

MEETING OF THE BOARD OF TRUSTEES  
OF THE  
UNIVERSITY OF ILLINOIS

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April 14-15, 1999



The April meeting of the Board of Trustees of the University of Illinois was held in Rooms B and C, Chicago Illini Union, Chicago campus, Chicago, Illinois, on Wednesday and Thursday, April 14-15, 1999, beginning at 3:30 p.m. on April 14.

Chair Jeffrey Gindorf called the meeting to order and asked the secretary to call the roll. The following members of the board were present: Mr. William D. Engelbrecht, Dr. Jeffrey Gindorf, Mrs. Susan L. Gravenhorst, Mr. Thomas R. Lamont, Mr. Roger L. Plummer, Ms. Judith R. Reese; Dr. Kenneth D. Schmidt; Mr. Gerald W. Shea. The following members of the board were absent: Mrs. Martha R. O'Malley, Governor George H. Ryan. Mr. Samuel N. Gallo, voting student trustee from the Urbana-Champaign campus, was present. The following nonvoting student trustees were absent: Mr. Michael Clark, Chicago campus; Mr. Robert Doyle, Springfield campus.

Also present were President James J. Stukel; Dr. Sylvia Manning, vice president for academic affairs; Dr. Michael Aiken, chancellor, University of Illinois at Urbana-Champaign; Dr. David C. Broski, chancellor, University of Illinois at Chicago; Dr. Naomi B. Lynn, chancellor, University of Illinois at Springfield; and the officers of the board, Dr. Craig S. Bazzani, comptroller (and vice president for business and finance); Mr. Thomas R. Bearrows, university counsel; Mr. Lester H. McKeever, Jr., treasurer; and Dr. Michele M.

Thompson, secretary. In addition, the following persons were also in attendance: Mr. Richard M. Schoell, executive director for governmental relations; Ms. Susan H. Trebach, executive director of the University Office of Public Affairs; Ms. Susan J. Sindelar, executive assistant to the president; and Ms. Marna K. Fuesting, special assistant to the secretary.

### **EXECUTIVE SESSION<sup>1</sup>**

Chair Gindorf, referring to Section Two of the Open Meetings Act, stated: "A motion is now in order to hold an executive session to consider information regarding the appointment, employment, or dismissal of employees or officers, to discuss pending, probable, or imminent litigation, the acquisition of real property, to discuss campus security, and to receive legal advice from counsel."

The motion was made by Mrs. Gravenhorst and approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, none; absent, Mr. Clark, Mr. Doyle.)

### **Report from Chancellor, Urbana**

At President Stukel's invitation Chancellor Aiken commented on three personnel matters. First, he told the board that the search for a new university librarian for the campus was nearing conclusion and that three candidates would be interviewed on campus in the near future. He identified each and remarked that a recommendation for appointment would probably be coming to the board soon. Second, he briefed the board on his plans to replace Dr. Richard Alkire, vice chancellor for research and dean of the Graduate College, who had announced his plans to return to the faculty. The chancellor said that he saw a need to divide this position into two separate positions because of the different needs of each position and the stresses created by the different demands. The trustees discussed this briefly, particularly in terms of appropriate evaluation procedures. Third, Chancellor Aiken presented the facts for the decision to not reappoint the director of the Krannert Museum since many trustees had heard reports about this. He explained that the dean of the College of Fine and Applied Arts had carefully reviewed this case and concluded that there was a failure to integrate the activities of the museum with the educational mission of the college. He noted that the provost had reviewed the deliberations and concurred. The chancellor indicated that an interim director, perhaps someone from outside the University, would be appointed soon. The trustees

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<sup>1</sup>Prior to this there was a meeting of the Committee on Student Affairs. The chair of the committee, Mr. Shea, invited students from the Chicago campus to speak on student leadership experiences at that campus. Maaria Mozaffar, president of the Undergraduate Student Government, presented a report, followed by another report by Vice Chancellor Barbara Henley. (Materials are filed with the secretary.)

discussed this and advised that the criteria for the position be made very clear to new candidates.

### **Report from Chancellor, Chicago**

Chancellor Broski reported on a search for a new vice chancellor for administration and mentioned two candidates who will be interviewed. One was an external candidate. Next, the chancellor announced that Dr. Mi Ja Kim, vice chancellor for research and dean of the Graduate College, would retire soon. He too said that he was considering splitting these two positions, for many of the same reasons that Chancellor Aiken stated. Discussion followed on recruitment and hiring plans.

### **Report from University Counsel**

Mr. Bearrows reported on two settlements that he recommended and discussed the backgrounds of both cases. He also announced that the amounts recommended for settlement had been reviewed by outside counsel. The settlement recommendations follow:

#### **Authorization for Settlement**

(1) The university counsel recommends that the board approve settlement of *Shackelton v. Rodenburg and Jones* in the amount of \$500,000. The plaintiff, William Shackelton, alleges that our defendants improperly managed the intubation of his mother, Caroline Shackelton, in the recovery room following elective surgery, resulting in her death.

The vice president for business and finance concurs.

I recommend approval.

By consensus, the trustees approved of this recommendation as submitted, formal action to be taken subsequently when the board convenes in open meeting.

#### **Authorization for Settlement**

(2) The university counsel recommends that the board approve settlement of *Sevilla v. Duval and Daughton* in the amount of \$250,000. The plaintiff alleges that our defendants failed to exercise due care and caution in the delivery of Daniella Sevilla, resulting in severe and permanent injuries that caused or contributed to her death.

The vice president for business and finance concurs.

I recommend approval.

By consensus, the trustees approved of this recommendation as submitted, formal action to be taken subsequently when the board convenes in open meeting.

### **Trustee Inquiry Regarding a Recommendation from an Earlier Meeting**

Trustee Shea inquired about the recommendation to appoint former Senator Howard Carroll to a position at the University that had been withdrawn at an earlier meeting. Mr. Bearrows and President Stukel commented on this and noted that one objection had been the effect such an appointment would have on the individual's pension benefits. Both confirmed that a new

law regarding this does not apply to Senator Carroll, since it is prospective in nature. President Stukel advised that the real question for every academic appointment is: "What value will be added to the University by hiring this individual?" Chancellor Broski commented on this, saying that he had sought advice of deans and a vice chancellor about the contributions they thought that Senator Carroll might make to the campus' academic programs. He said that he had received favorable comments back from these individuals who thought that Senator Carroll could bring important knowledge and experience to the campus. After discussion, the board members asked that a recommendation for appointing Senator Carroll be brought to them at their next board meeting.

#### **SPECIAL EXECUTIVE SESSION**

For this session just the board members, President Stukel, and Dr. Thompson were present. President Stukel announced to the trustees that Chancellor Lynn had told him that she planned to relinquish the chancellorship on May 31, 2000, and retire May 31, 2001. He said that during the 2000-2001 academic year she would be involved in a number of projects on the Springfield campus. He recommended a salary increase for the chancellor for the balance of this year and for the next two years, totaling \$17,000 in additional salary, based on a salary inequity he had discovered. The board agreed by consensus that he should recommend an increase to them at the normal time for salary increases. The president told the board that the search process for finding a successor for Chancellor Lynn would commence in June 1999.

#### **EXECUTIVE SESSION ADJOURNED**

There being no further business, the executive session adjourned.

#### **BOARD MEETING RECESSED**

The board recessed at 4:50 p.m. to reconvene at 8:30 a.m., Thursday, April 15, 1999.

#### **BOARD MEETING, APRIL 15, 1999**

The board reconvened in regular session at 8:30 a.m. on Thursday, April 15, 1999. The same members of the board and University officers recorded as present the previous day were still in attendance.<sup>1</sup>

#### **DISCUSSION OF ITEMS ON THE AGENDA**

Mr. Gallo asked about the eight items on the agenda that deal with program consolidations and cancellations at the Springfield campus, and if students currently enrolled in these programs would be allowed to complete the programs. Chancellor Lynn asked Provost Penn of the Springfield campus to speak to this issue. He explained how the campus planned the transition for these students, and assured all that provisions had been made to

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<sup>1</sup>Mr. Clark joined the meeting at 9:10 a.m.

aid these students in completing the programs in which they were enrolled. Provost Penn also explained the goals of these program changes which were: "to strengthen programs, avoid redundancy, and eliminate those that were not as strong as the campus considered desirable." Ms. Reese asked about the impact of these changes on the budget of the Springfield campus. Provost Penn replied that these changes would probably save about \$300,000 in the near future, and additional savings were expected in the next few years. Ms. Reese commended the campus for the lengthy and thorough process of reviewing all their academic programs and making these recommendations for change.

Mr. Plummer inquired about a report describing design standards for buildings and facilities on the Urbana campus and asked if similar standards existed for the other two campuses. Mr. Robert K. Todd, associate vice president for administration and human resources, explained that they did. He added that these standards for Urbana were provided at this time because the Urbana campus had recently issued a "Solicitation of Interest" related to plans to build incubator facilities at that campus for commercialization of products of faculty research. Mr. Plummer also asked if all new University buildings were accessible to the handicapped. Mr. Todd replied that the University requires all of its buildings to be accessible.

#### **BOARD MEETING RECESSED**

At 8:55 a.m., the board meeting recessed for committee meetings.

#### **MEETING OF THE BOARD AS A COMMITTEE OF THE WHOLE**

President Stukel introduced this session and indicated that he wanted to share with the board the progress made to date on developing a strategic plan for review and updating of the University's business systems. He told the board that the University had hired Arthur Andersen Consulting to study the University's business services and suggest ways for providing these effectively and efficiently. He indicated that this was an extremely important matter and involved a major policy decision, in terms of the resources the board had approved for this and would be asked to approve in the future.

The president then asked Vice President Bazzani to present information on progress to date with the strategic planning efforts. (Materials are filed with the secretary.) Dr. Bazzani noted that the University is driven by service needs of many constituencies. He then described the process followed in deciding how the University wants to operate in terms of delivering services in the business arena. He said that development and implementation of information technology was lagging in the administrative sector of the University and added that one emphasis in the strategic plan was to be the improvement of this technology. He also stated that there is a need to standardize business practices at the three campuses. He cited two areas that have been reorganized recently in order to standardize and reduce work, one is the accounts payable area which has been central-

ized on one campus, and the other is purchasing which has been reviewed and changed in terms of time spent on various tasks. He told the board that one approach to standardization for the future will be to purchase vendor-supplied software for use throughout the University, rather than continue to develop custom-designed software for separate areas of the University.

Dr. Bazzani then discussed the process for consultation and decision making that was underway with the campuses and the University administration to arrive at a unified business systems approach for the University. He said that he had concluded that it would be helpful to have one information technology administrator for the whole organization who would set policy in this area and that one organization for business affairs, including the college offices, would be useful. Trustees Engelbrecht and Plummer advised that the University focus on the customers who require the services described and that changes be made to improve these services. They also suggested that future changes should be those that the customer defines, therefore these concerns ought to be taken into account in the planning phases.

Dr. Bazzani noted that some of the services for University customers are controlled by external entities whose rules do not always serve our clients as well as they might. Thus, he said that he thought it important to try to gain as much autonomy for the University as possible for provision of services that are basic to functioning. He gave personnel management as an example and the fact that the Civil Service employees group is defined and controlled by an external board.

President Stukel then commented, saying that one issue that is central to this planning is whether the University is one organization in three locations, or three establishments separately organized. He said that the approach described above fits the organization if we assume that the University is one entity with three locations. The president emphasized that this is a fundamental concept and that it is important for the board to support this or present contrary views.

Dr. Bazzani concluded his remarks by saying that service to all clientele is the most important criterion for the strategic plan. He added that the University is studying the best business practices of other universities and many other different organizations.

Mr. Plummer said that he thought the process was on target and added that he thought some system of incentives and rewards was important for implementation. He suggested that some incentives and rewards be defined, and also urged that the administration be aware of how those who use the systems would be affected by the proposed changes. He suggested that these individuals be involved in the planning. Dr. Bazzani assured all that these individuals were involved with all of the reviews and plans for changes. Mr. McKeever commented on the need to choose a software provider very carefully since the technology is changing so rapidly.

This committee meeting concluded at 9:45 a.m.

### MEETING OF THE COMMITTEE ON FINANCE AND AUDIT

Mr. Lamont, chair of this committee, convened the meeting at 9:46 a.m. He asked Dr. Bazzani to begin the meeting with a few comments. Dr. Bazzani indicated that Dr. Peter J. Czajkowski, associate vice president for financial planning/analysis, would discuss the University's current debt and plans for financing future capital projects. (Materials are filed with the secretary.)

Dr. Czajkowski then discussed ways to manage the University's debt and plans for financing capital projects. He described the types of reserves the University holds currently and further defined them as mandatory and non-mandatory. Dr. Bazzani added that in 1978 the University adopted a policy that required that reserves and bond payments be paid as the first expenditure of each budget allocation given at the beginning of the fiscal year for the auxiliary facilities. He said that the same policy is beginning to be implemented for academic facilities as well.

Dr. Czajkowski explained that all of the University's debt is tax-exempt at present but that some associated with the expansion of the Chicago campus may be taxable in the future. He added that the debt for this expansion and development of the south campus will be paid by revenues from the Tax Increment Financing arrangement. He also explained that the University issues bonds only for revenue-generating facilities. He reminded the board that the payments on the bonds issued for the Health Facilities System in 1997 have payments due beginning in Fall 1999 of \$5.0 million per year.

He pointed out that the Certificates of Participation are usually the instrument issued for development of the University's energy producing facilities, and that these certificates are paid off more quickly than other forms of debt because the savings are realized very soon after the equipment changes are made. President Stukel told the board that the cost avoidance projects are important because the University does not need to go to the State for funding for these, thus creating opportunities for other projects that require State funding.

Dr. Czajkowski reported that the University currently has debt amounting to approximately \$440.0 million. He said that the payment plan calls for the Certificates of Participation to be repaid as quickly as possible. He stated that the University's debt payments total \$35.0 million per year. Trustees Plummer and Schmidt complimented Dr. Czajkowski on the quality of the presentation. The meeting concluded at 10:55 a.m.

### MEETING OF THE COMMITTEE ON ACADEMIC AFFAIRS

At 11:05 a.m., Ms. Reese, chair of the committee, convened the meeting. She indicated that the purpose of the meeting was to receive a report on sabbaticals that would include remarks from Vice President Manning, and reports from three faculty members on their sabbaticals. She then introduced Dr. Manning who reviewed the history of the board's recent dialogue on sabbaticals, including a report President Stukel had sent the board ear-

lier in the academic year. She indicated that the findings of this report and a recent presentation to the board by department chairs on quality control of sabbaticals had caused some changes in the way faculty members are required to submit requests for sabbaticals, and in the requirements for reports of sabbaticals. She said that some of these changes were to make for more consistency among the campuses. Dr. Manning stressed that a sabbatical is not an entitlement for a faculty member. She noted that 40 percent of faculty members eligible for a sabbatical actually apply for and take such. She explained that a sabbatical is actually a reassignment of duties for a development program, with the developmental experience provided by the individual personally. She added that the cost of sabbaticals is 2.5 percent of the academic payroll. She noted that the post-sabbatical procedures for reports, etc., are tighter and more consistent than in the past. She then introduced three faculty members who have recently completed a sabbatical. These faculty members reported on the projects they pursued while on sabbatical. Professor William Ayres, College of Education, Chicago campus, discussed a book he researched and wrote on his sabbatical. (A copy of the book is on file with the secretary. He also distributed copies to the board.) Professor Charles Schweighauser who holds appointments in astronomy, physics, English, and environmental studies at the Springfield campus described the work he had done on his sabbatical which included revision of a textbook, work on a course in astronomy, and a study of the physical characteristics of the Sangamon River Valley. The study of the Sangamon River Valley resulted in the development of a new course. (Materials are filed with the secretary.) The final presenter was Professor Susan Fahrback who has appointments in entymology and neurosciences at Urbana. She reported on her work as a visiting professor at the University of Massachusetts at Amherst during her sabbatical, and the research she was able to do there. She noted that among other outcomes, this experience and the work involved helped her improve her courses and her teaching. (Materials are filed with the secretary.) The committee meeting concluded at 12:05 p.m.

### **RECESS**

The board recessed at 12:05 p.m. for luncheon followed by meetings of the Committee on Buildings and Grounds and the Committee on the University Hospital and Clinics.

### **MEETING OF THE COMMITTEE ON BUILDINGS AND GROUNDS**

Dr. Schmidt, chair of the committee, started the meeting at 1:10 p.m. He then asked Mr. Todd to present the items on the committee's agenda. Mr. Todd in turn asked the architect representing BLDD Architects, Inc., to present the design for an addition and further remodeling to the Mechanical Engineering Laboratory at Urbana. Dr. Schmidt reminded his colleagues that this was the second presentation of this design. On motion of Ms. Reese it was approved for recommendation to the entire board for vote later in the meeting. (Materials are filed with the secretary.)



