h) **Consulting Agreements.** Employees engaged in external consulting work or business are responsible for ensuring that agreements emanating from such work are not in conflict with university policy or with the university's contractual commitments. Such employees should make their university obligations known to others with whom they make such agreements and should provide other parties to such agreements with a statement of applicable university policies regarding ownership of intellectual property and related rights.
- (i) **Statement by Creators.** The creators of intellectual property owned by the University under the terms of this policy may be required to state that to the best of their knowledge the intellectual property does not infringe on any existing patent, copyright or other legal rights of third parties; that if the work is not the original expression or creation of the creators, the necessary permission for use has been obtained from the owner; and that the work contains no libelous material nor material that invades the privacy of others.
- (j) **Administrative Responsibility.** The president has ultimate authority for the stewardship of intellectual property developed at the University. Primary responsibility is delegated through the vice president for academic affairs to the vice chancellor for research on each campus for establishing operational guidelines and procedures for the administration of intellectual property, including but not limited to determination of ownership, assignment, protection, licensing, marketing, maintenance of records, oversight of revenue or equity collection and distribution, approval of individual exceptions, and resolution of disputes among creators and/or unit executive officers.
- (k) **Campus Responsibility.** Each campus may establish an office which has responsibility for administering university policies regarding intellectual property as defined herein.
- (l) **Contractual Authority.** Licenses, options for licenses and other agreements related to commercialization or exploitation of intellectual property will be granted in the name of the Board of Trustees of the University of Illinois. All such contracts shall be executed in accordance with Article II of these *General Rules*.
- (m) **Administrative Guidelines and Procedures.** General guidelines and procedures for the administration of intellectual property shall be established by the presi-

- dent in consultation with the University Intellectual Property Committee (as specified in Section 7(n) below) and the campuses. Detailed operational guidelines and procedures for the administration of campus-based responsibilities shall be established by the vice chancellor for research on each campus.
- (n) University Intellectual Property Committee. The University Intellectual Property Committee shall be appointed annually by the president to make recommendations to the president regarding procedures, guidelines, and responsibilities for the administration and development of intellectual property and such other matters as the president shall determine.
 - (o) Appeals. After following the administrative guidelines and procedures established by each campus, the university creator or unit executive officer may appeal to the University Intellectual Property Committee to seek resolution of complaints or questions regarding the matters addressed in this article.
 - (p) Preferential Treatment of Sponsors. Sponsored research agreements shall provide that all intellectual property developed as a result of the sponsored research project shall belong to the University unless otherwise specified in writing. The sponsor may receive an option to license such resulting intellectual property on terms to be negotiated, said option to be exercised within a specified period following the disclosure of the intellectual property. When the nature of the proposed research allows identification of a specific area of intellectual property or application which is of interest to the sponsor, the University may accept research agreements with terms which entitle the sponsor to specific commercial rights within the defined field of interest. Otherwise, the specific terms of licenses and rights to commercial development shall be based on negotiation between the sponsor and the University at the time of exercise of an option by the sponsor and shall depend on the nature of the intellectual property and its application, the relative contributions of the University and the sponsor to the work, and the conditions deemed most likely to advance the commercial development and acceptance of the intellectual property. In all cases where exclusive licensing is deemed appropriate, such license agreements shall require diligent commercial development of the intellectual property by the licensee. The University may also determine, on a case-by-case basis, that it is in the university's interest to assign ownership of resulting intellectual property to the sponsor as an exception to this policy when circumstances warrant such action, in accordance with guidelines established by the University Intellectual Property Committee.
 - (q) Exceptions to Policy. Recommendations for exceptions to the provisions of the policy in this article shall be made by the University Intellectual Property Committee to the president for presentation to the Board of Trustees. [For individual exceptions, see Section 7(j).]

SECTION 8. PROCEEDS DISTRIBUTION*

- (a) Proceeds. For purposes of this policy, "proceeds" shall refer to all revenue and/or equity, as defined below, received by the University from transfer, commercialization, or other exploitation of university-owned intellectual property.
 - (1) Revenue. "Revenue" shall mean cash from payments including, but not limited to, royalties, option fees, license fees or from the sale of the university's equity interest.
 - (2) Equity. "Equity" shall include, but not be limited to, stock, securities, stock options, warrants, buildings, real or personal property, or other non-cash consideration.

*These proceeds distribution provisions shall apply only to revenue and equity received from agreements for commercialization that are executed subsequent to the effective date of this policy (September 3, 1998). Unless otherwise agreed in writing between the University and the creator(s), distribution of income for commercialization prior to the effective date of this policy shall be in accordance with the policy in effect at the time the agreement was approved. Where no policy exists (e.g., for equity), this policy shall prevail.

- (b) Revenue Distribution. When revenue is received by the University, all out-of-pocket payments or obligations (and in some cases, a reasonable reserve for anticipated future expenses) attributable to protecting (including defense against infringement or enforcement actions), marketing, licensing or administering the property may be deducted from such income. The income remaining after such deductions is defined as net revenue.
- (1) Creator's Share. The creator (or creator's heirs, successors, and assigns) normally shall receive forty percent (40%) of net revenue. If there are joint creators, the net income shall be divided equally among them absent a mutual agreement to the contrary.
 - (2) Originating Unit's Share. The originating unit normally shall receive twenty percent (20%) of net revenue. If a creator is affiliated with more than one originating unit or if there are joint creators from different units, the originating unit(s) share shall be divided among such units as agreed in writing by the responsible unit executive officers.
 - (3) University's Share. The University normally shall receive forty percent (40%) of net revenue. Distribution of the university's share shall be allocated in support of its technology transfer activities and academic and research programs as determined by the vice chancellor for research.
- (c) Equity Distribution. In any instance wherein the University executes an agreement with a corporation or other business entity for purposes of exploiting intellectual property owned by the University and the University receives or is entitled to receive equity, such equity or the proceeds of the equity shall be shared among the creator(s), the originating unit(s), and the University in the same proportions as revenue distributions (except as specified in Section 8(d) below).
- (d) Exceptions When the Creator(s) Have No Entitlement. If the University accepts research support in the form of a sponsored research agreement or unrestricted grant as part of the consideration in an intellectual property license in lieu of an option fee, license fee or royalty, the creator(s) shall have no entitlement to receive a share as personal income. For the subset of equity that is buildings, real or personal property, or other non-cash consideration, the creator(s) shall have no entitlement to receive a share as personal income.
- (e) Special Distributions. Special facts or circumstances may warrant a different distribution of proceeds than specified above, and such distributions will be determined on a case-by-case basis under the authority of the vice chancellor for research.
- (f) Revenue From Actions for Defense or Enforcement of Intellectual Property Rights. When the University receives revenue from third parties that results from successful actions for the purpose of defending or enforcing the university's rights in its intellectual property, such revenue may first be used to reimburse the University (or the sponsor or licensee, if appropriate) for expenses incurred in such actions. The creator(s) and their originating unit(s) shall be entitled to recovery of lost royalties from the remaining net revenue, in the same proportions as specified in Section 8(b) above. The remaining net revenue shall be allocated in support of the university's technology transfer activities and academic and research programs as determined by the vice chancellor for research.

Designate the Hallene Gateway Plaza, Urbana

(12) The chancellor at Urbana has recommended that the new east-facing entrance to the campus be named the Hallene Gateway Plaza in honor of U of I alumni Alan M. and Phyllis W. Hallene, whose lead gift in excess of \$1.0 million made construction of the entrance area possible. Other gifts from the Beatrice L. Johnston estate, as well as from

alumni and friends who contributed to the University Advancement Fund, also were applied to constructing the plaza.

Residents of Moline, Illinois, Mr. and Mrs. Hallene are long-time benefactors of the University of Illinois whose contributions of time and talent, as well as of financial support, have strengthened the University. Mr. Hallene, the Bronze Tablet salutatorian of his class, earned a bachelor's degree in mechanical engineering from the U of I in 1951. Mrs. Hallene also graduated from Illinois in 1951, earning a bachelor's degree in liberal arts and sciences.

The former chairman and president of Montgomery Elevator Company in Moline, Mr. Hallene has served as president of the U of I Alumni Association as well as president of the U of I Foundation Board of Directors. In addition, he is the recipient of two of the University's highest honors: the Alumni Association Achievement Award and the U of I Foundation's Presidents Award.

Naming the new east-facing, stone-portal entrance to the Urbana campus, the Hallene Gateway Plaza is a fitting tribute to Mr. and Mrs. Hallene for their continuing commitment to and financial support of the University of Illinois.

I recommend approval.

On motion of Mr. Engelbrecht, this recommendation was approved.

Designate the Richmond Journalism Teaching Studio, Urbana

(13) The dean of the College of Communications, after consulting the Faculty Executive Committee of the College of Communications, has recommended that the new broadcast journalism teaching facility be named the Richmond Journalism Teaching Studio, in honor of Jack and Marjorie Richmond of Champaign, Illinois, and Richmond's late mother, Mildred Richmond. A \$2.0 million gift from the Richmond family has made construction of the state-of-the-art technical facility possible.

The Richmond family is distinguished for its longtime and generous support of the University of Illinois. Gifts from Mr. and Mrs. Richmond as well as from the estate of Mr. Richmond's late mother have supported many University programs, including intercollegiate athletics and the College of Agricultural, Consumer and Environmental Sciences.

However, when the Richmonds learned that the University had gotten by for years with meager technical facilities to teach broadcast journalism, they decided to fund the construction of the new broadcast journalism teaching studio, which is under construction on the site of the former WILL studio.

Housed in the Richmond Studio will be the Dennis D. and Katharine W. Swanson Center, which will be equipped with state-of-the-art broadcast journalism teaching equipment. The Swanson Center has been made possible by a \$300,000 gift from University of Illinois alumni Dennis and Katharine Swanson of New Canaan, Connecticut. Dennis Swanson is president and general manager of WNBC in New York City and formerly president of ABC Sports.

Jack Richmond, a Champaign native, served as a Champaign County conservationist for the U.S. Department of Agriculture Soil Conservation Service after he graduated from the University of Illinois College of Agriculture in 1943. He also became well-known for planting more than 26,000 pine and spruce trees on plots of his land unused for agricultural production. He later joined SCM Corporation's office in Champaign, where he sold business equipment.

It is fitting that the broadcast journalism teaching facility be named the Richmond Journalism Teaching Studio because of the family's sustained support for many U of I programs that directly benefit students.