Next, the design for the Parking Structure and Fire Substation at Urbana was presented. Mr. Todd explained that this had been presented to the board earlier, but that the siting was not acceptable. Thus, a new siting is proposed, and the design is different in some aspects. He stated that since this facility will now be located near the Edward Madigan Research Laboratory, the brick for the new building will be chosen to match that on the Madigan Laboratory. On motion of Mr. Lamont this was approved. A second presentation is to be made at the next committee meeting. (Materials are filed with the secretary.)

The site selection for the new College of Medicine Research Building in Chicago was presented next. Mr. Todd briefed the board about the various sites considered for this building by a campus committee, and indicated that for programmatic purposes one site seemed to serve best. He said that choosing this site means that two buildings will have to be demolished to make room for the new building. He added that the State Historical Landmarks agency had approved the demolition of these buildings, with the assumption that certain documentation regarding the buildings would be provided for future reference. He stated that the estimated cost of demolition of the buildings is \$6.0 million. He did note that the other sites might not cost as much to prepare for construction of the new building. However, the cost of bringing the two older buildings back to a usable state was estimated at between \$6.0 and \$7.0 million. Some discussion ensued about demolition versus remodeling of these two older buildings. Trustee Shea asked about the size of the proposed new building and the projected cost. Mr. Todd told him it was to be 294,700 gross square feet and was expected to cost approximately \$144.0 million including development of the shell space. He said that \$93 million was being requested from the State. Discussion followed among the board concerning aesthetics of the planned building, time required for demolition, and the State's concern about the University's plan to remodel the old College of Medicine building. On motion of Dr. Gindorf this motion was approved for addition to the agenda of items to be voted on later in the meeting. (Materials are filed with the secretary.)

Mr. Todd then presented some items for information only. First was the list of projects in the Auxiliary Facilities System scheduled for repair and remodeling for Fiscal Year 2000. He explained the process for placing these on the priority list that involves consultation at the campus, with more consultation at the University-level. He also called the board's attention to the design guidelines for all capital programs at the Urbana campus. He noted that these were distributed so that the board would know the standards since the campus has circulated a "Solicitation of Interest" regarding plans to build two new incubator facilities on the Urbana campus. He mentioned the engineering work required for installation of a new chiller at Urbana and the employment of an architect/engineer for a new Electrical and Computer Engineering building at Urbana. Both of these are in the

agenda for approval later in the meeting. He further explained that the design for the Electrical and Computer Engineering Building was to be used for fund raising purposes. (Materials are filed with the secretary.) Mr. Lamont stated that architectural and engineering firms located in Champaign seem to receive a large percentage of the contracts for this work. He indicated that he had learned that firms in other parts of the State are concerned about this.

Ms. Reese said she was very pleased with plans for the Bardeen Quadrangle. At 1:50 p.m. the meeting concluded.

### **MEETING OF THE COMMITTEE ON THE UNIVERSITY HOSPITAL AND CLINICS**

At 1:52 p.m., Mr. Plummer, chair of this committee, commenced his meeting. He asked Vice Chancellor R. K. Dieter Haussmann to begin the presentation. Dr. Haussmann reviewed the materials distributed with the board packet for the meeting. (Materials are filed with the secretary.) He began with a review of the Annual Report on the Quality of Care, noting that this report is required by the Joint Commission on Accreditation of Health Organizations. He then reviewed the accomplishments for the hospital and clinics for 1998 that are listed in this report. These included initiation of the standardized reporting to the medical staff and the administrative staff; a program called "I Make a Difference," to improve patient satisfaction which Dr. Haussmann stated did produce a change in performance of staff; and quarterly patient satisfaction surveys that were followed up with focus group studies in ten areas (e.g., parking, food service, communication about patient activities, etc.). He added that an employee satisfaction survey concerning employees' attitudes about their work was initiated and proved helpful in learning how employees view the hospital. Dr. Haussmann also indicated that the Gemini Patient Care Information System was refined and has become a better basis for information management in the hospital. Dr. Schmidt asked if the business systems could interface with this. He also inquired about the Orex Factors, which are checks on this. Dr. Haussmann assured him that the Gemini system was useful in these ways. Then he discussed aspects of other accomplishments, such as the patient care management process which was redesigned and provides a continuum of care from admitting, through in-house care, and then offers plans to meet patient needs upon discharge. Dr. Haussmann then discussed another set of accomplishments—multi-disciplinary efforts. These included standardization of pediatric asthma care; management of adult asthma patients who come to the Emergency Room; standardized patient identification for those who need blood or blood products, particularly those patients who use the ER frequently; reduction in time for personnel transactions (15 days instead of 23); and purchase of a better pneumatic tube system for transporting samples, etc., throughout the hospital.

Dr. Haussmann reviewed the quality indicators required for this report and discussed the charts in the materials. The first was patient satisfaction for in-patients at the hospital. He explained that after the introduction of the "I Make a Difference" program that patient satisfaction rose from an 85 percent satisfaction rate to 88 percent. He said that the goal is 90 percent satisfaction.

The next quality indicator discussed was comparative rates for obstetrical C-sections. The number of C-sections compared with other hospitals is an average based on 18 months' experience. The hospital's mean is identical to that of other hospitals.

Another factor compared was the rate of ICU Nosocomial infections. Dr. Haussmann said that these had been reduced significantly in 1995. He attributed this to intervention by hospital staff.

Another factor presented was the occurrence of errors in medication prescribed and administered. He said that this low error rate, well below the benchmark, is due to the good work of the clinical pharmacists in the hospital.

Other quality measures presented were the rates of fetal mortality and neonatal mortality. Dr. Haussmann explained that these rates vary extremely by month and that this is due to the varying numbers of such cases in each month. Also, the higher rate for neonatal mortality is due, he stated, to the fact that the University hospital is often the place of last resort for very ill babies.

Another measure that was reviewed is the mortality rate for cardiology PTCA (angioplasty patients). On this measure the hospital exhibits tremendous variance around the benchmark over time. Dr. Haussmann attributed this to the small number of patients requiring this procedure at the hospital.

*Annual Report on UIHMO, Inc.*

Dr. Haussmann reviewed the materials distributed earlier regarding the UIHMO. (Materials are filed with the secretary.) He told the board that the terms of two board members of the UIHMO expired this month (Dr. David R. McNutt and Dr. Michael R. Soper). He said that Dr. McNutt had agreed to return for another term and that Dr. Soper was leaving the area. Next, he reviewed the data on Medicaid enrollments. These data showed that the hospital has about the same number of UIHMO capitated Medicaid patients as Trinity and Bethany Hospitals (approximately 1200). Regarding enrollees, the UIHMO has fewer enrollees than Cook County Hospital and the number is dropping. He said that our competitors are also losing Medicaid enrollments, and that the University of Chicago has recently sold its Medicaid HMO to Harmony. He said that only Harmony and Americaid have grown recently.

He then reviewed a chart titled Medicaid Utilization Report in the Annual Report of the UIHMO and noted that the University hospital is in

line with the industry norms. He promised a report on the advisability of continuing with this part of the HMO business by fall.

Next, he discussed University programs within the UIHMO. Dr. Haussmann said that enrollments were stable from 1997-1998 and thus far in 1999. He noted that when the Campus Care premiums were going up, student enrollments were down but now that the premiums are stable enrollments are expected to remain stable. He explained that the length of stay on the utilization report was up due to some difficult obstetrics cases. When these are taken out, the length of stay is more in line with the benchmark. He added that a review of the premium for Campus Care—the student HMO—may reveal that an increase in premiums will be necessary. (Materials are filed with the secretary.)

#### **COMMITTEE MEETING RECESSED**

At this point, the committee recessed for a meeting of the board as the sole member of the UIHMO, Inc.

#### **COMMITTEE MEETING RESUMED**

At 2:45 p.m., the meeting of the Committee on the University Hospital and Clinics resumed. Dr. Haussmann reported on the visit of the Joint Commission on Accreditation of Health Organizations to the University hospital in January. He said that the five-member team gave the hospital a score of 90 out of 100 and full accreditation for three years. (Materials are filed with the secretary.)

Lastly, Dr. Haussmann reported that the Hunter Group consulting firm was continuing their work in the hospital and that in a preliminary fashion they thought they had identified some areas for cost savings. He said that the consultants had interviewed 60 individuals and planned to interview 35-40 more. In addition, he noted that there were several meetings scheduled with campus leadership and a steering committee that had been assembled to work with the consultants. The committee meeting concluded at 2:46 p.m.

#### **BOARD MEETING RECONVENED**

Dr. Gindorf reconvened the board in regular session and asked the secretary to call the roll. Those board members and University officers present at the beginning of the day were still in attendance.

#### **MINUTES APPROVED**

The secretary presented for approval the press proofs of the minutes of the Board of Trustees meeting of July 9, 1998, copies of which had previously been sent to the board.

On motion of Mr. Plummer, these minutes were approved.

### **BUSINESS PRESENTED BY THE PRESIDENT OF THE UNIVERSITY**

President Stukel recognized and introduced observers from the campus senates and from the University Senates Conference.<sup>1</sup>

Then he announced to the board that Mrs. Gravenhorst had received the Distinguished Service Award from the Association of Governing Boards of Universities and Colleges at their annual meeting the previous month. He said that Mrs. Gravenhorst received the award as a representative of trustees from the public university sector and that Judge Griffin Boyette Bell, former attorney general of the United States, received the award as a representative of the private university sector. Among the gifts associated with this award was a check for \$10,000 to the honoree's institution. Mrs. Gravenhorst presented this to President Stukel.

The president reported to the board that the Illinois Senate Appropriations Committee had reduced the higher education budget by \$21.0 million. He said that this means a loss of \$5.2 million to the University. He also told the board that the committee asked the higher education institutions to redirect \$5.5 million intended for programmatic uses into deferred maintenance. The president indicated that the committee further recommended salary increases for faculty and staff of 3 percent, a 10 percent increase for library materials, and an additional 1 percent for faculty salaries for retention and recruitment of faculty.

President Stukel stated that if these recommendations were to be finalized, the University would not receive the funds requested for replacement of faculty positions lost in the early years of this decade, improvements in instructional technology, and funds for technology enhancements needed currently. He indicated that when he testifies before the House Appropriations Committee he will urge support of the governor's budget and the amount of money he proposed for higher education, which would mean restoration of the funds cut by the Senate committee. He then asked Richard M. Schoell, executive director for governmental relations, to comment and report on Federal legislative matters.

### **Report on Governmental Relations**

Mr. Schoell said that the House hearing the president referred to would be April 22. He encouraged the board members to write and contact legislators to urge their support for the governor's budget. He stated that there were 200 bills currently under consideration in the General Assembly that refer to higher education. With regard to Federal legislation he indicated that the budget resolution for Fiscal Year 2000 will leave in place the caps on spending. He said that he is working closely with the Illinois delegation in attempting to gain support from those members for flexibility in that budget.

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<sup>1</sup>University Senates Conference: Ann C. Weller, deputy librarian, Library of the Health Sciences, and associate professor, University Library, Chicago campus; Springfield Senate: Denise D. Green, assistant professor, Library Instructional Services; Urbana-Champaign Senate Council: Kenneth E. Andersen, professor emeritus of speech communication.

### **IBHE Report**

President Stukel asked Vice President Manning to present a new report from the IBHE. Dr. Manning referred to a report titled *The Illinois Commitment: Partnerships, Opportunities, and Excellence* (copy filed with the secretary). She said that this replaces an earlier document that was titled *Priorities, Quality, and Productivity*, and indicated that the later report expresses the commitment of the IBHE to the people of Illinois. She said that it includes six statewide goals, and presumes that the institutions will have their own goals. Dr. Manning added that the budget for the University for Fiscal Year 2001 will be due in the offices of the IBHE at an earlier date this year, so the presentation of the budget to the board will need to be in May this year. She indicated that this University budget is organized by campus goals and that the IBHE goals were broad enough to cover the University of Illinois goals.

### **Trustee Institute**

The president announced that there would be a Trustee Institute in Springfield on June 2-4, sponsored by the IBHE, the legislature, and the community college trustees organization.

### **Urbana Campus Issues**

President Stukel called the trustees' attention to brochures called *Solicitation of Interest* that Chancellor Aiken had circulated. He said that these refer to the plans of the Urbana campus to build two incubators in partnership with external developers. He added that 20 proposals from individuals and consortia have been received to date. He stated that the due date for these statements of interest is May 1. After the campus staff reviews these over the summer, a short list of competitors will be assembled. The president said that he hoped to bring a recommendation on this matter to the board in the fall.

### **Chicago Campus Issues**

The president then asked Chancellor Broski to report. The chancellor congratulated Mr. Clark for being accepted in the doctoral program in history at the University of Chicago. Then Chancellor Broski reported that the Master Developer Agreement for the south campus development had been signed and that the campus and the city of Chicago had reached agreement on how many buildings or building facades in the Maxwell Street area would be preserved in the newly developed area. He also mentioned that several groups working together as the Teachers' Academy Taskforce were drafting a program statement for the proposed Teachers' Academy, which might be located on the Chicago campus.

### **Good News from the Campuses**

President Stukel told the board that Luis Urrea, associate professor of English, Chicago, has received the prestigious American Book Award for his book, *Nobody's Son*. This is an autobiography of Urrea and his family that dissects such issues as ethnicity and the American cultural landscape. The American Book Award recognizes outstanding literary achievement by contemporary American authors. The award will be presented at a ceremony in Los Angeles on May 1.

Next, he noted that four Urbana faculty members have been awarded 1999 Alfred P. Sloan Fellowships. They are: Naomi Makins—physics; David Gin and Todd Martinez—chemistry; and Jeff Erickson—computer science. He stated that for 45 years, these fellowships have recognized and supported those scientists showing the most promise of making contributions to new knowledge. Further, he said that 21 Sloan Fellows have won Nobel Prizes later in their careers. The president mentioned that in addition to the national recognition, fellows receive a \$60,000 grant to support their research. He added that only 100 fellowships were awarded this year and Urbana received four.

### **REPORT OF THE CHAIR OF THE BOARD**

Dr. Gindorf thanked President and Mrs. Stukel for coming to McHenry County recently to meet with alumni and others as part of the outreach visits the president is making. He also noted that there had been a meeting of members of governing boards of the Big Ten institutions on the Chicago campus the previous month for an exchange of ideas. He added that the group planned to meet again next year at the Chicago campus and that The Ohio State University board members had invited all the trustees to a football game next fall in Columbus.

### **NEW BUSINESS**

(3) On motion of Mr. Plummer, the following resolution to honor Governor Jim Edgar was adopted unanimously:

*To: Jim Edgar*

The Board of Trustees of the University of Illinois wishes to record its grateful appreciation to you for your service as Governor of Illinois and as an ex officio member of this board during the years 1991-1999.

Your interest in the University and your keen understanding of its needs have contributed significantly to its progress. We will remember with gratitude the times you were able to meet with this board and participate in its transactions.

Your support of such important projects at the University as the Molecular Biology Research Facility at Chicago, the Chemical and Life Sciences Laboratory, and the Agricultural, Consumer and Environmental Sciences (ACES) Library, Information, and Alumni Center at Urbana, and programs to preserve faculty excellence helped retain quality at the University at a critical time.

In the Edgar years, funds for higher education were allocated in a steady, consistent pattern which allowed our University and others to plan in a much more rational way than

had been possible for many years. This was true for both the operating and the capital budgets.

A major theme of your administration—the protection of the State’s investment in educational facilities through the repair and renovation of existing physical structures—is deeply appreciated.

As an ex officio member of this board you gave more time and effort than we dared expect, and you demonstrated caring and support of the University in many ways.

The board directs that this resolution be incorporated in the minutes of today’s meeting to become a part of the official public record. The members of the board and the officers of the University extend heartfelt gratitude to you and Mrs. Edgar and our best wishes for professional and personal satisfaction in the years to come.

### **Calendar, Board Meetings, 1999-2000**

(4) On motion of Mr. Plummer, the following schedule of meetings for 1999-2000 was approved unanimously. The configuration of the two days for each meeting and how the time in these days would be allotted was discussed, and it was agreed that the secretary would poll the board on their preferences.

September 1-2	Chicago
October 14-15	Urbana
November 17-18	Springfield
January 12-13	Chicago
March 1-2	Urbana
April 12-13	Chicago
May 31-June 1	Urbana
July 19-20	Chicago

### **Reports from Student Trustees**

Mr. Clark thanked the board for their positive comments about the student leadership report the previous day. He then reported on a recent conference on affirmative action involving the Chicago campus, the student government elections in which new student leaders were elected, and he indicated that his experience on the board had helped him gain admission to the graduate program at the University of Chicago.

Mr. Gallo announced that the new student trustee from the Urbana campus, to start in July, is David Cocagne. He also reported that there were candle-light vigils held on the Urbana campus recently to honor the anniversary of the Holocaust and for the NATO actions in Kosovo. He extended his congratulations to Mrs. Gravenhorst and to Mr. Clark.

### **REGULAR AGENDA**

The board considered the following reports and recommendations from the president of the University.

By consensus, the board agreed that one vote would be taken and considered the vote on each agenda item nos. 5 through 21 inclusive. The recommendations were individually discussed but acted upon at one time.