The chancellor at Urbana concurs in the recommendation, as does the vice president for academic affairs.

I recommend approval.

On motion of Mr. Engelbrecht, this recommendation was approved.

Designate Building Names, Chicago

(14) The chancellor at Chicago, with the concurrence of the appropriate University officers, recommends adoption of official building names for University of Illinois at Chicago facilities as follows:

<i>Building</i>	<i>Location</i>
Outpatient Care Center	1801 W. Taylor Street
Flames Athletic Center	839 W. Roosevelt Road

I concur.

On motion of Mr. Engelbrecht, this recommendation was approved.

Approval of South Campus Relocation Guidelines and Relocation Expense Payments, Chicago

(15) The chancellor at Chicago, with the concurrence of appropriate University officers, recommends delegation of authority to the comptroller for payments to South Campus business owners and residential tenants for relocation expenses. Redevelopment of UIC's South Campus will require the acquisition of certain properties that are occupied by existing businesses and households. Approximately 43 business owners and 10 residential tenants will be impacted by these acquisitions. The provision of such relocation assistance is predicated on the successful completion of the University's efforts to secure appropriate TIF designation (and income from same) from the City of Chicago.

Public entities typically provide limited relocation assistance to facilitate the acquisition of property. In some cases, relocation assistance is required under the Federal relocation act. Although the South Campus development is not subject to this requirement, it is recommended that the University provide relocation assistance for purposes of advancing the South Campus development project.

The program will be subject to the following general conditions:

1. Business owners/residential tenants must be currently situated in legitimately addressed locations and occupying same in compliance with local zoning ordinances.
2. Business/residential tenants must have begun the term of their occupancy no later than August 10, 1998, and possess appropriate tenancy documentation (City of Chicago Business License, Commercial Lease, Residential Apartment Lease, utility company invoices, etc.) to demonstrate eligibility for the program.
3. The program is not available to business owners/residential tenants who are engaged in litigation with the University.
4. Those businesses/residents choosing to participate in the program will release and indemnify the University from any further claims or actions.
5. Only one proprietor/resident per address is eligible for the program. That is, multiple occupants of the same legal address will be regarded as one eligible recipient for purposes of this program.
6. Business owners will be eligible for the lesser of \$20,000 or actual relocation expenses. Residential tenants will be eligible for the lesser of \$2,000 or actual relocation expenses.

The comptroller will develop a list of eligible reimbursable expenses and payment mechanisms prior to implementation of the program. The total cost of implementing these guidelines will not exceed \$900,000. University funds will initially be used for the payment of relocation of existing business owners and residential tenants. The University's funds will subsequently be reimbursed from Certificate of Participation proceeds that will be issued based on the City of Chicago's pledge of future years TIF proceeds being given to the University.

I concur.

On motion of Mr. Engelbrecht, this recommendation was approved.

By consensus, the board agreed that one roll call vote would be taken and considered the vote on each agenda item nos. 16 through 29 inclusive. The recommendations were individually discussed but acted upon at one time.

(The record of board action appears at the end of each item.)

Academic Personnel Budget, Fiscal Year 1998-1999

(16) In June 1998, the Board of Trustees approved the continuation of the Fiscal Year 1998 budget into FY 1999 until such time as the University appropriation process was completed and could be translated into detailed budget recommendations. With the finalization of the detailed budget, recommendations for academic and administrative appointments beginning August 16, 1998, at Springfield; August 21, 1998, at Urbana-Champaign; and September 1, 1998, at Chicago have been completed within the funds available to the University.

Accordingly, I recommend approval of the budget document entitled *Academic Personnel, 1998-1999* and request authorization, in accord with the needs of the University and the equitable interests involved, and within total income as it accrues: (1) to accept resignations; (2) to make such additional appointments as are necessary and to approve the issuance of notices of nonreappointment, subject to the provisions of the University of Illinois *Statutes, General Rules Concerning University Organization and Procedure, and Policy and Rules*; and (3) to make such adjustments in items included in the budget as are needed, such adjustments to be covered in periodic reports to the Board of Trustees.

On motion of Ms. Reese, this recommendation was approved and authority was given as requested by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar. (Ms. Lopez asked to be recorded as not voting on this item.)

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

Annual Operating Budget for Fiscal Year 1999

(17) The University budget for operations for the fiscal year beginning July 1, 1998, is submitted in the document entitled *Budget Summary for Operations, FY 1998-1999*.

The budget has been prepared by the vice president for academic affairs and the vice president for business and finance based upon recommendations of: (1) the chancellors, after consultation with their respective deans, directors, and other campus officers; and (2) the president of the University. The allocation of funds follows general policies and priorities developed by these reviews.

I recommend that this budget, covering the allocation of the estimated operating income from all sources for the year beginning July 1, 1998, be approved by the Board of Trustees; and that the president of the University be authorized, in accord with the needs of the University and the equitable interests involved, and within total income as it accrues, to make such adjustments in items included in the budget as are needed, such adjustments to be covered in periodic reports to the Board of Trustees.

On motion of Ms. Reese, this recommendation was approved and authority was given as recommended by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

Requests for New Operating and Capital Appropriations, Fiscal Year 2000

(18) Requests for incremental operating funds and for new capital appropriations for the University of Illinois for the fiscal year commencing July 1, 1999, are presented herewith for action by the Board of Trustees. Following board action, these requests will be submitted to the Illinois Board of Higher Education for review. The accompanying tables summarize the major elements of each request, and the document, *Fiscal Year 2000 Budget Request for Operating and Capital Funds*, provides detailed descriptions of each program and project included in the request.

The Fiscal Year 2000 Operating Budget Request is outlined in Table 1. It seeks \$67.7 million in new funds, an increase of 7.5 percent above the current year's budget. The FY 2000 request focuses primarily upon needs for salary competitiveness for faculty and staff and for a variety of academic program improvements, including strengthening the academic base, particularly by adding faculty to recover lost instructional capacity, improving access to technology for students and faculty, increased links to the State of Illinois, and operational infrastructure improvements. The FY 2000 request continues an effort to secure facilities renovation resources in the operating budget. As has always been the case, the request defines the most urgent funding needs confronting the University while recognizing the overall fiscal condition of the State.

Table 2 identifies the ten projects in the FY 2000 Capital Budget Request in priority order. Together, these projects represent an investment of \$121.9 million, devoted to preserving and extending facilities already in place at the campuses and to critically important new initiatives. Remodeling and renovation projects comprise a large part of the total funds requested, with the highest priority given to repair and renovation projects at the three campuses.

A new facility to support basic science activity for the College of Medicine in Chicago is included as a special initiative in the FY 2000 capital budget request. A consolidated campus air conditioning center at Urbana and a classroom planning project at Springfield highlight the priorities for new facilities.

The University's operating and capital requests for FY 2000 have been prepared by the vice president for academic affairs based upon advice from the Academic Affairs Management Team and review by the University Policy Council.

The vice president for academic affairs recommends approval.
I concur.

On motion of Ms. Reese, the requests for operating and capital appropriations for Fiscal Year 2000 were approved as recommended by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

Table 1
FY 2000 Operating Budget Request

I. Continuing Components		\$37,945.6
<i>% of FY 1999 Base*</i>		<i>4.20%</i>
A. Salary Improvements—4.0%		\$26,998.3
B. Other Payroll Costs		\$488.5
1. Medicare	\$315.2	
2. Workers' Compensation	173.3	
C. Price Increases		\$6,447.1
1. General Price Increases—3.0%	\$3,607.5	
2. Utilities Price Increase—3.0%	1,450.0	
3. Library Price Increase—10.0%	1,389.6	
D. O & M New Areas		\$4,011.7
1. Chicago Projects	\$3,423.2	
2. Urbana-Champaign Projects	588.5	
II. Academic Program Initiatives**		\$27,530.0
A. Strengthening the Academic Base	\$16,827.0	
B. Investing in Instructional Technology	8,134.0	
C. Increased Links to the State of Illinois	1,460.0	
D. Operational Infrastructure	1,109.0	
III. Facilities Renovation Support		\$ 2,250.0
Total Request		\$67,725.6
<i>% of FY 1999 Base*</i>		<i>7.49%</i>

All numbers are dollars in thousands

**FY 1999 Base: \$904,227.3*

***See addendum II for discussion of a \$1.4 million funding request for the U of I Cooperative Extension Service.*

Table 2
Capital Budget Priority List*
(Dollars in Thousands)

Priority	Project	Chicago	Springfield	Urbana	Total	Cumulative
1	Repair and Renovation	\$4,350.0	\$ 330.0	\$ 5,320.0	\$ 10,000.0	\$ 10,000.0
2	Urbana Campus Chiller			45,275.0	45,275.0	55,275.0
3	Classroom Office Building Planning		1,256.2		1,256.2	56,531.2
4	Freer Hall Remodeling			16,000.0	16,000.0	72,531.2
5	SURS Building Acquisition			1,911.0	1,911.0	74,442.2
6	Lincoln Hall Remodeling			9,500.0	9,500.0	83,942.2
7	Chemistry Building Planning	4,000.0			4,000.0	87,942.2
8	Campus Improvements		2,553.2		2,553.2	90,495.4
9	Incinerator			5,750.0	5,750.0	96,245.4
10	Library Seventh Stack Addition			25,635.0	25,635.0	121,880.4
	TOTAL	\$8,350.0	\$4,139.4	\$109,391.0	\$121,880.4	\$121,880.4

*In addition to these ten projects, the University seeks \$93 million for construction of a new research facility for the College of Medicine at Chicago, for which planning funds were appropriated in the current year. Because the size, scale, and funding mechanism for the debt service for this facility make it unique and set it apart from all "regular" higher education capital projects, the University has placed it in a separate request category.

**Contract for Elevator Renovation,
Chicago Circle Center, Chicago**

(19) The president of the University, with the concurrence of the appropriate University officers, recommends the award of a contract for \$482,155 to Dover Elevator Co., Oakbrook, for renovation of the passenger elevators in the Chicago Circle Center at the Chicago campus. Competitive bidding procedures in accordance with the Illinois Procurement Act were followed, and the award is to the lowest responsible bidder on the basis of its base bid.

The renovation work includes demolition of existing traction machines, controllers, generators, associated electrical disconnects, cabs, doors, and door operators; and installation of new cabs, new door packages, new controllers, installation of new signaling systems, including ADA and Chicago Building Code required devices.

Funds are available from the Fiscal Year 1999 Auxiliary Services operational funds.