(The record of board action appears at the end of each item.)



### Honorary Degree, Urbana

(5) The senate of the Urbana-Champaign campus has recommended that an honorary degree be conferred on the following person at the Commencement Exercises on May 16, 1999:

RICHARD N. KAPLAN, veteran news executive and president of CNN/USA—the honorary degree of Doctor of Letters.

Mr. Kaplan joined CNN/USA as president on August 5, 1997, after a distinguished career at ABC and CBS News. While at ABC News and the ABC Television Network from 1979 to 1997, Mr. Kaplan held a variety of high-level positions. In his most recent position, he served as executive producer of special projects for the ABC Television network. In this post, he created and produced special programming for all of the network's divisions, including news, entertainment, and sports. He served as executive producer of *World News Tonight with Peter Jennings* and *PrimeTime Live*. Before joining *PrimeTime Live*, he created and was executive producer of *Capital to Capital*, and was the executive producer of *Viewpoint* and *The Koppel Report*. Mr. Kaplan has won 34 Emmy Awards, four Overseas Press Club Awards, three George Foster Peabody Awards, two George Polk Awards, and four Alfred I. Dupont-Columbia University Awards, including two Gold Batons.

The chancellor concurs in the recommendation.

I recommend approval.

On motion of Mrs. Gravenhorst, this degree was authorized as recommended.

### Appointments to the Faculty, Administrative/Professional Staff, and Intercollegiate Athletic Staff

(6) Positions in the University are classified in the following categories and are designated in the budget by the symbols indicated.

A—Indefinite tenure

B—Ten months' service paid over twelve months

K—Headship—As provided in the *Statutes*, the head of a department is appointed without specified term

N—Term appointment not eligible to be appointed for an indefinite term and not credited toward probationary period

Q—Initial term appointment for a professor or associate professor

Y—Twelve-month service basis

1-7—Indicates the number of years of service which will be credited at the end of the contract period toward completion of the probationary period relating to tenure.

Figures following a symbol indicate percentage of time if the appointment is on a part-time basis (e.g., N75 means one year on three-fourths time).

### Appointments to the Faculty

**According to State statute, the student trustee will not vote on those items marked with an asterisk.**

The following new appointments to the faculty at the rank of assistant professor and above, and certain administrative positions, have been approved since the previous meeting of the Board of Trustees and are now presented for your confirmation.

#### Chicago

ELMER Y. TU, assistant professor of ophthalmology and visual sciences, on 51 percent time, and physician surgeon in ophthalmology and visual sciences, on 49 percent time, College of Medicine at Chicago, beginning April 5, 1999 (NY51;NY49), at an annual salary of \$150,000.

OLIVER T. YU, assistant professor of electrical engineering and computer science, beginning January 21, 1999 (N), at an annual salary of \$62,000.

*Emeriti Appointments*

JAMES H. DEE, associate professor emeritus of classics, May 21, 1999

SAVITRI K. KAMATH, professor emerita of human nutrition and dietetics and dean emerita, College of Health and Human Development Sciences, April 18, 1999

GERALD C. SORENSEN, associate professor emeritus of English, May 21, 1999

W. FORREST STINESPRING, professor emeritus of mathematics, statistics, and computer science, May 21, 1999

**Urbana-Champaign**

NICHOLAS PARKS CARTER, assistant professor of electrical and computer engineering, beginning February 1, 1999 (N), at an annual salary of \$60,000.

RALF KOETTER, assistant professor of electrical and computer engineering, on 100 percent time, and research assistant professor, Coordinated Science Laboratory, on zero percent time, beginning January 6, 1999 (1;N), at an annual salary of \$57,500.

*Emeriti Appointments*

KENNETH O. DRAKE, professor emeritus, School of Music, May 21, 1999

MICHAEL FAIMAN, professor emeritus of computer science, May 21, 1999

LOWELL D. HILL, professor emeritus of agricultural marketing in the Department of Agricultural and Consumer Economics, August 21, 1998

JOHN H. MCCORD, professor emeritus, College of Law, May 21, 1999

DIMITRI M. MIHALAS, professor emeritus of astronomy, March 21, 1999

GARY E. RICKETTS, professor emeritus of animal sciences, January 1, 1999

STEPHEN M. RIES, associate professor emeritus of crop sciences, May 21, 1999

**Administrative/Professional Staff**

\* SERDAR E. BULUN, director, Division of Reproductive Endocrinology in the Department of Obstetrics and Gynecology, College of Medicine at Chicago, on zero percent time on a twelve-month service basis with an administrative increment of \$75,000, beginning February 22, 1999 (NY). In addition, Dr. Bulun was appointed to the rank of associate professor of obstetrics and gynecology, College of Medicine at Chicago, on indefinite tenure on a twelve-month service basis, on 36 percent time, and was also appointed to serve as physician surgeon, University of Illinois Hospital and Clinics, on 64 percent time, beginning February 22, 1999 (AY36;NY64), at an annual salary of \$175,000, for a total annual salary of \$250,000.

\* JOSE R. CINTRON, residency program director, Department of Surgery, College of Medicine at Chicago, on zero percent time on a twelve-month service basis with an administrative increment of \$30,000, beginning February 1, 1999 (NY). In addition, Dr. Cintron was appointed to the rank of associate professor of surgery, on 50 percent time on a twelve-month service basis, from February 1, 1999, through August 31, 2002 (QY50), and will continue to serve as Physician Surgeon in Surgery, College of Medicine at Chicago, on 10 percent time on a twelve-month service basis, effective February 1, 1999 (NY10), at an annual salary of \$88,300, for a total annual salary of \$118,300.

ROBERT B. GENNIS, executive associate director of the Biotechnology Center, Urbana-Champaign, on zero percent time on a twelve-month service basis with an administrative increment of \$12,000, beginning January 1, 1999 (NY). Dr. Gennis will continue to hold the rank of professor of biochemistry on indefinite tenure on an academic year service basis on 100 percent time (A), at an annual salary of \$97,344. He will also continue to hold the rank of professor of chemistry on indefinite tenure on zero percent time and the rank of professor of biophysics in the School of Life Sciences, non-tenured, on zero percent time (A;N), for a total annual salary of \$109,344.

PAUL R. OSTERHAUT, associate dean for development and external affairs, College of Liberal Arts and Sciences, Urbana-Champaign, on 34 percent time, beginning February 1, 1999 (NY), at an annual salary of \$41,666, and on 100 percent time, effective June 1, 1999 (NY), at an annual salary of \$125,000.

DAVID SCHEJBAL, associate provost and director, Office of Continuing Education, Urbana-Champaign, beginning June 1, 1999 (NY), at an annual salary of \$100,000.

On motion of Mrs. Gravenhorst, these appointments were confirmed.

**Eliminate the Graduate Curriculum and the  
Master of Arts Degree in Community Arts Management,  
College of Public Affairs and Administration, Springfield**

(7) The chancellor at Springfield, with the recommendation of the Springfield Senate, has approved the elimination of the graduate curriculum and Master of Arts degree in Community Arts Management (CAM), College of Public Affairs and Administration.

The Springfield campus has been engaged in a planning process intended to focus and concentrate its academic programs. In particular, concerns were raised during the 1995-97 development planning process and the 1997 North Central Association Comprehensive Evaluation Report about the number of small master's degree programs at UIS. The Master of Arts in Community Arts Management is one such program.

Since the core curriculum of the Community Arts Management Program overlaps that of the Master of Public Administration Program, the campus is proposing that the CAM offering be eliminated and that education in community arts management be incorporated into the Public Administration Program. All CAM resources, including the faculty, would be transferred to the Public Administration Program. This action would preserve graduate education in Community Arts Management at UIS as a component of a larger degree program, rather than as a separate degree.

Both the faculty of the Community Arts Management Program and the Public Administration Program agree to this action. The dean of the College of Public Affairs and Administration also supports this request.

The vice president for academic affairs concurs with this recommendation. The University Senates Conference has indicated that no further senate jurisdiction is involved.

I recommend approval, pending further review by the Illinois Board of Higher Education.

On motion of Mrs. Gravenhorst, this recommendation was approved.

**Eliminate the Undergraduate Curriculum and the  
Bachelor of Arts Degree in Health Services Administration,  
College of Public Affairs and Administration, Springfield**

(8) The chancellor at Springfield, with the recommendation of the Springfield Senate, has approved the elimination of the undergraduate curriculum and Bachelor of Arts degree in Health Services Administration, College of Public Affairs and Administration.

The Health Services Administration Program offers professional education for entry-level positions in the field. Enrollment levels have declined by about 50 percent compared to the early 1990s. Although recent recruitment efforts have been somewhat successful, they have not brought in the number of students needed to build a faculty base for a complicated professional curriculum of this nature. Since health services administration is not a core liberal arts discipline (which would allow UIS to support the program as part of the campus' commitment to liberal learning) and since many students interested in health services administration seek degrees in business, UIS is proposing elimination of the degree.

Health Services Administration resources will be distributed between the College of Public Affairs and Administration and the College of Business and Management.

The deans of the College of Public Affairs and Administration and the College of Business and Administration support this request.

The vice president for academic affairs concurs with this recommendation. The University Senates Conference has indicated that no further senate jurisdiction is involved.

I recommend approval, pending further review by the Illinois Board of Higher Education.

On motion of Mrs. Gravenhorst, this recommendation was approved.

**Eliminate the Master of Arts Degree in Economics  
and Consolidate the Curriculum,  
College of Business and Management, Springfield**

(9) The chancellor at Springfield, with the recommendation of the Springfield Senate, has approved the elimination of the Master of Arts degree in Economics and the consolidation of elements of its curriculum into the Master of Business Administration Program, College of Business and Management.

The Springfield campus has been engaged in a planning process intended to focus and concentrate its academic programs. In particular, concerns were raised during the 1995-97 development planning process and the 1997 North Central Association Comprehensive Evaluation Report about the number of small master's degree programs at UIS. The Master of Arts in Economics is one such program.

The discipline of economics provides a foundation for many professions and is an essential component of an institution's liberal arts educational program. Demand for the economics major itself is quite limited, however, and the FTE majors in both the undergraduate and graduate degree programs cannot support a faculty large enough to serve both degrees well. Higher quality can be achieved by focusing on one of the two degree offerings. Since the undergraduate degree serves the goal of preserving a full array of traditional liberal arts majors at UIS, it is more central to the campus's mission than the graduate degree. Therefore, the campus has decided to retain and support the undergraduate degree in economics and to eliminate the graduate offering. Elements of the graduate curriculum will be consolidated into the Master of Business Administration Program, allowing students to take appropriate economics course work within the M.B.A. curriculum.

The dean of the College of Business and Administration supports this request.

The vice president for academic affairs concurs with this recommendation. The University Senates Conference has indicated that no further senate jurisdiction is involved.

I recommend approval, pending further review by the Illinois Board of Higher Education.

On motion of Mrs. Gravenhorst, this recommendation was approved.

**Consolidate and Redesignate the Master of Arts Degree  
in Gerontology and the Master of Arts Degree  
in Child, Family, and Community Services,  
College of Health and Human Services, Springfield**

(10) The chancellor at Springfield, with the recommendation of the Springfield Senate, has approved the following: consolidate the Master of Arts degree in Gerontology and the Master of Arts degree in Child, Family, and Community Services; and redesignate the new degree offering as the Master of Arts degree in Human Services within the College of Health and Human Services.

The Springfield campus has been engaged in a planning process intended to focus and concentrate its academic programs. In particular, concerns were raised during the 1995-97 development planning process and the 1997 North Central Association Comprehensive Evaluation Report about the number of small master's degree programs at UIS. The Master of Arts degree in Gerontology is one such program.

In addition, the College of Health and Human Services is seeking to align itself with employment needs. The proposed name, Human Services, is expected to help identify qualified candidates from UIS more easily and, thus, increase the marketability of the program's graduates for the many human services positions both within the State and nationally. The consolidated curriculum is also expected to increase the breadth of career options for the program's graduates since a person holding a degree in Human Services may consider employment in a number of specialties within the field (e.g., gerontology, child welfare, alcoholism).

The faculty of the two programs agree to this request, as does the dean of the College of Health and Human Services.

The vice president for academic affairs concurs with this recommendation. The University Senates Conference has indicated that no further senate jurisdiction is involved.

I recommend approval, pending further review by the Illinois Board of Higher Education.

On motion of Mrs. Gravenhorst, this recommendation was approved.

### **Transfer the Master of Public Health Program from the College of Health and Human Services, Springfield**

(11) The chancellor at Springfield, with the recommendation of the Springfield Senate, has approved the transfer of governance and associated budget of the Master of Public Health Program from the College of Health and Human Services to the College of Public Affairs and Administration.

The program was initiated in 1991. As it evolved, it placed increasing emphasis on public health policy and administration. This emphasis makes the program an ideal match for both the campus and the College of Public Affairs and Administration, which also focuses on public policy and administration. The program is expected to find vital synergy with other public affairs programs in the college.

Program faculty agree to this action. The deans of the College of Health and Human Services and the College of Public Affairs and Administration also support this request.

The vice president for academic affairs concurs with this recommendation. The University Senates Conference has indicated that no further senate jurisdiction is involved.

I recommend approval, pending further review by the Illinois Board of Higher Education.

On motion of Mrs. Gravenhorst, this recommendation was approved.

### **Transfer Authority to Offer the Bachelor of Science in Nursing Degree from the University of Illinois at Springfield to Southern Illinois University—Edwardsville, College of Health and Human Services, Springfield**

(12) The chancellor at Springfield, with the recommendation of the Springfield Senate, has approved the transfer of authority to offer the Bachelor of Science in Nursing degree from the University of Illinois at Springfield to Southern Illinois University-Edwardsville (SIU-E).

The Bachelor of Science in Nursing degree at UIS is an accredited degree program with an adequate faculty base. Health care, however, is not central to UIS's mission while it is central to the mission of SIU-E. The School of Nursing at SIU-E has an array of nursing specialties, several off-campus sites (including a Master of Science in Nursing degree offered at UIS), and a mandate to serve central and southern Illinois. By transferring the degree to the SIU-E School of Nursing, the current UIS faculty would gain a place in the M.S.N. educational arena in Springfield and a new programmatic home rich in medical colleagues—important advantages in health education that UIS cannot offer.

As part of the negotiated agreement for transfer of approval for this program, both campuses have agreed that the funding associated with the program would continue as

part of UIS's budget. Administration and faculty at both institutions are working to facilitate the transition, with SIU-E agreeing to accept the faculty at their UIS rank and salary. In addition, faculty at both institutions are making every effort to ensure a smooth transition for students. To that end, all UIS credits for students already enrolled will count toward the SIU-E degree.

The program faculty have agreed to this action, and the dean of the College of Health and Human Services supports this request.

The vice president for academic affairs concurs with this recommendation. The University Senates Conference has indicated that no further senate jurisdiction is involved.

I recommend approval, pending further review by the Illinois Board of Higher Education.

Mr. Plummer asked about the provision for keeping the budget of this program at the Springfield campus, even though the program is being transferred to Southern Illinois University-Edwardsville. Dr. Manning explained that the IBHE saw fit to leave the budget at the Springfield campus because of need.

On motion of Mrs. Gravenhorst, this recommendation was approved.

#### **Transfer the Master of Arts in Public Affairs Reporting from the College of Liberal Arts and Sciences, Springfield**

(13) The chancellor at Springfield, with the recommendation of the Springfield Senate, has approved the transfer of governance and associated budget of the Master of Arts degree in Public Affairs Reporting from the College of Liberal Arts and Sciences to the College of Public Affairs and Administration.

The Springfield campus has been engaged in a planning process intended to focus and concentrate its academic programs. In particular, concerns were raised during the 1995-97 development planning process and the 1997 North Central Association Comprehensive Evaluation Report about the number of small master's degree programs at UIS. The Master of Arts Degree in Public Affairs Reporting is one such program.

As a professional program that prepares students to be news reporters of governmental activities, the Master of Arts Degree in Public Affairs Reporting makes a significant contribution to the public affairs mission of the campus. It has curricular affinities with other programs in both the College of Liberal Arts and Sciences (e.g., Communication) and the College of Public Affairs and Administration (e.g., Political Studies). During the recent planning process, the campus decided to retain and support the program in spite of its small size, which is an essential component of the program's design. However, the campus concluded that the program is too small to remain administratively independent.

Program faculty have requested that Public Affairs Reporting be moved to the College of Public Affairs and Administration to be jointly administered with the Political Studies Program. While the Public Affairs Reporting core curriculum cannot appropriately be merged with that of Political Studies, the extensive use of Political Studies electives and the sharing of faculty resources will enrich the quality of both programs. The campus will benefit from reduced administrative costs.

This action has been approved by the faculty of the Public Affairs Reporting Program and the Political Studies Program. The deans of the College of Liberal Arts and Sciences and the College of Public Affairs and Administration support this request.

The vice president for academic affairs concurs with this recommendation. The University Senates Conference has indicated that no further senate jurisdiction is involved.