A schedule of the bids received has been filed with the secretary of the board for record.

On motion of Ms. Reese, this recommendation was approved by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

**Contract for Electric Distribution Development Project,
Phase I, West Campus, Chicago**

(20) The president of the University, with the concurrence of the appropriate University officers, recommends the award of a contract for \$677,700 to R. Rudnick & Co., Wheeling, for general construction work for Phase I of the electric distribution development project for the west campus at Chicago. Competitive bidding procedures in accordance with the Illinois Procurement Act were followed, and the award is to the lowest responsible bidder on the basis of its base bid (\$675,700) plus acceptance of Alternate #1 (\$2,000).¹

The project consists of selective interior and exterior demolition; interior and exterior general construction; and mechanical, electrical, and plumbing work. The work includes excavation for new underground access vaults; concrete foundations and basement walls; concrete and brick masonry exterior walls; structural steel framing, joists, and metal deck; roofing; interior partitions, doors, ceilings, and finishes; and electrical switchgear, distribution, and devices.

Funds are available from the proceeds of the sale of the (Utilities Infrastructure Projects) Series 1997 Certificates of Participation.

A schedule of the bids received has been filed with the secretary of the board for record.

On motion of Ms. Reese, this recommendation was approved by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

South Campus Master Development Plan, Chicago

(21) In May 1990, the board adopted a master plan for the development of the University of Illinois at Chicago (UIC) campus. This plan was updated in September 1992 to reflect

¹Description of Alternate #1—Letter of Credit.

the potential development of UIC's south campus. In July 1997, the board approved the award of a contract to the South Campus Development Team for preparation of a south campus project master development plan. This plan was presented to and discussed by the board earlier this year.

The South Campus Project Master Development Plan articulates goals and objectives for the development of the south campus; identifies sites for University academic, research, cultural, student residence, and parking facilities, and for development of retail facilities and for-sale housing.

A copy of the South Campus Project Master Development Plan has been filed with the secretary of the board for record.

The chancellor at Chicago, with the concurrence of the appropriate University officers, now recommends adoption of the master plan for the development of the south campus at UIC.

I concur.

On motion of Ms. Reese, this recommendation was approved by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar. (Ms. Lopez asked to be recorded as not voting on this item.)

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

Project Approval and Delegation of Authority to Award Contracts for Division of Intercollegiate Athletics Field Projects, Urbana

(22) The proposed \$2.1 million Division of Intercollegiate Athletics field projects consist of the development of a soccer field (lighted, underdrained, and irrigated) inside the existing track, relocation of track field events, development of a practice soccer field, and lighting of the baseball field.

Since the project needs to continue to meet the project construction schedule, it is essential that contracts for construction be awarded before the October 1998 meeting of the Board of Trustees. Bids are to be received by the middle of September 1998.

Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends that the comptroller be delegated the authority to award contracts to the lowest responsible bidder for each division provided the total of the bids received does not exceed \$1,840,000.

It is further recommended that the project be designated as the Division of Intercollegiate Athletics Field Projects and that the project budget of \$2.1 million be approved.

A report of the bids received will be presented to the board at a subsequent meeting. Funds are available from the Division of Intercollegiate Athletics.

On motion of Ms. Reese, these recommendations were approved by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

Employment of Architect/Engineer for Phase II Remodeling, Clinical Sciences Building, Chicago (Capital Development Board Project)

(23) The president of the University, with the concurrence of the appropriate University officers, recommends that the Board of Trustees request the Capital Development Board to employ the architect/engineer as indicated below for a capital project for Fiscal Year

1999 at the Chicago campus. The professional fee for the firm employed will be negotiated by the Capital Development Board in accordance with its procedure.

<i>Project</i>	<i>Project Budget</i>	<i>Professional Firm Recommended</i>
Remodel Clinical Sciences Building, Phase II	\$15,000,000	Frega Associates, Chicago (MBE)

Funds for the employment of the professional firm have been appropriated to the Capital Development Board for FY 1999.

The selection of the architect/engineer for this project was in accordance with the requirements and provisions of Public Act 87-673 (Architectural, Engineering, and Land Surveying Qualifications-Based Selection Act).¹

On motion of Ms. Reese, this recommendation was approved by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

Project Approval and Employment of Architect/Engineer for Division of Intercollegiate Athletics Women's Softball Field, Urbana

(24) The proposed \$730,000 field for women's softball consists of the construction of the field, including drainage, grading, sand/soil mix, irrigation, and lighting.

In order for the project to proceed, it is necessary to employ an architect/engineer for the required professional services. The selection of the architect/engineer for this project was in accordance with the requirements and provisions of Public Act 87-673 (Architectural, Engineering, and Land Surveying Qualifications-Based Selection Act).²

Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends that the project be designated as the Division of Intercollegiate Athletics Women's Softball Field; that the project be approved at \$730,000; and that the firm of JJR Incorporated, Chicago, be employed for the professional services required. The firm's fee through the warranty phase will be \$92,500, including reimbursable expenses.

Funds are available from the Division of Intercollegiate Athletics.

On motion of Ms. Reese, these recommendations were approved by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

Project Approval and Employment of Architect/Engineer for Parking Lot Development, West Side of Memorial Stadium, Urbana

(25) The proposed \$688,000 project consists of construction of a parking lot for approximately 280 vehicles. This project includes removal of existing pavement, base, lighting, and landscaping.

¹In accordance with the provisions of Public Act 87-673, the University has determined that it is in its best interest to recommend the employment of Frega Associates, Chicago, for the project. The firm recently completed the first phase of the remodeling of the Clinical Sciences Building and has satisfactorily performed the work. The firm is a minority-owned business enterprise.

²A selection committee consisting of D. Brenner, A. Heinz, and K. Duff considered the following firms: JJR Incorporated, Chicago; Hansen Engineers, Inc., Springfield; and Sodemann & Associates, Inc., Champaign. The committee recommends the employment of JJR Incorporated, Chicago, as best meeting the criteria.

In order for the project to proceed, it is necessary to employ an architect/engineer for the required professional services. The selection of the architect/engineer for this project was in accordance with the requirements and provisions of Public Act 87-673 (Architectural, Engineering, and Land Surveying Qualifications-Based Selection Act).¹

Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends that the project be designated as the Parking Lot Development, West Side of Memorial Stadium; that the project be approved at \$688,000; and that the firm of Clark Dietz, Inc., Champaign, be employed for the professional services required. The firm's fee for program analysis through warranty will be a fixed fee of \$39,515; for on-site observation, on an hourly basis, the total not to exceed \$9,670; plus authorized reimbursables estimated to be \$5,380 (total fee of \$54,565).

Funds are available from Campus Parking and from the Division of Intercollegiate Athletics.

On motion of Ms. Reese, these recommendations were approved by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

**Project Approval, Employment of Architect/Engineer,
and Delegation of Authority to Award Contracts for
Jane Addams' Hull-House Complex, Chicago**

(26) The proposed \$1.5 million project consists of a two-story masonry 2,800 square foot addition, with full basement, to the Jane Addams' Hull House Dining Room Building at the Chicago campus. Included in the addition are an elevator, stairs, accessible rest rooms, and a small serving kitchen. New construction includes general, plumbing, HVAC, electrical, fire sprinkler, and fire alarm work. The installation of a fire protection sprinkler system and associated fire alarm devices in the Hull House mansion and existing Dining Room Building is also included.

In order for the project to proceed, it is necessary to employ an architect/engineer for the required professional services. The selection of the architect/engineer for this project was in accordance with the requirements and provisions of Public Act 87-673 (Architectural, Engineering, and Land Surveying Qualifications-Based Selection Act).²

Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends that the project be approved at \$1.5 million; and that the firm of Nagle, Hartray, Danker, Kagan, McKay, Architects, Planners, Ltd., Chicago, be employed for the professional services required. The firm's fee will be \$183,500, including reimbursables.

In addition, the project needs to move forward without delay in order to meet the project construction schedule, and it is essential that contracts for construction be awarded prior to the October 1998 meeting of the Board of Trustees. Bids are to be received by the middle of September 1998.

Therefore, the president further recommends that the comptroller be delegated the authority to award a contract to the lowest responsible bidder for each division provided the total of the bids received does not exceed \$1,200,000.

A report of the bids received will be presented to the board at a subsequent meeting.

¹A selection committee considered the following firms: Berns, Clancy & Associates, Urbana; Clark Dietz, Inc., Champaign; Daily & Associates, Champaign; and Sodemann & Associates, Champaign. The committee recommends the employment of Clark Dietz, Inc., as best meeting the criteria.

²In accordance with the provisions of Public Act 87-673, the University has determined that it is in its best interest to recommend the employment of Nagle, Hartray, Danker, Kagan, McKay, Architects, Planners, Ltd., Chicago, for the project. The firm has had significant prior involvement in the planning for a future Hull House addition and has satisfactorily performed the work.

Funds are available from the Institutional Funds Operating Budget of the campus and State appropriations.

On motion of Ms. Reese, these recommendations were approved by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

Increase in Project and Amendment to Professional Services Contract for the Gregory Drive Steam Tunnel, Urbana

(27) On February 13, 1998, the board approved the employment of the firm of Stanley Consultants, Inc., Chicago, for the professional services required for the \$3.2 million Gregory Drive Steam Tunnel project at the Urbana campus.

In order to improve the distribution of steam from Abbott Power Plant to the campus, remove a restriction from the existing distribution system, improve its overall performance, and provide improved capacity for existing and projected future loads, it is recommended that the project be increased by \$2.5 million. The additional work consists of extending two 8-inch condensate return pipes (replacing 4-inch and 6-inch pipes) from Euclid Avenue to Sixth Street (approximately 1,200 lineal feet); along with asbestos abatement and insulation repair on all existing piping (with related valves and fittings), aluminum jacketing on all piping on the south side of the tunnel, and the installation of new lighting and sump pumps. In addition, the work includes replacement of 650 lineal feet of existing 4-inch and 6-inch condensate piping inside Abbott Power Plant with two new 8-inch condensate return pipes, valves, and fittings.

Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends that the project budget be increased by \$2.5 million from \$3.2 million to \$5.7 million and that the professional services agreement with Stanley Consultants, Inc., Chicago, be increased by \$146,000 in fees for the additional work.

Funds are available from the proceeds of the sale of the (Utility Infrastructure Projects) Series 1997 Certificates of Participation.