I recommend approval, pending further review by the Illinois Board of Higher Education.

On motion of Mrs. Gravenhorst, this recommendation was approved.

### **Transfer the Bachelor of Arts Degree in Sociology/Anthropology from the College of Health and Human Services, Springfield**

(14) The chancellor at Springfield, with the recommendation of the Springfield Senate, has approved the transfer of governance and associated budget of the Bachelor of Arts degree in Sociology/Anthropology from the College of Health and Human Services to the College of Liberal Arts and Sciences.

The rationale for locating the Sociology/Anthropology (SOA) Program within the College of Health and Human Services was based on the assumption that professional and disciplinary programs in the same organizational unit would develop closer ties than under more traditional arrangements. In 1997-98, the college adopted a new set of bylaws stating that "human service is a fundamental part of all departments assigned in the college." The College of Health and Human Services has thus refocused its mission on the provision of human services, emphasizing the preparation of professionals within the field.

With the introduction of the new bylaws, the original rationale for the SOA Program's location in the college ceased to exist. Further, the program is now the only non-professional degree in the college. The relocation of the program within the College of Liberal Arts and Sciences will associate it with other programs having the educational purposes and philosophies inherent in the disciplinary liberal arts programs.

The faculty of the program have requested this action. The deans of the College of Health and Human Services and the College of Liberal Arts and Sciences support this request.

The vice president for academic affairs concurs with this recommendation. The University Senates Conference has indicated that no further senate jurisdiction is involved.

I recommend approval, pending further review by the Illinois Board of Higher Education.

On motion of Mrs. Gravenhorst, this recommendation was approved.

### **Eliminate the Master of Science in the Teaching of Computer Science, Urbana**

(15) The chancellor at Urbana, with the recommendation of the Urbana-Champaign Senate, has approved a proposal from the College of Engineering to eliminate the Master of Science in the Teaching of Computer Science.

In recent years, there has been declining student interest in the degree and the program is not producing a significant number of teachers educated in computing. As a result, the department wishes to discontinue the program and the degree to which it leads. The elimination is not expected to have any budgetary impact, nor should the undergraduate mission of the department be affected.

The vice president for academic affairs concurs in this recommendation. The University Senates Conference has indicated that no further senate jurisdiction is involved.

I recommend approval, pending further review by the Illinois Board of Higher Education.

On motion of Mrs. Gravenhorst, this recommendation was approved.

### **Redesignate the Department of Nuclear Engineering, Urbana**

(16) The chancellor at Urbana, with the recommendation of the Urbana-Champaign Senate, has approved a proposal from the College of Engineering to redesignate the Department of Nuclear Engineering as the Department of Nuclear, Plasma and Radiological Engineering.

Since the Department of Nuclear Engineering was established as a department in 1986, the nuclear engineering discipline has shifted its emphasis from the design and engineering of large nuclear fission electric power stations to include a variety of applica-

tions of radiation in medicine, materials processing, plasma applications, nondestructive analysis, small power sources, and other critical societal needs. This name change reflects those disciplinary shifts. There are no budgetary or staff implications for this change. The degree titles are unaffected by the departmental name change; undergraduate students will continue to receive the Bachelor of Science in Nuclear Engineering.

The vice president for academic affairs concurs with this recommendation. The University Senates Conference has indicated that no further senate jurisdiction is involved.

I recommend approval, pending further review by the Illinois Board of Higher Education.

On motion of Mrs. Gravenhorst, this recommendation was approved.

### **Transfer the Department of Biochemistry, Urbana**

(17) The chancellor at Urbana, with the recommendation of the Urbana-Champaign Senate, has approved a proposal from the College of Liberal Arts and Sciences to transfer the Department of Biochemistry from the School of Chemical Sciences to the School of Life Sciences.

Nationwide the biological sciences are experiencing a period of rapid intellectual and educational change. In the past few years, the Department of Biochemistry has shifted its central focus toward the biological side of its intellectual spectrum. The transfer of Biochemistry from the School of Chemical Sciences, where it currently resides, to the reorganizing School of Life Sciences recognizes the intellectual convergence of biochemistry and the molecular and cellular fields of biology, and enables further consolidation of the educational and research efforts in these areas.

The vice president for academic affairs concurs in this recommendation. The University Senates Conference has indicated that no further senate jurisdiction is involved.

I recommend approval, pending further review by the Illinois Board of Higher Education.

On motion of Mrs. Gravenhorst, this recommendation was approved.

### **Redesignate Alumni Hall North, Chicago**

(18) The chancellor at Chicago, with the concurrence of the appropriate University officers, recommends that Alumni Hall North (located at 400 South Peoria) be renamed Art and Design Hall. Alumni Hall was acquired in 1979. The School of Art and Design is the primary occupant of the building. Redesignation will appropriately identify the location of the major studio and instructional facilities of the school.

I concur.

On motion of Mrs. Gravenhorst, this recommendation was approved.

### **Designate the Bardeen Quadrangle, Urbana**

(19) The Urbana-Champaign campus requests the dedication of a suitable memorial to the life and accomplishments of John Bardeen who served the University from 1951 until his death in 1991. Professor Bardeen's legacy to the University of Illinois will be seen in scientific and engineering contributions for many generations to come.

When he was awarded a second Nobel Prize in Physics in 1972, John Bardeen was the only person to be so honored twice in the same field. Professor Bardeen's first Nobel Prize, awarded in 1956 for work accomplished while he was at Bell Laboratories, acknowledged his seminal contribution to the discovery of the transistor.

It is this work that earned him distinction as a founder of the information age, the "father" of modern electronics. His second Nobel Prize, awarded for work performed at the University of Illinois, acknowledged his development of the microscopic theory of superconductivity. This theory explains properties of materials that offer a wide range of important applications now and will offer them well into the future.



John Bardeen had a unique influence on the technical and scientific practices of our time. Nowhere was this influence more impressively imprinted than upon this University. His joint appointment in the Department of Physics and the Department of Electrical and Computer Engineering established a tradition for interdisciplinary work that places significant value on the exchange of scientific inquiry and technical application. The application of his academic values continues to shape the education of University of Illinois students as well as the endeavors of the faculty and staff.

Accordingly, the chancellor at Urbana, with the concurrence of the appropriate University officers, recommends that the Engineering Quadrangle at the Urbana-Champaign campus (an area of open space bounded by the Grainger Engineering Library Information Center on the north, Mechanical Engineering Lab on the east, Engineering Hall on the south, and Talbot Lab on the west) be named The Bardeen Quadrangle to honor Professor John Bardeen. A memorial garden will be included within the quadrangle that will identify the significance of the time during which Professor Bardeen taught on the Urbana-Champaign campus and will let students know that they share the space with a man who revolutionized the world in which they live.

I concur.

On motion of Mrs. Gravenhorst, this recommendation was approved.

### **Revisions to the University of Illinois *Statutes***

(20) The president of the University, with the concurrence of the University Senates Conference, recommends revisions to the University of Illinois *Statutes*. These revisions are needed to take into account changes within the University, such as the addition of the Springfield campus; correction of obsolete language and changes in terminology within the University; and grammatical changes that will make the sections flow more smoothly (piecemeal updating over the years has caused inconsistencies in style).

The document reflects changes and comments offered by all three of the campus senates and the University Senates Conference.

The vice president for academic affairs concurs in these revisions.

(The University of Illinois *Statutes* is printed as an appendix to these minutes.)

President Stukel thanked Professor Kenneth E. Andersen, professor emeritus of speech communication at Urbana, for his work on the revisions to the University of Illinois *Statutes*. Professor Andersen spent two years on special assignment working on the review and revisions to the *Statutes*.

Mr. Shea asked for an explanation of "overload" for faculty as mentioned in the *Statutes*. Dr. Thompson and Dr. Manning explained that this means compensation for teaching or performance of other duties over and above what is defined as a full load for a faculty member.

On motion of Mrs. Gravenhorst, these revisions were approved.

### **Approve the Affiliation of the University of Illinois with the Commission on Institutions of Higher Education of the North Central Association of Colleges and Schools**

(21) The vice president for academic affairs has recommended that the Board of Trustees authorize the University of Illinois' affiliation with the Commission on Institutions of Higher Education of the North Central Association of Colleges and Schools. The Commission is part of the North Central Association of Colleges and Schools (NCA). The NCA is one of six regional institutional accrediting associations in the United States; through its Commissions it accredits, and thereby grants membership to, educational institutions in the nineteen-state North Central region.

The University of Illinois has been affiliated with the NCA since the establishment of the latter in 1913. The Commission now requires each of its affiliated institutions to demonstrate that its governing board authorizes the institution's affiliation with the Commission.

I concur.

On motion of Mrs. Gravenhorst, this recommendation was approved.

By consensus, the board agreed that one roll call vote would be taken and considered the vote on each agenda item nos. 22 through 37 inclusive. The recommendations were individually discussed but acted upon at one time.

(The record of board action appears at the end of each item.)

### **Amend Revenue Bond Resolution**

(22) On January 18, 1996, the board approved the issuance of Auxiliary Facilities System Revenue Bonds, Series 1996. This action resulted in the Fifth Supplemental System Revenue Bond Resolution which incorporated as part of it an "Exhibit A" which listed the projects to be funded by these bonds, one of which included a two-story addition to the Paulina Street Parking Structure at UIC. Subsequent events have negated the need for that addition and have freed the funds so assigned to be available for other purposes. It has been determined that a redirection of about \$1,000,000 of these funds to the remodeling of the UIC Pavilion would be desirable.

Bond Counsel has advised that the following resolution amending the Fifth Supplemental Resolution be adopted by the board to authorize the addition of the UIC Pavilion project. The vice president for business and finance is in agreement as is the university counsel.

I concur.

#### **A Resolution Amending the Fifth Supplemental System Revenue Bond Resolution Adopted by the Board on January 18, 1996**

*Whereas*, the Board of Trustees of the University of Illinois (the "*Board*") adopted on January 18, 1996, the Fifth Supplemental System Revenue Bond Resolution (the "*Fifth Supplemental Resolution*") to the Board's resolution adopted on September 20, 1984, providing for the issuance of revenue bonds for the Board's Auxiliary Facilities System (the "*System*"); and

*Whereas*, the Fifth Supplemental Resolution provided for the issuance of the Board's University of Illinois Auxiliary Facilities System Revenue Bonds, Series 1996 (the "*Bonds*") for the purpose, in part, of paying the costs of a project (the "*Project*"), as set forth in *Exhibit A* to such Fifth Supplemental Resolution; and

*Whereas*, the Board is authorized to amend the description of the Project pursuant to Section 5.1 of the Fifth Supplemental Resolution; and

*Whereas*, the Board does now find and determine that it is advisable and necessary and in the interest of said University to amend the description of the project to allow proceeds of the Bonds to be used for the remodeling of the Pavilion at the Chicago Campus, which facility is presently included in the System.

*Now, Therefore, Be It Resolved* by the Board of Trustees of the University of Illinois:

Section 1. *Exhibit A* of the Fifth Supplemental Resolution is amended with respect to the facilities to be financed as a part of the Project at the Chicago Campus by adding the remodeling of the Pavilion thereto.

Section 2. This amendatory resolution shall become effective upon its passage.

On motion of Mrs. Gravenhorst, the foregoing resolution was adopted by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs.

Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

### Contracts for ACES Library, Information, and Alumni Center, Urbana

(23) On January 21, 1999, the Board of Trustees approved a recommendation to delegate authority to the comptroller to award construction contracts to the lowest responsible bidder in each division of work for the new ACES Library, Information, and Alumni Center project at the Urbana campus, provided the total of the bids received did not exceed \$14,200,000.

Competitive bidding in accordance with the Illinois Procurement Code was followed; and on March 17, 1999, bids were received for a total amount of \$15,526,802. Since the total amount exceeds the delegated authority, it is necessary to receive approval from the board to award the contracts. The additional \$1.3 million required to meet contracts as required is available from contingency funds of the budget, and does not alter the overall budget.

Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends that the following contracts be awarded for the proposed \$21,063,415 project. The award in each case is to the lowest responsible bidder on the basis of its base bid plus acceptance of the indicated alternates.<sup>1</sup>

#### *Division I—General*

Williams Brothers Construction, Peoria	Base Bid	\$10,048,000	
	Alt. G5	53,000	
	Alt. G8	56,000	
	Alt. G9	<u>9,000</u>	
			\$10,166,000

#### *Division II—Plumbing*

Commercial Mechanical, Inc., Dunlap	Base Bid		557,700
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#### *Division III—Heating, Piping, Refrigeration and Temperature Control*

A & R Mechanical Contractors, Inc., Urbana	Base Bid	946,903	
	Alt. H14	<u>100</u>	
			947,003

#### *Division IV—Ventilation and Air Distribution*

A & R Mechanical Contractors, Inc., Urbana	Base Bid		1,009,510
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#### *Division V—Electrical*

Bodine Electric of Champaign, Champaign	Base Bid	2,685,776	
	Alt. E14	7,209	
	Alt. E15	<u>no charge</u>	
			2,692,985

#### *Division VI—Fire Protection*

F. J. Murphy & Sons, Inc., Springfield	Base Bid		<u>153,604</u>
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*Total*

\$15,526,802

<sup>1</sup>Description of Alternates: #G5—Add brick paver terrace and low wall outside of and to the west of the Heritage Room; #G8—Upgrade wall finishes of all rest rooms from painted cmu to ceramic wall tile; #G9—Upgrade the Heritage Room, Room #107, finishes with wood wainscot; #H14—Upgrade the hot water pumping system with variable speed pumps and 2-way valves; E14—Electrical work associated with H14; and E15—Upgrade the light fixtures attached to 2nd level circulation desk.

The approximately 83,700 gsf facility will include approximately 30,600 nasf for all the current holdings of the college plus growth space for library material in the stack areas. The new building will also provide approximately 15,000 nasf of computer instructional labs and support areas as well as an alumni center and an information and career center.

Funds for the project are available from state appropriations and private gift funds.

A schedule of the bids received has been filed with the secretary of the board for record.

On motion of Mrs. Gravenhorst, these contracts were awarded by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

### **Award of Contract and Increase in Project Scope for North Campus Chilled Water Distribution System, Urbana**

(24) The president of the University, with the concurrence of the appropriate University officers, recommends that the following contract be awarded for the north campus chilled water distribution system at the Urbana campus. Competitive bidding in accordance with the Illinois Procurement Code was followed; and the award is to the lowest responsible bidder on the basis of its base bid plus acceptance of the indicated alternates.<sup>1</sup>

*Division III—Heating, Piping, Refrigeration, and Temperature Control*

Duce Construction Co., Champaign	Base Bid	\$3,649,800
	Alt. M1	3,082
	Alt. M2	145,861
	Alt. M3	25,834
	Alt. M4	63,525
<i>Total</i>		\$3,888,102

The proposed project will establish the northern portion of a chilled water distribution system capable of serving all buildings on the engineering campus and the northwest portion of the central campus from the North Campus Chiller Plant. Branch piping to serve four existing buildings (Engineering Hall, the Illini Union, Mechanical Engineering Laboratory, and Metallurgy and Mining Building) will also be provided. The work includes the installation of two 24-inch diameter chilled water distribution mains (supply and return) and a 6-inch compressed air main along Mathews Avenue extending from the North Campus Chiller Plant to Green Street, thence westward and southward to the corner of Wright and John Streets. A smaller (16-inch) proposed raw (untreated) process water system for cooling towers, irrigation, and other non-potable uses, extending from the North Campus Chiller Plant to Green Street, will also be included in this project. A telecommunications fiber-optic cable and an electric duct bank will be installed in portions of the trench which will be required for this project to avoid future disruption along the affected streets.

This project is being designed pursuant to the chilled water master plan developed under previous studies. The previously approved \$3.9 million project was based on the chilled water distribution pumps being installed as part of separate projects in the connected buildings. The new scope includes installing the distribution pumps in the North Campus Chiller Plant. In addition, the previous project scope did not include the addition of the compressed air piping, telecommunications cable, or the electric duct bank. Accordingly, it is further recommended that the project budget be increased by

<sup>1</sup>Description of Alternates: Alt. M1—Irrevocable Letter of Credit; Alt. M2—Compressed air distribution system; Alt. M3—Telecommunication duct; Alt. M4—Compressed schedule.

\$1.05 million from \$3.9 million to \$4.95 million and that the professional services agreement with GLHN Architects & Engineers, Inc., Tucson, Arizona, be increased by \$25,382 in fees for the increased scope.

Funds for the project are available initially from Institutional Fund Reserves with reimbursement anticipated from the proceeds of the sale of the Certificates of Participation.

A schedule of the bids received has been filed with the secretary of the board for record.

On motion of Mrs. Gravenhorst, these recommendations were approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

### **Project Approval for Remodeling of Oral Surgery, First Floor, College of Dentistry Building, Chicago**

(25) The existing Oral and Maxillofacial Surgery Clinic is located on the first floor of the College of Dentistry Building which was built in 1969. It has seen little remodeling in the last 30 years and is currently overcrowded, antiquated, and in need of beautification.