On motion of Ms. Reese, these recommendations were approved by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

Purchases

(28) The president submitted, with his concurrence, a list of purchases recommended by the directors of purchases and the vice president for business and finance.

The purchases were presented in one category—purchases from institutional funds. The term designates funds received by the University under contracts with the United States government, private corporations, and other organizations; grants from foundations, corporations, and other donors; and University revolving funds authorized by law.

The total amount of these purchases from institutional funds was \$6,251,918.

A complete list of the purchases, with supporting information (including the quotations received), was sent to each member of the board in advance of the meeting. A copy is being filed with the secretary of the board for record.

On motion of Ms. Reese, the purchases recommended were authorized by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gin-

dorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

Authorization for Settlement

(29) The university counsel recommends that the board approve settlement of *Perez v. Brill, et al.* in the amount of \$625,000. The plaintiff alleges that University defendants negligently perforated the bowel of Piedad Altamirano during laproscopic surgery, resulting in an infection. Plaintiff also alleges that the infection was not discovered and treated in a timely manner and that the delay resulted in the death of Ms. Altamirano.

The vice president for business and finance concurs.

I recommend approval.

On motion of Ms. Reese, this recommendation was approved by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

Report of Contract Award for Rehabilitation of Engineering Hall, Urbana

(30) The Engineering Hall \$15.26 million rehabilitation project will restore the exterior of the building and includes tuckpointing and new doors and windows. The interior of the building will be remodeled to meet the current needs of the college by providing new plumbing, HVAC, and electrical systems. The existing interior features (structure, woodwork, etc.) will remain. The building, however, will be reconfigured to provide spaces for computer labs, student activity functions, library, distance learning labs, and administrative offices.

On May 14, 1998, the board delegated authority to the comptroller to award contracts to the lowest responsible bidder for each division provided the total of the bids received did not exceed \$10.8 million.

On July 7 and 9, 1998, bids were received and the following contracts were awarded. Competitive bidding procedures in accordance with the Illinois Purchasing Act were followed; and the award in each case is to the lowest responsible bidder on the basis of its base bid plus acceptance of the indicated alternates.¹

Division I—General

Felmley Dickerson Company, Champaign	Base Bid	\$5 155 000	
	Alt. G1	30 431	
	Alt. G2	206 600	
	Alt. G5	<u>540 000</u>	
			\$5 932 031

Division II—Plumbing

Commercial Mechanical, Inc., Dunlap	Base Bid	497 700
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Division III—Heating, Piping, Refrigeration, and Temperature Control

T'nT Mechanical Contractors, Inc., Urbana	Base Bid	783 969
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¹Description of Alternates: #G1—Assignment Fee; #G2—Removal of existing slate roof and installation of a new slate roof system; #G5—Provide new aluminum-clad windows; #E1—Replace all F2A and F2B light fixtures with F2A alternate and F2B alternate light fixtures; #E2—In conjunction with Alternate #G2 (new slate roof), reinstate the lightning protection system.

Division IV—Ventilation and Air Distribution

T'nT Mechanical Contractors, Inc., Urbana	Base Bid		\$ 669 552
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Division V—Electrical

Bodine Electrical Service, Mansfield	Base Bid	\$1 055 942	
	Alt. E1		0
	Alt. E2	<u>35 979</u>	
<i>Total</i>			<u>1 091 921</u> \$8 975 173

Funds for the project are available from private gift funds, State funds, and Institutional Funds Operating Budget of the Urbana campus.

A schedule of the bids received has been filed with the secretary of the board for record.

This report was received and confirmed.

**Report of Contract Award for Parking Lot D-9,
Green Street and Lincoln Avenue, Urbana**

(31) The Parking Lot D-9 project at Lincoln Avenue and Green Street, Urbana, consists of demolition of curbs and sidewalks, and construction of curbs and gutters, sidewalks, pavement, and bituminous surfacing of parking lot and access.

On April 9, 1998, the board delegated authority to the comptroller to award contracts to the lowest responsible bidder for each division provided the total of the bids received did not exceed \$300,000.

On April 23, 1998, bids were received and a contract was awarded for \$217,500 to University Construction, Urbana. Competitive bidding procedures in accordance with the Illinois Purchasing Act were followed and the award is to the lowest responsible bidder on the basis of its base bid.

Funds for the project are available from the Institutional Funds Operating Budget of the Urbana Parking Division.

A schedule of the bids received has been filed with the secretary of the board for record.

This report was received and confirmed.

**Report of Contract Award for Professional Services,
Remodeling Henry Administration Building, Urbana**

(32) The Henry Administration Building remodeling project consists of remodeling approximately 5,500 square feet on the north side of the first floor of the building and a similar amount of basement space. Additionally, this will be an opportunity to upgrade and replace the aging HVAC and other systems in this portion of the building.

On July 9, 1998, the board delegated authority to the comptroller to award a contract for professional architectural and engineering services in accordance with the provisions and requirements of the Public Act 87-673 (Architectural, Engineering, and Land Surveying Qualifications-Based Selection Act) provided that the cost for this portion of the project not exceed \$150,000.