This is the first phase of a planned three-phase project to update and modernize the Oral and Maxillofacial Surgery Clinic. This phase of the project will consist of remodeling approximately 6,000 square feet of existing clinic and corridor space into new clinic and office spaces. It will allow the building of a larger waiting room and much needed offices. It will also allow for the relocation of existing occupants in the Phase II work area.

The work will include demolition of selected interior walls; enclosing of the east corridor and recapturing the space for the clinic; removal of asbestos floor tile and mastic; building of new ADA-accessible restrooms; building of a new waiting room, conference room, and new offices. The existing decor will be updated with new flooring, wall coverings, and ceilings. The plumbing, HVAC, and electrical systems will be re-worked to conform to the new layout, and the phone/data lines will be updated to meet current standards.

Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends that the project be approved at \$659,339.

Funds are available from private gift funds and the restricted funds operating budget of the College of Dentistry.

On motion of Mrs. Gravenhorst, this recommendation was approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

### **Remodeling Chicago Circle Center, Chicago**

(26) On March 4, 1999, the board approved the employment of Harry Weese Associates, Chicago, for the professional architectural and engineering services required for remodeling the Chicago Circle Center.

The scope of the work for the original project was the development of a master plan concept design and budget for the revitalization of the concourse and enclosure of the exterior east and west second floor patio areas, including the development of a master design concept for the first floor concourse area that includes remodeling the existing Student Information Network Center (SINC).

Concurrent with the master plan and SINC remodeling, it is now necessary to re-design and renovate the east entry plaza which has deteriorated due to sinking soil conditions. Work is to include repair to expansion joints and spalling I-block paving and redesign which is consistent with campus standards for paving, curbs, planters, plant materials, seating, lights, and other finishes. The design will continue to address ADA access. To stay within the proposed project schedule, it will be necessary to delegate authority to the comptroller to award construction contracts for the plaza work prior to the May meeting of the board.

Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends that the original project be revised to include the additional work for the east entry plaza, including additional fees for the architectural and engineering services provided by Harry Weese Associates, for a total project cost of \$650,000; and that the comptroller be delegated the authority to award construction contracts provided that the total of the low bids received does not exceed \$485,000.

Funds are available from the Institutional Funds Budget of Campus Auxiliary Services (Student Unions) and State Appropriated Funds.

On motion of Mrs. Gravenhorst, these recommendations were approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

#### **Delegation of Authority to Award Contracts for Remodeling Henry Administration Building, Urbana**

(27) The proposed \$1,660,490 project consists of remodeling portions of the Henry Administration Building at the Urbana campus. Approximately 5,500 gsf of basement space, 6,300 gsf of first floor space, and 1,900 gsf of second floor space will be remodeled for offices. The remodeling will include new partitions, acoustic ceilings, carpeting, and painting. In addition, HVAC units and new lighting will be included.

In order to meet the project construction schedule, it is essential that contracts for the project be awarded before the May 1999 board meeting. Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends that the comptroller be delegated authority to execute the necessary documents to award contracts to the lowest responsible bidder for each division, including any applicable alternates, provided the total of the bids received does not exceed \$1,455,792. Competitive bidding procedures will be followed in accordance with the Illinois Procurement Code.

Funds are available from the institutional funds budget of University administration.

A report of the contracts awarded will be made at a subsequent meeting of the board.

On motion of Mrs. Gravenhorst, this recommendation was approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

#### **Delegation of Authority to Award Contract for Job Order Contracting (JOC) System, Urbana**

(28) The project consists of the development and implementation of a Job Order Contracting (JOC) System at the Urbana campus. The proposed JOC System will enhance the present contracting operations for repairs, minor remodeling, and new construction at

the Urbana campus. Budgets will be developed on an individual project basis in connection with specific job orders.<sup>1</sup> Based on past records of the campus' existing contracts for repairs, minor remodeling, and new construction, it is anticipated that the Urbana campus will order an estimated \$12.0 million in construction work under the JOC system over the designated four-year contract period.

The job order contract, which is competitively bid in accordance with the Illinois Procurement Code, will have a minimum value of \$50,000 and a maximum value of \$3.0 million during the initial contract term of 12 months and will include an option for three additional one-year periods, subject to approval by the comptroller. The maximum value of each option term shall be \$3.0 million plus any carryover amounts from the initial or any option term(s).

In order to meet the JOC system's schedule to be in place by July 1, 1999, it is essential that a contract be awarded before the May 1999 meeting. Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends that the comptroller be delegated authority to execute the necessary documents to award a contract to the lowest responsible bidder based on price adjustment factors applied to pre-established unit prices to determine the value of work orders. Competitive bidding procedures will be followed in accordance with the Illinois Procurement Code.

Funding will be identified on a project-by-project basis and may include State appropriated, institutional, or restricted funds available in the campus operating budget during each fiscal year.

A report of the contract awarded will be made at a subsequent meeting of the board.

On motion of Mrs. Gravenhorst, this recommendation was approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

### **Delegation of Authority to Employ Engineer for Campus-Wide Central Chilled Water System Project, Urbana**

(29) The University has completed a study of the Urbana campus requirements for a central chilled water system. The result of the study is the proposed Campus-Wide Central Chilled Water System Project (Urbana Campus Chiller Project). It is a \$48,500,000 initiative to provide a comprehensive chilled water system that will include the installation of a new central water chilling plant and related utility extensions; the reconfiguring of an existing plant for compatibility; the installation of a chilled water distribution piping system to connect these two plants and to serve as the distribution "backbone" for the majority of the UIUC campus; the conversion of several existing building cooling systems for compatibility, and their connection to the new distribution system. The new system will be planned and sized to eventually serve over 150 existing State and auxiliary campus buildings. This comprehensive project will consist of many phases and will span a period of several years and will be funded from numerous sources including funds appropriated to the Capital Development Board on behalf of the University. On completion, this project will provide the University with an efficient and reliable chilled water system for central cooling.

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<sup>1</sup>An individual project cost will be determined by the quantities of work required to complete the project, multiplied by the unit prices published in the industry unit price book for the Champaign-Urbana area, multiplied by the applicable price adjustment factor (one of four that was competitively bid by the job order contractor). For example, assume that a project will be completed during normal working hours, requires no design services, and that the successful bidder has submitted a cost adjustment factor of 1.0500 for that work. The quantities of work and the appropriate unit prices work items required to complete the work under the job order are determined to be \$10,000. The project job order cost will then be \$10,500.

The president of the University, with the concurrence of the appropriate University officers, recommends the following:

1. The comptroller be authorized to enter into the appropriate agreement for the employment of Affiliated Engineers, Inc., Madison, Wisconsin, and approve the initial Phase I of this project for professional engineering and architectural services required for the University of Illinois Campus-Wide Central Chilled Water System Project.<sup>1</sup>
2. The comptroller requests that the Capital Development Board employ Affiliated Engineers, Inc., for any of the phases funded from State appropriations directed to the Capital Development Board on behalf of the University.
3. The comptroller be authorized to proceed with the engineering of subsequent phases of this project when sufficient funds are identified from the institutional funds budget of the respective campus unit, State appropriations, or future sales of Certificates of Participation created for such phase(s).

Phase I of this project provides a chilled water and compressed air system extension to the Police Training Institute, and to the Gregory and Peabody Drive Residence Halls from Armory Avenue in addition to cooling system modifications in four buildings—the Illini Union, Student Services, Gregory Drive Food Service Building, and Peabody Drive Food Service Building. The firm's fee for this phase based on a project cost of \$3.1 million will include: engineering services through the commissioning phase of the project and contract administration services, a fixed fee of \$193,940; construction and warranty phase services, on an hourly basis, estimated to be \$72,600; and reimbursable expenses estimated to be \$57,900.

Funds are available initially from Institutional Fund Reserves with reimbursement anticipated from the proceeds of the sale of the Certificates of Participation.

On motion of Mrs. Gravenhorst, these recommendations were approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

### **Employment of Architect/Engineer for Remodeling Alumni Hall North, Chicago (Capital Development Board Project)**

(30) On November 19, 1998, the board approved a request to the Capital Development Board to employ the firm of Globetrotters Engineering Corporation, Chicago, for a \$1,837,000 project for space planning and HVAC upgrade in Alumni Hall North at the Chicago campus.

Concurrent with the development of this project, a classroom remodeling project on the second floor as well as an ADA elevator/stair project for the entire building must also be completed. It is recommended that these projects be combined and that the firm of Globetrotters Engineering Corporation develop a master building and systems plan. The master plan will address the location for a new ADA elevator, chiller, cooling tower(s), chilled water riser shaft, mechanical room for each floor, and verification of building code deficiencies. The project will also include, as appropriate, the installation of new chiller(s), cooling tower, pumps, risers, required electric services, and air handling equipment and ductwork; the remodeling of approximately 1,200 gsf for the purpose of creat-

<sup>1</sup>An interview committee consisting of K. Buric (Planning and Budgeting), C. Carey (Capital Programs), T. Ruprecht (Facilities Services), and J. Black, K. Erickson, K. Ille, and K. Reifsteck (Operation and Maintenance) interviewed the following firms: Affiliated Engineers, Inc., Madison, Wisconsin; CUH2A, Inc., Chicago; Henneman Raufeisen & Associates, Inc., Champaign; Sebesta Blomberg & Associates, Inc., Champaign; and Stanley Consultants, Inc., Chicago. The committee recommends the employment of Affiliated Engineers, Inc., as best meeting the criteria for the project.



ing state-of-the-art classrooms on the second floor; the installation of a new ADA elevator/stair; and the preparation of design and construction documents for the remodeling of the third floor.

Accordingly, it is recommended that the Capital Development Board be requested to employ the firm of Globetrotters Engineering Corporation, Chicago, for the combined project, which is estimated to be \$2,928,300. The professional fees for the firm will be negotiated by the Capital Development Board in accordance with its procedures.

Funds for the combined project have been appropriated to the Capital Development Board for Fiscal Year 1998 and FY 1999.

On motion of Mrs. Gravenhorst, this recommendation was approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

### **Employment of Landscape Architect, Urbana**

(31) During the course of a fiscal year, there are a number of landscape and site development projects on the Urbana campus. The University has determined that it is in its best interest to retain the services of a landscape architecture firm to be available to assist on these projects.

Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends the employment of JJR Incorporated, Chicago, for the professional landscape architecture services required during the fiscal year ending June 30, 2000, at the Urbana campus. The selection of the firm for this project was in accordance with the requirements and provisions of Public Act 87-673 (Architectural, Engineering, and Land Surveying Qualifications-Based Selection Act).<sup>1</sup> Professional services will be provided on an as-needed basis. No individual project will exceed \$500,000. The University will have the option of extending the contract for an additional one-year period, subject to approval by the comptroller.

The firm's hourly rate schedule is on file with the secretary of the board for record.

Funding will be identified on a project-by-project basis and may include State appropriated, institutional, or restricted funds available in the campus operating budget.

On motion of Mrs. Gravenhorst, this recommendation was approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

### **Employment of Architects/Engineers for Capital Development Board Projects, Urbana**

(32) The president of the University, with the concurrence of the appropriate University officers, recommends that the Board of Trustees request the Capital Development Board (CDB) to employ the architects/engineers as indicated below for capital projects for Fiscal Year 1999 at the Urbana campus. The professional fees for the firms employed will be negotiated by the CDB in accordance with its procedure.

<sup>1</sup>A selection committee consisting of K. Duff, D. Border, and J. Schrader (Project Planning and Facility Management) and G. Biehl (Operation and Maintenance) considered the following firms: JJR Incorporated, Chicago; Hitchcock Design Group, Naperville; Carol JH Yetken Landscape, Oak Park (FBE); Wolff Clements & Associates, Ltd., Chicago; Site Design Group, Chicago (MBE); and Clark Engineers MW, Inc., Peoria. The committee recommends the employment of JJR Incorporated as best meeting the criteria.

<i>Project</i>	<i>Project Budget</i>	<i>Professional Firm Recommended</i>
Turner Hall Duct Cleaning	\$300,000	Sebesta Blomberg & Associates, Inc., Champaign
Undergraduate Library HVAC Improvements and Air Intake and Masonry Repairs	\$650,000	Clark Dietz, Inc., Champaign
Mechanical Engineering Building and Animal Sciences Laboratory Switchgear Replacement	\$600,000	Clark Dietz, Inc., Champaign

Funds for the employment of the professional firm have been appropriated to the Capital Development Board for FY 1999.

The selection of the architects/engineers for these projects were in accordance with the requirements and provisions of Public Act 87-673 (Architectural, Engineering, and Land Surveying Qualifications-Based Selection Act).<sup>1</sup>

On motion of Mrs. Gravenhorst, this recommendation was approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

### **Employment of Architect/Engineer for the Electrical and Computer Engineering Building, Urbana**

(33) The College of Engineering at the Urbana campus proposes to build a 175,000 gross square foot laboratory for electrical and computer engineering instruction and research.

The new facility will be centrally located near other major engineering facilities south of the Beckman Institute. This building will house a major portion of the Department of Electrical and Computer Engineering (ECE) that will be relocated from several facilities on the north campus and also includes the planned Phase II expansion space for the Microelectronics Laboratory. The new ECE Building will host a cross-departmental program integrating visual collaborative science, engineering, and educational programs

<sup>1</sup>*Turner Hall Duct Cleaning*: A selection committee consisting of K. Reifsteck, J. Prince, P. Messman, and D. Hudspeth (Operation and Maintenance) evaluated the following firms: Sebesta Blomberg & Associates, Inc., Champaign; and Melvin Cohen & Associates, Chicago. The committee recommends the firm of Sebesta Blomberg & Associates, Inc., as best meeting the criteria for the project.

*Undergraduate Library HVAC Improvements and Air Intake and Masonry Repairs*: A selection committee consisting of K. Reifsteck, J. Prince, and P. Messman (Operation and Maintenance) evaluated the following firms: Clark Dietz, Inc., Champaign; BWC Architects & Engineers, Decatur; GHR & Associates, Champaign; Anderson & Oh, Inc., Chicago; BBA Engineering, Bloomington; Clark Engineers, Peoria; Environmental Systems Design, Chicago; GKC/EME, Chicago; KJWW Engineering Consultants, Rock Island; KM2 Design Group, Springfield; Knight Architects, Engineers, Planners, Chicago; Melvin Cohen & Associates, Chicago; Sebesta Blomberg & Associates, Inc., Champaign; STV Incorporated, Chicago; and Woolpert, LLP, Belleville. The committee recommends the firm of Clark Dietz, Inc., as best meeting the criteria for the project.

*Mechanical Engineering Building and Animal Sciences Laboratory Switchgear Replacement*: A selection committee consisting of K. Erickson, B. Bowen, R. Fritz, V. Tutich, and M. Feigl (Operation and Maintenance) evaluated the following firms for the project: BBA Engineering, Bloomington; BWC Architects & Engineers, Decatur; Clark Dietz, Inc., Champaign; Clark Engineers, Peoria; Cochran & Wilken, Inc., Springfield; Hanson Engineers, Springfield; KJWW Engineering Consultants, Rock Island; KM2 Design Group, Springfield; Knight Architects, Engineers, Planners, Chicago; and Woolpert, LLP, Belleville. The committee recommends the firm of Clark Dietz, Inc., as best meeting the criteria for the project.

in the College of Engineering, the Beckman Institute, the College of Liberal Arts and Sciences, NCSA, and the College of Education. The cornerstone of this program will be a suite of visual classrooms, including two workstation laboratory theaters and a virtual reality theater. Faculty in the Department of Electrical and Computer Engineering are pursuing new research opportunities in the man-machine interface including speech recognition, 3D imaging, and virtual environments. Together with faculty in the life sciences, electrical and computer engineering faculty are conducting research on futuristic biological microelectronic sensors and biochips. Electrical and computer engineering faculty are applying their knowledge of lasers, optoelectronics, and image processing to acquire and process data on the atmosphere in order to develop global models.

The scope of services required of the architect/engineer at this time will be to develop sufficient materials to assist the U of I Foundation and College of Engineering in fund-raising efforts. Those services will include program analysis, preliminary site and floor plans, exterior building elevations, rendering, and a cost estimate. It is anticipated that the selected firm will continue to provide the professional services for the project subject to the availability of funds.

In order for the project to proceed, it is necessary to employ an architect/engineer for the required professional services. The selection of the architect/engineer for this project was in accordance with the requirements and provisions of Public Act 87-673 (Architectural, Engineering, and Land Surveying Qualifications-Based Selection Act).<sup>1</sup>

Accordingly, the president of the University, with the concurrence of the appropriate University officers, recommends that Loeb, Schlossman & Hackl, Chicago, be employed for the professional services required. The firm's fee through the concept development phase will be a fixed fee of \$90,000 plus \$10,000 for authorized reimbursable expenses.

Funds are available from the institutional funds budget of the Urbana campus College of Engineering.