On July 29, 1998, the comptroller approved the employment of Unteed, Nelson, Slack, Anderson, Architects, Champaign, for the project.¹ The firm's fee for schematic design through warranty is a fixed fee of \$101,650; for on-site observation, on an hourly

¹A selection committee consisting of G. Freeman, R. Kangas, and R. Kehe considered the following firms: BLDD, Champaign; Gorski Reifsteck Architects, Inc., Champaign; Severns, Reid & Associates, Inc., Champaign; and Unteed, Nelson, Slack, Anderson, Ltd., Champaign. The committee selected the firm of Unteed, Nelson, Slack, Anderson, Ltd., as best meeting the criteria.

basis, the total not to exceed \$16,000; plus authorized reimbursables estimated to be \$8,000 (total fee of \$125,650).

Funds are available from the institutional funds budget of the University administration.

A schedule of the firm's hourly rates has been filed with the secretary of the board for record.

This report was received and confirmed.

Comptroller's Report of Contracts for Fiscal Year 1998

(33) The comptroller submitted the yearly report of contracts. The report included contractual agreements for payments to the University in amounts of \$50,000 or more and for payments made by the University for subcontracts under sponsored research agreements. A copy of this report is filed with the secretary.

This report was received for record.

SECRETARY'S REPORT

The secretary presented for record appointments to the faculty and changes of status made by the president, resignations, and terminations. A copy of the report is filed with the secretary.

DEGREES CONFERRED

The secretary presented for record the following list of degrees conferred on candidates at the Urbana-Champaign campus on August 10, 1998.

Summary

Graduate Degrees

Master of Arts	34
Master of Science	281
Master of Accounting Science	2
Master of Architecture	9
Master of Business Administration	20
Master of Computer Science	13
Master of Education	54
Master of Fine Arts	3
Master of Music	7
Master of Music Education	14
Master of Social Work	35
Master of Urban Planning	6
<i>Total, Masters</i>	(478)
Advanced Certificate in Education	2
Certificate of Advanced Study in Library & Information Science	1
<i>Total, Graduate Degrees</i>	481

Professional Degrees

College of Law

Juris Doctor	2
<i>Total, Professional Degrees</i>	2

Undergraduate Degrees

College of Agricultural, Consumer and Environmental Sciences

Bachelor of Science	35
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College of Applied Life Studies

Bachelor of Science	20
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College of Commerce and Business Administration

Bachelor of Science	64
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College of Communications	
Bachelor of Science.....	14
College of Education	
Bachelor of Science.....	13
College of Engineering	
Bachelor of Science.....	72
College of Fine and Applied Arts	
Bachelor of Fine Arts	17
Bachelor of Landscape Architecture	3
Bachelor of Music	6
Bachelor of Music Education.....	2
Bachelor of Science.....	1
<i>Total, College of Fine and Applied Arts</i>	(29)
College of Liberal Arts and Sciences	
Bachelor of Arts	156
Bachelor of Science.....	85
<i>Total, College of Liberal Arts and Sciences</i>	(241)
<i>Total, Undergraduate Degrees</i>	488
<i>Total, Degrees Conferred August 10, 1998</i>	971

SPECIAL EXECUTIVE SESSION

Chair Gravenhorst, referring to Section Two of the Open Meetings Act, stated: "A motion is now in order to hold an executive session to consider information regarding the appointment, employment, or dismissal of employees or officers, to discuss pending, probable, or imminent litigation, the acquisition of real property, to discuss campus security, and to receive legal advice from counsel."

The motion was made by Dr. Gindorf and approved by the following vote: Aye, Mrs. Calder, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Ms. Lopez, Mrs. O'Malley, Mr. Plummer, Ms. Reese; no, none; absent, Governor Edgar.

(The student advisory vote was: Aye, Mr. Clark, Mr. Doyle; no, none.)

Mrs. Gravenhorst indicated that this session was to continue the discussion of presidential salary, begun the previous day. She asked Ms. Reese to present an article that had been referred to her by the Association of Governing Boards. (A copy of this article is on file with the secretary.) Ms. Reese stated that she had called Dr. Richard T. Ingram, president of the Association of Governing Boards, for some direction in gaining information about how boards set salaries for presidents of their institutions. She said that Dr. Ingram referred her to an article in the July-August 1997 issue of *Trusteeship*, a publication of the association.

Ms. Reese summarized the article stating that advice was given in the article that suggested hiring a consultant might be considered. She added that Dr. Ingram observed that this step was probably not necessary. She noted that establishing a compensation committee of the board was suggested and that the work of this committee should be done in the early spring. She said that the materials suggested for the committee's work were a self-assessment from the president and comparative salary data. According to Ms. Reese, Dr. Ingram suggested that the market should determine a

president's salary, and that the board should be prepared to defend this to the general public.

Discussion among the trustees followed. Some observed that the self-assessment recommended was provided this year to the board and that data were also provided. Others stated that the board should do more in preparation for this and they agreed by consensus to become more involved in this process at an earlier date.

EXECUTIVE SESSION ADJOURNED

There being no further business, the executive session adjourned.

ANNOUNCEMENTS FROM THE CHAIR OF THE BOARD

Chair Gravenhorst called attention to the schedule of meetings for the next few months: October 15-16, 1998, Urbana; November 18-19, 1998, Springfield; and January 20-21, 1999, Chicago. There is no meeting scheduled for December.

There being no further business, the board adjourned.

MICHELE M. THOMPSON

Secretary

SUSAN L. GRAVENHORST

Chair