On motion of Mrs. Gravenhorst, this recommendation was approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

### **Lease of Space for Center for Prevention Research and Development, Urbana**

(34) The president of the University, with the concurrence of the appropriate University officers, recommends the approval of a lease agreement with BankIllinois, Trustee Under Trust #030-361-000, for approximately 10,188 square feet of space located at 510 Devonshire Drive, Champaign. The space will provide offices for the Center for Prevention Research and Development (CPRD), a unit of the Institute of Government and Public Affairs.

The mission of CPRD is to improve the lives of children and families, especially those in disadvantaged environments, by partnering with local communities, state and federal agencies, and foundations to help solve emerging educational, social, and economic challenges that face our nation. CPRD staff conducts research in the areas of pre-

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<sup>1</sup>An interview committee consisting of R. Ervin, C. Gardner, A. Graziano, and T. Trick (College of Engineering), R. Kehe (Project Planning and Facility Management), H. Coleman (Operation and Maintenance), and C. Carey (University Office for Capital Programs) interviewed the following firms: Loeb, Schlossman & Hackl, Chicago; Severns Reid & Associates, Inc., Champaign; Skidmore, Owings & Merrill LLP, Chicago; A. Epstein & Sons, Chicago; and VOA Associates, Chicago. The committee recommends the employment of Loeb, Schlossman & Hackl as best meeting the criteria.

vention (arresting problems before they develop), human service reform, and school improvement, and applies the results through their many public service programs.

The proposed lease will commence on June 1, 1999. The initial lease term will be five years. The annual base rent is \$112,068 (\$11.00 per sq. ft.) and will remain fixed for the length of the initial lease term. The landlord will provide, at its sole cost and expense, tenant improvements to meet CPRD space plans, including new carpeting. Furthermore, the University will have the sole right and option to renew the lease for five additional years at a fixed annual base rent of \$122,256 (\$12.00 per sq. ft.), subject to the right of the University to terminate the lease annually.

The center is presently housed in inadequate and insufficient space in several buildings located in the east central campus that are scheduled for demolition in the near future. There is no available space elsewhere on campus to meet the unit's expanding program. The proposed lease is in compliance with the provisions of the Illinois Procurement Code. The rental costs are competitive with the cost of comparable rental space in the community.

Funds are available in the Fiscal Year 1999 institutional funds operating budget of the CPRD. Funds for future fiscal years of the lease agreement will be included in the CPRD institutional funds operating budget authorization request to be submitted to the Board of Trustees. The lease agreement is subject to Board of Trustees approval of the operating budget.

The vice president for business and finance concurs.  
I recommend approval.

On motion of Mrs. Gravenhorst, this recommendation was approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

**Purchases**

(35) The president submitted, with his concurrence, a list of purchases recommended by the directors of purchases and the vice president for business and finance.

The purchases were presented in two categories: purchases from appropriated funds (i.e., from State appropriations to the University); and purchases from institutional funds. The latter term designates funds received by the University under contracts with the United States government, private corporations, and other organizations; grants from foundations, corporations, and other donors; and University revolving funds authorized by law.

The total amounts of these purchases were:

<i>From Appropriated Funds</i>	
Recommended .....	\$ 855,751
<i>From Institutional Funds</i>	
Recommended .....	<u>9,475,005</u>
<i>Grand Total</i> .....	\$10,330,756

A complete list of the purchases, with supporting information (including the quotations received), was sent to each member of the board in advance of the meeting. A copy is being filed with the secretary of the board for record.

Mr. Lamont asked for someone to call him after the meeting to give him more information about purchase item no. 1; however, he said he would vote to approve it at this time.

On motion of Mrs. Gravenhorst, the purchases recommended were authorized by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

#### **Authorization for Settlement**

(36) The university counsel recommends that the board approve settlement of *Shackelton v. Rodenburg and Jones* in the amount of \$500,000. The plaintiff, William Shackelton, alleges that our defendants improperly managed the intubation of his mother, Caroline Shackelton, in the recovery room following elective surgery, resulting in her death.

The vice president for business and finance concurs.

I recommend approval.

On motion of Mrs. Gravenhorst, this recommendation was approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

#### **Authorization for Settlement**

(37) The university counsel recommends that the board approve settlement of *Sevilla v. Duval and Daughton* in the amount of \$250,000. The plaintiff alleges that our defendants failed to exercise due care and caution in the delivery of Daniella Sevilla, resulting in severe and permanent injuries that caused or contributed to her death.

The vice president for business and finance concurs.

I recommend approval.

On motion of Mrs. Gravenhorst, this recommendation was approved by the following vote: Aye, Mr. Engelbrecht, Mr. Gallo, Dr. Gindorf, Mrs. Gravenhorst, Mr. Lamont, Mr. Plummer, Ms. Reese, Dr. Schmidt, Mr. Shea; no, none; absent, Mrs. O'Malley, Governor Ryan.

(The student advisory vote was: Aye, Mr. Clark; absent, Mr. Doyle.)

#### **Report of Contract Award for Parking Lot Development West of Memorial Stadium, Urbana**

(38) The proposed \$688,000 project consists of construction of a parking lot (approximately 280 vehicle spaces) including removal of existing pavement, base and pavement design lighting, and landscape.

On January 21, 1999, the board delegated authority to the comptroller to award contracts to the lowest responsible bidder for each division provided the total of the bids received did not exceed \$620,000.

On February 24, 1999, bids were received and the following contracts were awarded. Competitive bidding procedures in accordance with the Illinois Procurement Code were followed; and the award in each case is to the lowest responsible bidder on the basis of its base bid plus acceptance of the indicated alternates.<sup>1</sup>

<sup>1</sup>Description of Alternates: Alt. G1—Assignment Fee; Alt. G3—Removal and replacement of existing service drive with new Portland Cement Concrete paving; Alt. G4—Construction of a pipe underdrain system and the installation of drainage markers within the band practice area; Alt. E1—Modifications and relocations of the electrical pedestals within the band practice area.

*Division I—General*

Stark Excavating, Bloomington	Base Bid	\$402,000	
	Alt. G1	1,590	
	Alt. G3	24,000	
	Alt. G4	<u>22,000</u>	
			\$449,590

*Division V—Electrical*

Champaign Signal & Lighting, Champaign	Base Bid	44,750	
	Alt. E1	<u>8,255</u>	
			<u>53,005</u>
<i>Total</i>			\$502,595

Funds are available from Campus Parking and from the Division of Intercollegiate Athletics.

A schedule of the bids received has been filed with the secretary of the board for record.

This report was received and confirmed.

### SECRETARY'S REPORT

The secretary presented for record appointments to the faculty and changes of status made by the president, resignations, and terminations. A copy of the report is filed with the secretary.

### ANNOUNCEMENTS FROM THE CHAIR OF THE BOARD

Chair Gindorf called attention to the schedule of meetings for the next few months: May 25-26, Urbana; July 7-8, Urbana; September 1-2, Chicago. There are no meetings scheduled for June and August.

There being no further business, the board adjourned.

MICHELE M. THOMPSON  
*Secretary*

JEFFREY GINDORF  
*Chair*

**APPENDIX**

(Deleted material is lined-through; new material is underscored.)

**UNIVERSITY OF ILLINOIS**

**STATUTES**

**UPDATE: APRIL 1999**





University of Illinois

**NONDISCRIMINATION STATEMENT**

Revised: February 11, 1993

The commitment of the University of Illinois to the most fundamental principles of academic freedom, equality of opportunity, and human dignity requires that decisions involving students and employees be based on individual merit and be free from invidious discrimination in all its forms.

It is the policy of the University of Illinois not to engage in discrimination or harassment against any person because of race, color, religion, sex, national origin, ancestry, age, marital status, disability, sexual orientation, unfavorable discharge from the military, or status as a disabled veteran or a veteran of the Vietnam era and to comply with all federal and state nondiscrimination, equal opportunity and affirmative action laws, orders, and regulations. This nondiscrimination policy applies to admissions, employment, access to and treatment in ~~the~~ University programs and activities. Complaints of invidious discrimination prohibited by University policy are to be resolved within existing University procedures.

## UNIVERSITY OF ILLINOIS STATUTES

The regulations of the Board of Trustees for the guidance of the staff of the University were called Bylaws until 1901, when the term Statutes was applied. In 1908, the board adopted a revision of the *Statutes* which was much more comprehensive than anything that preceded it; from 1908 to 1931, separate articles were amended; and new articles were added, but no general consideration was given to the regulations as a whole. During the period of 1931 to 1934, the board adopted certain statutes relating particularly to the educational and administrative organization of the University. In 1935, the Board of Trustees appointed a committee of its members to consider the *Statutes*, including various administrative regulations which had been adopted from time to time, as a whole; and to present a revised code. This compilation was approved by the Board of Trustees on March 10, 1936, as the *University of Illinois Statutes*, and all previous editions were declared to be superseded.

On January 16, 1957, the Board of Trustees adopted a revised version of the *Statutes*, upon recommendation of the University Senate and the president of the University. Preliminary to this formal action, a special committee of the Board of Trustees worked with a committee of the University Senate in preparing the final draft.

Preliminary drafts of the present *Statutes* were prepared cooperatively by committees of the three senates and administrative officers. The final draft, ~~was~~ adopted on May 17, 1972, by the Board of Trustees upon recommendation of the senates, the University Senates Conference, and the president of the University.

These University *Statutes* were adopted to take effect May 17, 1972, and superseded all previous versions and editions of all corresponding *Statutes*. This edition contains all amendments approved since May 17, 1972.

It should be noted, as stated in the Preamble, that these University *Statutes* are supplemented by ~~*The General Rules Concerning University Organization and Procedure*~~.

## Table of Contents

<b>PREAMBLE</b> .....	<b>1</b>
<b>ARTICLE I. UNIVERSITY ADMINISTRATION</b> .....	<b>1</b>
Section 1. Functions of the Board of Trustees .....	1
Section 2. The President of the University .....	1
Section 3. The <del>General University</del> Officers of the University .....	2
Section 4. Other University Administrative Officers .....	2
Section 5. Campus Chancellors .....	2
Section 6. The General Rules Concerning University Organization and Procedure .....	2
<b>ARTICLE II. LEGISLATIVE ORGANIZATION</b> .....	<b>3</b>
Section 1. Campus Senates .....	3
Section 2. University Senates Conference .....	3
Section 3. Faculty Role in Governance .....	4
Section 4. Faculty Advisory Committee .....	5
Section 5. Professional Advisory Committee .....	6
<b>ARTICLE III. CAMPUSES, COLLEGES, AND SIMILAR CAMPUS UNITS</b> .....	<b>6</b>
Section 1. The Campus .....	6
Section 2. The College .....	7
Section 3. The Dean .....	7
Section 4. The School and Similar Campus Units .....	8
Section 5. The Dean or Director of a School or Similar Campus Unit .....	9
<b>ARTICLE IV. DEPARTMENTS</b> .....	<b>10</b>
Section 1. The Department .....	10
Section 2. Department Organized with a Chair .....	10
Section 3. Department Organized with a Head .....	11
Section 4. Change of Departmental Organization .....	12
<b>ARTICLE V. GRADUATE COLLEGES</b> .....	<b>12</b>
Section 1. The Campus Graduate College .....	12
Section 2. Campus Research Board of the Graduate College .....	13
Section 3. Special Units of the Graduate College .....	13
<b>ARTICLE VI. THE CAMPUS LIBRARY</b> .....	<b>13</b>
<b>ARTICLE VII. SPECIALIZED UNITS</b> .....	<b>14</b>
Section 1. General Considerations .....	14
Section 2. University Press .....	14
Section 3. Councils on Teacher Education .....	14
Section 4. Agricultural Experiment Station .....	15
Section 5. Cooperative Extension Service in Agriculture and Home Economics .....	15
<b>ARTICLE VIII. CHANGES IN ACADEMIC ORGANIZATION</b> .....	<b>15</b>
Section 1. Formation of New Units .....	15
Section 2. Changes in Existing Units .....	16
Section 3. Academic Units Not Requiring Board of Trustees Approval .....	16
<b>ARTICLE IX. ACADEMIC AND ADMINISTRATIVE STAFFS</b> .....	<b>16</b>
Section 1. Criteria for Employment and Promotion .....	16
Section 2. Employment of Relatives .....	17
Section 3. Appointments, Ranks, and Promotions of the Academic and Administrative Staff .....	17
Section 4. Principles Governing Employment of Academic and Administrative Staffs .....	18
Section 5. Services Rendered The University .....	18

Section 6.	Leaves of Absence for Members of the Faculty . . . . .	19
Section 7.	Graduate Work of Academic Staff Members . . . . .	20
Section 8.	Privileges of Retired Members of the Academic Staff . . . . .	20
Section 9.	Dismissal of Administrative Officers . . . . .	21
Section 10.	Nonreappointment of Academic Professional Staff . . . . .	21
<b>ARTICLE X.</b>	<b>ACADEMIC FREEDOM AND TENURE. . . . .</b>	<b>22</b>
Section 1.	Tenure of Academic Staff . . . . .	22
Section 2.	Academic Freedom . . . . .	26
<b>ARTICLE XI.</b>	<b>STUDENT AFFAIRS AND DISCIPLINE . . . . .</b>	<b>27</b>
Section 1.	Student Affairs . . . . .	27
Section 2.	Student Discipline . . . . .	27
<b>ARTICLE XII.</b>	<b>RESEARCH AND PUBLICATION . . . . .</b>	<b>28</b>
Section 1.	Sponsored Research, Gifts, and Grants . . . . .	28
Section 2.	Patents on Inventions . . . . .	28
Section 3.	Scientific and Scholarly Publications and Creative Work . . . . .	28
Section 4.	Rules about Research, Patents, and Publications. . . . .	28
<b>ARTICLE XIII.</b>	<b>GENERAL PROVISIONS . . . . .</b>	<b>29</b>
Section 1.	Exchange Professors . . . . .	29
Section 2.	Privileges for Scholars from Other Universities. . . . .	29
Section 3.	Annual Reports . . . . .	29
Section 4.	Reports and Communications. . . . .	29
Section 5.	Rules of Procedure. . . . .	30
Section 6.	Recommendations of Committees and Councils. . . . .	30
Section 7.	Reservation of Powers . . . . .	30
Section 8.	Amendments . . . . .	30

## PREAMBLE

The University of Illinois, ~~being as a~~ State university, is subject to the control of the Illinois General Assembly. The General Assembly, subject to the limitations of the ~~State~~ Constitution and to such self-imposed restraints as are essential to the maintenance of a free and distinguished University, exercises control by virtue of its authority to change the laws pertaining to the University and its power to appropriate funds for the maintenance and improvement of the University. Under existing ~~State~~ law the University of Illinois is a public corporation, the formal corporate name of which is "The Board of Trustees of the University of Illinois."

Within the limits fixed by the Illinois ~~C~~onstitution and laws, the Board of Trustees exercises final authority over the University. For the proper use of funds appropriated by the General Assembly and for the proper administration and government of the University, the board is responsible to the people of Illinois, ~~by whom its members are elected~~ on whose behalf its members are appointed. The board is the governing body of the University and exercises jurisdiction in all matters except those for which it has delegated authority to the president, other officers, or bodies of the University.

The educational policy, organization, and governance of the University as delegated by the Board of Trustees are promulgated in these ~~University~~ Statutes. When acting on such matters, the board relies upon the advice of the ~~U~~niversity senates transmitted to it by the president of the University. In these matters each senate has a legitimate concern which justifies its participation in the enactment and amendment of the ~~University~~ Statutes. The Board of Trustees reserves the power to initiate and make changes in the ~~Uni~~versity Statutes, but before making any change, it will seek the advice of the ~~University~~ senates.

*The General Rules Concerning University Organization and Procedure* supplement the ~~Uni~~versity Statutes. *The General Rules* are subordinate to the *Statutes* and deal with administrative organization, with the powers, duties, and responsibilities of officers of the University, and with various administrative matters.

The Board of Trustees delegates to the president of the University the authority to promulgate regulations and rules implementing *The General Rules Concerning University Organization and Procedure*. These are printed in the ~~Manual for Business and Finance~~ *Business and Financial Policies and Procedures* manual and other documents subordinate to the *Statutes* and *General Rules* setting forth established policies and procedures.

## ARTICLE I. UNIVERSITY ADMINISTRATION

### Section 1. Functions of the Board of Trustees

The Board of Trustees formulates ~~U~~niversity policies but leaves the execution of those policies to its administrative agents, acting under its general supervision. It is the responsibility of the board to secure the needed revenues for the University and to determine the ways in which ~~the U~~niversity funds shall be applied.

### Section 2. The President of the University

The president is the chief officer of the University and a member of the faculty of each college, school, institute, division, and academic unit therein. The president shall be elected by the Board of Trustees, and the president's term of office shall be at the pleasure of the board. The president shall attend the meetings of the board and participate in its deliberations; may act with freedom within the lines of general policy approved by the board; shall prepare the annual budgets for presentation to the board; and shall recommend to the board suitable persons for positions in the University, including appointments to appropriate administrative positions, other than academic, which are not provided for in the ~~University~~ Statutes. In case of exigencies, it is within the proper jurisdiction of the president to make appointments so that the work of the University shall not be interrupted, but such appointments shall be subject to confirmation by the board. The

president is responsible for the enforcement of the rules and regulations of the University; shall make such recommendations to the board and to the senates as the president may deem desirable for the proper conduct and development of the University; and shall issue diplomas conferring degrees, but only on the recommendation of the appropriate senate and by authority of the Board of Trustees. The president may designate the administrative officer(s) who shall exercise the functions of the president during the absence of the president from duty, which designation(s) shall be subject to change by the Board of Trustees.

### **Section 3. The General University Officers of the University**

The ~~general university officers of the University~~ are identified in *The General Rules Concerning University Organization and Procedure*. Prior to recommending to the Board of Trustees the initial appointment of any ~~general university officer~~; except for the president and the chancellors; the president shall seek the advice of the University Senates Conference. On the occasion of the reappointment of any ~~general university officer~~, the University Senates Conference may submit its advice if it so elects.

### **Section 4. Other University Administrative Officers**

There may be additional administrative officers with university-wide responsibilities and duties as delegated by the president of the University. The president may make changes in titles and assignment of responsibilities of officers and may recommend to the Board of Trustees additional administrative positions as provided for in Section 2 of this Article.

### **Section 5. Campus Chancellors**

There shall be a chancellor at each campus of the University. The chancellor, under the direction of the president, shall serve as the chief executive officer for the campus. The chancellor shall perform such duties as may be delegated and assigned by the president and as may be consistent with the *Statutes* of the University, ~~*The General Rules Concerning University Organization and Procedure*~~, and actions of the Board of Trustees.

The chancellor shall be appointed annually by the Board of Trustees on the recommendation of the president. On the occasion of the appointment of a new chancellor, the president shall have the advice of a committee selected by the senate of the campus concerned. On the occasion of a reappointment, the senate may submit its advice if it so elects.

### **Section 6. The General Rules Concerning University Organization and Procedure**

~~*The General Rules Concerning University Organization and Procedure*~~ supplement the ~~University~~ *Statutes*. ~~The General Rules~~ are subordinate to the *Statutes* and deal with administrative organization, with the powers, duties, and responsibilities of officers of the University, and with ~~University~~ employment policies, property, and other matters. ~~The General Rules~~ are adopted by the Board of Trustees acting on the advice of the president of the University. The board reserves the right to make changes in ~~The General Rules~~ after consultation with the president. Before providing such advice or consultation, the president shall consult with the University Senates Conference, with due regard for the provisions of Article XII, Section 4. However, consultation with the conference is not required when; because of exceptional circumstances; a proposed action of the Board of Trustees would authorize a deviation from ~~The General Rules~~ for a specific transaction.

## ARTICLE II. LEGISLATIVE ORGANIZATION

### Section 1. Campus Senates

a. A senate shall be constituted at each campus of the University. The basic structure of a senate, including its composition, shall be provided for in its constitution. The constitution and any amendments thereto shall take effect upon adoption by the senate concerned and approval thereof by the Board of Trustees.

b. Each senate may exercise legislative functions in matters of educational policy affecting the University as a whole or its own campus only. No such senate action shall take effect until it has been submitted to the University Senates Conference as provided in Article II, Section 2, and either approved by the Board of Trustees itself or approved in a manner agreed to by the board.

c. Except as otherwise provided in these *Statutes*, each senate shall determine for its campus matters of educational policy including but not limited to: requirements for admission to the several colleges, schools and other teaching divisions; general requirements for degrees and certificates; relations ~~between~~ among colleges, schools and other teaching divisions; the academic calendar; and educational policy on student affairs. Neither the powers conferred on the senates by this paragraph (c) nor the powers conferred by Article II, Section 1b; shall extend to matters over which the college is given jurisdiction by Article III, Section 2c.

d. Each senate shall recommend candidates for honorary degrees and shall determine for its campus the manner in which the faculty shall recommend candidates for earned degrees, diplomas, and certificates; to be conferred by the president under the authority of the Board of Trustees.

e. No new line of work involving questions of general educational policy shall be established on any campus except upon approval of the senate concerned and except as elsewhere provided in these *Statutes*.

f. Each senate may propose amendments to these *Statutes* through the University Senates Conference to the president and the Board of Trustees as provided in Article XIII, Section 8.

g. Each senate shall adopt bylaws which shall govern; except as otherwise provided in these *Statutes*; its procedures and practices, including such matters as committee structure and duties, calling of meetings and establishment of agenda, and selection of officers. The bylaws of each senate shall provide for committees or other bodies to exercise those statutory duties specified in other sections of these *Statutes*, e.g., academic freedom and tenure, student discipline, and student affairs. The bylaws; and any changes thereto; shall be reported to the Board of Trustees through the chancellor and the president.

### Section 2. University Senates Conference

a. *Organization.* (1) The University Senates Conference shall be made up of twenty members. The basic representation shall be two members from each senate. Additional members shall be apportioned to each senate, at least one from each senate, in numbers proportional to the number of faculty members on each campus. The apportionment shall be recalculated every five years. Each senate shall elect its own representatives from its membership.

(2) Senators whose senatorial terms expire before their conference terms expire shall complete their conference terms. Any faculty senator or faculty senator-elect shall be eligible for election to the conference; except that no member shall serve more than two full terms consecutively. The term of office shall be three years; beginning on the September 1 following election. Approximately one-third of the conference members from each senate shall be elected annually.

(3) A quorum for conference meetings shall consist of a simple majority of the total membership of the conference. If a quorum cannot be obtained otherwise, the conference members from a senate may designate as many as two alternates from the faculty members of their own senate to serve at a specific meeting.

(4) The conference officers shall be a chair and a secretary, who shall be elected for one year terms by and from the conference and shall not be from the same senate. The chair shall not be from the same senate in two consecutive years.

(5) The executive committee of the conference shall consist of two members from each senate: the conference chair, the conference secretary, and four additional members elected annually by and from the conference. The conference may authorize the executive committee to act on behalf of the conference between scheduled meetings.

b. *Functions.* The University Senates Conference shall review all matters acted upon by each senate. The conference shall determine whether senate actions requiring implementation or further consideration by officials or other groups within the University have been referred to the appropriate officials or groups. The conference itself may make any original or additional referral it deems advisable, and may append its comments and recommendations. Should the conference find a matter acted upon by one of the senates to be of concern to ~~one or more of the other~~ another senates, it shall refer the matter and the action to ~~the other that senate(s)~~. If two or more senates have acted differently on a subject, the conference shall attempt to promote agreement or consistency. Where agreement or consistency cannot be effected within a reasonable period of time, the conference shall transmit the related actions of the senates, together with its own recommendations; to the appropriate officials or groups within the University; and shall simultaneously notify the secretary of each senate of its action. Any senate may record and transmit its further comments to the same addressees and to the conference.

The University Senates Conference shall assist the senates to communicate with one another, with university and campus administrative officials, and with the Board of Trustees (through the president),<sup>2</sup> and may develop and implement procedures to enhance such communication.

c. The conference may act and may authorize its executive committee to act as an advisory group to the Board of Trustees (through the president), the president, other administrative officials, and the several senates on matters of university-wide concern. It shall be a special concern of the conference executive committee to aid in maintaining harmonious relations among such officers and the units of the University.

### **Section 3. Faculty Role in Governance**

a. (1) The faculty of the University and any of its units except for the Graduate College consists of those members of the academic staff with the rank or title in that unit of professor, associate professor, or assistant professor, ~~or instructor~~ who are tenured or receiving probationary credit toward tenure, and those administrators in the direct line of responsibility for academic affairs (persons who hold the title director or dean in an academic unit, ~~vice chancellor for academic affairs~~ provost, chancellor and president). Administrative staff not in the direct line of responsibility for academic affairs are members of the faculty only if they also hold faculty appointments. The bylaws of any academic unit may further mandate a minimum percent faculty appointment in that unit for specified faculty privileges, such as voting privileges.

(2) The bylaws of a unit may grant specified faculty privileges to selected faculty of other units. The bylaws may also grant specified faculty privileges to members of the academic staff of the unit or of other units who are not included in subsection 1 above (i.e., neither tenured nor receiving probationary credit toward tenure), and who have the rank or title of professor, associate professor, assistant professor, instructor, or lecturer. The bylaws may also grant specified faculty privileges to members of the academic staff of the unit or of other units who have the rank or title of professor, associate professor, assistant professor, instructor, or lecturer modified by the terms "research," "adjunct," "clinical," "visiting" and/or "emeritus" (e.g., "research professor," "adjunct assistant professor," "clinical associate professor," "visiting professor"). Only academic staff with titles listed above may be extended faculty privileges. Voting on these provisions of the bylaws is limited to those named in subsection (1) above.



b. As the responsible body in the teaching, research, and scholarly activities of the University, the faculty has inherent interests and rights in academic policy and governance. Each college or other academic unit shall be governed in its internal administration by its faculty, as defined in subsection a above. Governance of each academic unit shall be based on unit bylaws established and amended by the faculty of that unit. The bylaws shall provide for the administrative organization and procedure of the unit, including the composition and tenure of executive or advisory committees. Except that they may not conflict with these *Statutes*, or other specific actions of the Board of Trustees, or with the bylaws of a unit which encompasses it, the details of the bylaws are left to the faculty of the unit.

#### **Section 4. Faculty Advisory Committee**

~~Faculty advice and recommendations on University governance are traditionally provided to the administration through standing and ad hoc committees and representation in the senate. In addition, at each campus the faculty shall elect a Faculty Advisory Committee of nine members from the faculty, three of whom shall be elected each year for three year terms commencing on the first day of the academic year after their election.~~

Faculty advice and recommendations on University governance are traditionally provided to the administration through standing and ad hoc committees and representation in the senate. In addition, at each campus the faculty shall elect a Faculty Advisory Committee. The committee shall consist of nine faculty members on the Chicago and Urbana-Champaign campuses, three of whom shall be elected each year. The committee shall consist of seven faculty members on the Springfield campus, two of whom shall be elected each year and the seventh every third year. The three year terms will commence on the first day of the academic year following the election.

Any member of the Faculty Advisory Committee electorate shall be eligible for membership on the committee except those who hold an administrative appointment. Any eligible person may be nominated as a committee member by a petition signed by three members of the electorate and filed with the clerk or secretary of the senate. The deadline for filing shall be set by each campus senate. The clerk or secretary of the senate shall conduct the election by University mail as soon as possible thereafter. The three eligible nominees receiving the highest number of votes shall be declared elected. If vacancies arise between regular elections, the eligible nominee with the next highest number of votes at the most recent election shall be declared a member of the committee.

No more than two members of the committee may hold paid appointments in the same college or in the same unit organized independently of a college.

The committee shall elect its own chair at its first meeting of each academic year. The committee shall adopt its rules of procedure, copies whereof shall be sent to all members of the academic staff (as defined in Article IX, Section 4a, and Article IX, Section 3c) and to the chancellor and the president. The committee shall make such reports to the chancellor, the president, the senate, and the faculty as it deems appropriate at least once a year.

The committees shall provide for the orderly voicing of suggestions for the good of the University, afford added recourse for the consideration of grievances, and furnish a channel for direct and concerted communication between the academic staff (as defined in Article IX, Section 4a, and Article IX, Section 3c) and the administrative officers of the University, its colleges, schools, institutes, divisions, and other administrative units on matters of interest or concern to the academic staff (as defined in Article IX, Section 4a, and Article IX, Section 3c) or any member of it. Academic staff members who are members of the Professional Advisory Committee electorate shall use the procedures outlined in Section 5 of Article II.

In performing its functions, the committee; upon the request of the chancellor, the president, or any member of the academic staff (as defined in Article IX, Section 4a; and Article IX, Section 3c), or upon its own initiative; shall make such investigations and hold such consultations as it may deem to be in the best interest of the University. A member

of the academic staff (as defined in Article IX, Section 4a, and Article IX, Section 3c) or a retired member shall be entitled to a conference with the committee or with any member of it on any matter properly within the purview of the committee.

## **Section 5. Professional Advisory Committee**

At each campus, the academic professional staff whose appointments as academic professionals require at least 50 percent (50%) of full-time service shall elect a Professional Advisory Committee. The academic professional staff consists of those staff members on academic appointment whose positions have been designated by the president and the chancellor as meeting specialized administrative, professional, or technical needs in accordance with Article IX, Sections 3a, 3c, and 4a.

Any member of the Professional Advisory Committee electorate shall be eligible for membership on the committee. ~~Central-University-level~~ administration staff shall be members of the electorate of the campus at which their principal office is located. Each chancellor (or the president in the case of ~~central~~ university-level administration staff); after consultation with the Professional Advisory Committee; may identify senior administrative officers to be excluded from the electorate.

Committee bylaws and articles of procedure covering such matters as nomination and election of members and officers, size of the committee, and terms of office shall be developed at each campus and; after approval by the chancellor; made available to the members of the electorate.

The committee shall provide for the orderly voicing of suggestions for the good of the University, afford added recourse for the consideration of grievances, and furnish a channel for direct and concerted communication between the academic professional staff and the administrative officers of the University, its colleges, schools, institutes, divisions, and other administrative units on matters of interest or concern to the academic professional staff or any member of it. The committee shall report to the chancellor, the president, and the academic professional staff at least once a year.

In performing its functions, the committee; upon the request of the chancellor, the president, or any member of the academic professional staff, or upon its own initiative; shall make such investigations and hold such consultations as it may deem to be in the best interest of the University. Any member or retired member of the academic professional staff shall be entitled to a conference with the committee or with any member of it on any matter properly within the purview of the committee.

## **ARTICLE III. CAMPUSES, COLLEGES, AND SIMILAR CAMPUS UNITS**

### **Section 1. The Campus**

a. The campus is the largest educational and administrative group. It is composed of colleges, schools, institutes, and other educational units in conjunction with administrative and service organizations.

b. The legislative body for the campus shall be the campus senate, as provided in Article II, Section 1.

c. The transfer of any line of work; or any part thereof; from one campus to another shall be made on the recommendation of the senates and chancellors of the campuses involved, the University Senates Conference and the president; upon approval by the Board of Trustees.

d. The chancellor, under the direction of the president, shall be the chief executive officer of the campus, as provided in Article I, Section 4 5.

e. There shall be a provost and vice chancellor for academic affairs or equivalent officer at each campus who shall be the chief academic officer; under the chancellor; for the campus and will serve as chief executive officer in the absence of the chancellor.

f. There may be additional vice chancellors with campus-wide responsibilities and other administrative officers with responsibilities and duties as delegated by the chancellor.

g. Vice chancellors shall be appointed annually by the Board of Trustees on the recommendation of the chancellor and the president. The chancellor shall on the occasion of each appointment seek the advice of the executive committee of the campus senate. The executive committee may seek the counsel of other campus bodies in preparing its advice.

## **Section 2. The College**

a. The college is an educational and administrative group comprised of departments and other units with common educational interests.

b. The faculty of a college shall be constituted as specified in Article II, Section 3a ~~of these Statutes. As specified in Article II, Section 3b;~~ †The college shall be governed in its internal administration by its faculty under bylaws established by the faculty. ~~As specified in Article II, Section 3b.~~

c. Subject to the jurisdiction of the senates as provided in Article II, Section 1, the college shall have jurisdiction in all educational matters falling within the scope of its programs, including the determination of its curricula, except that proposals which involve budgetary changes shall become effective only when the chancellor has approved them. The college has the fullest measure of autonomy consistent with the maintenance of general ~~†~~university educational policy and correct academic and administrative relations with other divisions of the University. In questions of doubt concerning the proper limits of this autonomy between the college and the senate, the college shall be entitled to appeal to the chancellor for a ruling.

d. The transfer of any line of work, or any part thereof; to or from a college, ~~from~~ or to or from some other educational or administrative group within a campus; shall be made on the recommendation of the appropriate senate and chancellor and on approval of the president.

e. The faculty shall elect its secretary and committees.

f. An executive committee of two or more members elected annually by and from the faculty of the college by secret written ballot shall be the primary advisory committee to the dean of the college. It shall advise the dean on the formulation and execution of college policies and; unless otherwise provided by the faculty of the college; on appointments, reappointments, nonreappointments, and promotions and shall transact such business as may be delegated to it by the faculty. The faculty may determine the size of its executive committee and may choose to elect its members for two- or three-year staggered terms. Not more than one-half of the membership of the executive committee shall be from one department or comparable teaching unit of the college. The dean is ex officio a member and chair of the committee. While the executive committee is in session to prepare its advice on appointment of the dean or to review the dean's performance, the dean shall not be a member; and the committee shall be chaired by a committee member elected by the committee for that purpose.

## **Section 3. The Dean**

a. The dean is the chief executive officer of the college, responsible to the chancellor for its administration, and is the agent of the college faculty for the execution of college educational policy.

b. The dean shall be appointed annually by the Board of Trustees; on recommendation by the chancellor and the president. On the occasion of each recommendation, the chancellor shall ~~obtain~~ seek the prior advice of the executive committee of the college concerned. The performance of the dean shall be evaluated at least once every five years in a manner to be determined by the college faculty.

c. On recommendation of the dean and the chancellor, the president may appoint annually associate or assistant deans as required.

d. The dean shall *(1)* call and ordinarily preside at meetings of the college faculty to consider questions of college and departmental governance and educational policy at such times as the dean or the executive committee may deem necessary; but not less fre-

quently than once in each academic year, and ~~preside at such meetings~~; (2) formulate and present policies to the faculty for its consideration, but this shall not be interpreted to abridge the right of any member of the faculty to present any matter to the faculty; (3) make reports on the work of the college; (4) oversee the registration and progress of the students in the college; (5) be responsible for the educational use of the buildings and rooms assigned to the college; and for the general equipment of the college as distinct from that of the separate departments; (6) serve as the medium of communication for all official business of the college with other campus authorities, the students, and the public; (7) represent the college in conferences, except that additional representatives may be designated by the dean for specific conferences; (8) prepare the budget of the college in consultation with the executive committee of the college; and (9) recommend the appointment, reappointment, nonreappointment, and promotion of ~~the officers and~~ members of the teaching academic staff. Regarding appointments, reappointments, non-reappointments, and promotions, the dean shall consult with the ~~department's chair~~ appropriate departmental chair(s) and executive committee(s), or with the department's head(s); who shall provide the dean with the advice of the department's advisory committee or other appropriate committee as specified in the department bylaws. Recommendations to positions on the teaching academic staff shall ordinarily originate with the department, or; in the case of a group not organized as a department; with the person(s) in charge of the work concerned; and shall be presented to the dean for transmission with the dean's recommendation to the chancellor. In case a recommendation from a college is not approved by the chancellor, the dean may present the recommendation to the president, and, if not approved by the president, the dean; with the consent of the Board of Trustees; may present the recommendation in person before the Board of Trustees in session.

#### **Section 4. The School and Similar Campus Units**

a. In addition to colleges and departments, there may be other units of a campus, such as ~~the~~ a school, institute, center, hospital, and laboratory, of an intermediate character designed to meet particular needs.

b. Such a unit organized independently of a college shall be governed in the same manner as a college.

c. The school organized within a college is an educational and administrative unit composed primarily of academic subunits. The subunits are related and have common interests and objectives but emphasize academically distinct disciplines or functions. The faculty of each subunit shall have the power to determine such matters as do not so affect relations with other subunits of the school; or with units outside the school; that those relations properly come under the supervision of larger administrative units.

d. Governance of schools and similar campus units within a college:

(1) The internal structure, administration, and governance of a school within a college shall be determined by its faculty under bylaws established by the faculty. Bylaws of the school shall be consistent with those of the college.

(2) The school has the fullest measure of autonomy consistent with the maintenance of general college and ~~U~~university educational policy and with appropriate academic and administrative relations with other divisions of the University. In questions of doubt concerning the proper limits of this autonomy, the school may appeal directly to the dean and the executive committee of the college and shall be entitled to appeal subsequently to the chancellor.

(3) An executive committee selected according to the bylaws of the school shall be the primary advisory body to the director of the school. The director is ex officio a member and chair of the committee. The executive committee shall advise the director on the formulation and execution of school policies and; unless otherwise provided by the faculty of the school; on appointments, reappointments, nonreappointments, and promotions. It shall advise the director on the preparation of the budget. The committee shall provide for the orderly voicing of suggestions for the good of the school, recommend procedures and committees that will encourage faculty participation in formulat-

ing policy, and perform such other tasks as may be assigned to it by the faculty of the school. Any faculty member shall be entitled to a conference with the executive committee or with any member of it on any matter properly within the purview of the committee. If the committee is in session to prepare its advice on appointment of the director or to review the director's performance, the director shall not be a member, and the committee shall be chaired by a committee member elected by the committee for that purpose.

(4) Departments within a school shall be governed as specified in Article IV except that communications and recommendations to the college, campus or the University shall be transmitted through the school for approval, comment, or information as appropriate. Other subunits shall be governed by regulations set forth in the school bylaws.

(5) Executive officers of departments or subunits of a school shall be evaluated at least once every five years in a manner to be determined by the faculty of the school and college.

(6) An intermediate unit within a college, such as an institute, center, hospital, or laboratory in which academic staff appointments are made in accordance with Article X, Section 1, shall be governed as a department as specified in Article IV. Other intermediate units within a college shall be governed as stated in the bylaws of the college.

### **Section 5. The Dean or Director of a School or Similar Campus Unit**

a. In a school or similar campus unit independent of a college, the chief executive officer shall be a dean or director; appointed annually by the Board of Trustees; on the recommendation of the chancellor and the president. On the occasion of each recommendation, the chancellor shall seek the prior advice of the executive committee of the faculty concerned. Within the school or similar campus unit, the duties of a director or a dean shall be the same as those of the dean of a college. The performance of the dean or director shall be evaluated at least once every five years in a manner to be determined by the faculty of the unit.

b. In a school or similar campus unit included within a college, the chief executive officer shall be a director appointed annually by the Board of Trustees on the recommendation of the dean of the college, the chancellor, and the president. On the occasion of each recommendation, the dean shall seek the prior advice of the ~~faculty concerned executive committee of the unit.~~ The director shall (1) call and ordinarily preside at meetings of the school faculty to consider questions of school and subunit governance and educational policy at such times as the director or the executive committee may deem necessary; but not less frequently than once in each academic year; ~~and preside at such meetings;~~ (2) formulate and present policies to the faculty for its consideration, but this shall not be interpreted to abridge the right of any member of the faculty to present any matter to the faculty; (3) make reports on the work of the school; (4) have general supervision of the work of students in the school; (5) be responsible for the educational use of the buildings and rooms assigned to the school; and for the general equipment of the school as distinct from that of the separate subunits; (6) serve as the medium of communication for all official business of the school with the college, the students, and the public; (7) represent the school in conferences; except that additional representatives may be designated by the director for specific conferences; (8) prepare the budget of the school in consultation with the executive committee of the school; and (9) recommend the appointment, reappointment, nonreappointment, and promotion of members of the academic staff. Regarding recommendations of appointments, reappointments, nonreappointments, and promotions of the members of the faculty, the director shall consult with the department's or subunit's executive officer; who shall provide the director with the advice of the appropriate committee(s). Such recommendations shall ordinarily originate with the subunit; or, in the case of a group not organized as a subunit, with the person(s) in charge of the work concerned; and shall be presented to the director for transmission with the director's recommendation to the dean of the college. The performance of the director shall be evaluated at least once every five years in a manner to be determined by the faculty of the school and college.

## ARTICLE IV. DEPARTMENTS

### Section 1. The Department

a. The department is the primary unit of education and administration within the University. It is established for the purpose of carrying on programs of instruction, research, and public service in a particular field of knowledge. The staff of a department includes persons of all ranks who upon the recommendation of its head or chair are appointed or assigned to it. The faculty of a department shall be as specified in Article II, Section 3a of these *Statutes*. All appointments which carry academic rank, title, or tenure indicative in any way of departmental association shall be made only after concurrence of the department(s) concerned.

b. The department has the fullest measure of autonomy consistent with the maintenance of general college and University educational policy and correct academic and administrative relations with other divisions of the University. Should a dispute arise between the department and another unit of the campus concerning the proper limits of this autonomy, the department may appeal for a ruling directly to the dean and the executive committee of the college and; when the chancellor considers it proper; to the chancellor, who shall make a decision after appropriate consultation.

c. A department may be organized either with a chair or with a head.

### Section 2. Department Organized with a Chair

a. The chair shall be appointed annually by the Board of Trustees on recommendation of the chancellor and the president after consultation with the dean of the college and with the executive committee of the department concerned. The performance of the chair shall be evaluated at least once every five years. As one component of this evaluation, views shall be solicited from the entire department faculty.

b. In each department organized with a chair, the executive committee shall recommend individuals for academic appointment in the department. With the consent of the executive committee; or as specified in the department bylaws, persons who are not members of the department faculty may be invited by the chair to attend meetings of the department faculty; but such persons shall have no vote.

c. The faculty of the department shall have power to determine such matters as do not so affect relations with other departments or colleges that they properly come under the supervision of larger administrative units.

d. In each department organized with a chair, there shall be an executive committee elected annually by and from the faculty of the department by secret written ballot. At least one-half of the members of the departmental executive committee shall be elected from those faculty members who have at least a 50-percent salaried appointment in the University. The faculty may choose to elect members of the executive committee for staggered two- or three-year terms. The chair of the department is ex officio a member and chair of the executive committee. The chair and the executive committee are responsible for the preparation of the budget and for such matters as may be delegated to them by the faculty of the department. In a department which has a faculty of not more than five members, the executive committee shall consist of the entire faculty. In all other cases, the size of the executive committee shall be determined by the faculty of the department. If the executive committee is in session to evaluate the chair's performance, the chair shall not be a member and the committee shall be chaired by a committee member elected by the committee for that purpose.

e. In each department organized with a chair, that officer shall be responsible for the formulation and execution of departmental policies and the execution of University and college policies insofar as they affect the department. The chair shall have power to act independently in such matters as are delegated to the chair by the executive committee. The chair shall (1) report on the teaching and research of the department; (2) have general oversight of the work of students in the department; (3) collaborate with the

executive committee in the preparation of the budget and be responsible for the expenditure of departmental funds for the purposes approved by the executive committee; and (4) call and preside at meetings of the executive committee and at meetings of the department faculty; of which there shall be not fewer than one in each academic year for consideration of questions of departmental governance and educational policy. The chair, together with the executive committee; is responsible for the organization of the work of the department and for the quality and efficient progress of that work. Any faculty member shall be entitled to a conference with the executive committee or with any member of it on any matter properly within the purview of the committee.

f. In the administration of the office, the chair shall recognize the individual responsibility of other members of the department for the discharge of the duties committed to them by their appointments and shall allow proper scope to the ability and initiative of all members of the department.

### **Section 3. Department Organized with a Head**

a. The head of a department shall be appointed without specified term by the Board of Trustees on recommendation by the chancellor and the president after consultation with the dean of the college and all members of the department faculty. The head may be relieved of title and duties as head of the department by the chancellor on the recommendation of the dean of the college. The performance of the head shall be evaluated at least once every five years. As one component of this evaluation, views shall be solicited from the entire department faculty.

b. In each department organized with a head, the head; in consultation with the advisory committee; shall recommend individuals for academic appointment in the department. In consultation with the advisory committee or as specified in the department bylaws, the head may invite other persons who are not members of the department faculty to attend meetings of the department faculty, but such persons shall have no vote.

c. The head of the department shall have the power to determine such matters as do not affect other departments or properly come under the supervision of larger administrative units.

d. In each department organized with a head, the head shall have general direction of the work of the department. The head shall (1) consult with the departmental advisory committee in regard to departmental policy; (2) consult with each member of the department regarding the nature and scope of the work in the charge of that member; (3) call and preside at meetings of the departmental faculty for explanation and discussion of departmental policies, educational procedure, and research, of which there shall be at least one in each academic year for consideration of departmental governance and educational policy; (4) be responsible for the organization of the work of the department, for the quality and efficient progress of that work, for the formulation and execution of departmental policies, and for the execution of University and college policies insofar as they affect the department; (5) report on the teaching and research of the department; (6) have general supervision of the work of students in the department; (7) prepare the departmental budget in consultation with the departmental advisory committee; and (8) be responsible for the distribution and expenditure of departmental funds and for the care of departmental property.

e. In the administration of the office, the head shall recognize the individual responsibility of other members of the department for the discharge of the duties committed to them by their appointments and shall allow proper scope to the ability and initiative of all members of the department.

f. In each department organized with a head, there shall be an advisory committee elected annually by and from the faculty of the department by secret written ballot. The department faculty may choose to elect members of the advisory committee for staggered two- or three-year terms. In a department which has a faculty of not more than five members, the advisory committee shall consist of the entire faculty. In all other cases, the size of the advisory committee shall be determined by the faculty of the department. The

functions of the committee shall be to provide for the orderly voicing of suggestions for the good of the department, to recommend procedures and committees that will encourage faculty participation in formulating policy, and to perform such other tasks as may be assigned to it. Any faculty member shall be entitled to a conference with the committee or with any member of it on any matter properly within the purview of the committee. If the advisory committee is in session to evaluate the head's performance, the head shall not be a member; and the committee shall be chaired by a committee member elected by the committee for that purpose.

#### **Section 4. Change of Departmental Organization**

On the written request of at least one-fourth of the faculty of the department, as defined in Article II, Section 3a(1), of the rank of assistant professor and above, and in no case fewer than two faculty members; that the form of the organization of the department be changed, the dean shall call a meeting to poll the departmental faculty by secret written ballot. The names of those making the request shall be kept confidential by the dean. The dean shall transmit the results of the vote to the departmental faculty and to the chancellor together with the dean's recommendation. If a change of organization is voted, the chancellor shall thereupon transmit this recommendation to the president for recommendation to the Board of Trustees. Faculty of the department may communicate with the Board of Trustees in accordance with Article XIII, Section 4 of these *Statutes*.

### **ARTICLE V. GRADUATE COLLEGES**

#### **Section 1. The Campus Graduate College**

a. ~~At each~~ On a campus; with a Graduate College, the Graduate College shall have jurisdiction over all programs leading to graduate degrees as determined by senate action and approved by the Board of Trustees. It is the responsibility of the Graduate College to develop and safeguard standards of graduate work and to promote and assist in the advancement of research in all fields.

b. Except as otherwise provided in this section, the Graduate College shall be governed by the same regulations as govern other colleges.

c. The faculty of the Graduate College consists of the president, the chancellor, the ~~vice chancellor for academic affairs~~ provost or equivalent officer, the dean, and all those who on the recommendation of the departments or of other teaching or research divisions have been approved by the executive committee and the dean of the Graduate College to assume appropriate academic responsibilities in programs leading to graduate degrees. Other administrative staff are members of the faculty of the Graduate College only if they also hold faculty appointments and have been recommended and approved as provided above.

d. An executive committee shall be the primary advisory committee to the dean of the Graduate College. It shall advise the dean on the formulation and execution of policies and on other activities of the Graduate College. The executive committee consists of ~~fifteen~~ fourteen members holding office for staggered two-year terms; ~~(1)~~ eight elected members, four ~~of whom shall be~~ elected annually for two-year terms by the faculty of the Graduate College; ~~(2)~~ and six members, three ~~of whom shall be~~ appointed each year for two-year terms by the chancellor on the recommendation of the dean of the Graduate College in consultation with the members elected that year; ~~and, (3) (1)~~ The dean of the Graduate College, who is ex officio a member and chairs the committee. Members elected or appointed shall hold office for two year terms except that, for initial appointments or elections hereunder, one half of the members of each group shall be designated to serve for one year and one half for two years. When meeting to give advice on the appointment of the dean, the senior faculty member (in terms of service at the University) on the executive committee shall be chair; and the dean shall not be a member of the committee.



e. The principal administrative head of the Graduate College is the dean, who shall be appointed in the same manner as are the deans of other colleges.

f. On the recommendation of the dean of the Graduate College and the chancellor, the president may appoint annually associate or assistant deans of the Graduate College as required.

g. On a campus with a Graduate College, the recommendation of its dean ~~The recommendation of the dean of the Graduate College~~ shall be secured for the appointment to or promotion on the staff of any campus unit of a person who may be expected to assume or who has academic responsibilities in programs leading to graduate degrees.

h. On a campus without a Graduate College, the Provost shall be responsible for the functions of the Graduate Dean.

## **Section 2. Campus Research Board of the Graduate College**

a. The Campus Research Board consists of eight to twelve members appointed by the chancellor after consultation with the dean and with the executive committee of the Graduate College; and the dean of the Graduate College who shall chair the board unless the chancellor, in consultation with the dean, shall name another member of the board to serve. The board may establish appropriate committees, the members of which need not be members of the board.

b. The functions of the board include: (1) making assignments of research funds of the Graduate College to individual and group research projects; (2) reviewing applications from faculty members to outside agencies for financial aid in support of research projects; (3) advising the chancellor on potentially patentable inventions by faculty members; (4) advising the chancellor and the dean of the Graduate College on any matters they desire to submit to the board.

## **Section 3. Special Units of the Graduate College**

a. On the recommendation of the Campus Research Board, ~~and of the executive committee and the dean of the Graduate College,~~ with approval by the president and the chancellor, the Board of Trustees may create special units of the Graduate College for the purpose of carrying on or promoting research in areas which are broader than the responsibility of any one department. Any such unit may be abolished by similar action.

b. Persons shall be appointed to the staff of such special units by the Board of Trustees on the recommendation of the unit concerned, the dean of the Graduate College, the chancellor, and the president. Appointments of persons who already have academic rank and title indicative of departmental association shall be made only after consultation with the department concerned. Appointments which carry academic rank and title indicative of departmental association of persons who do not already have departmental association shall be made only after concurrence of the department concerned.

## **ARTICLE VI. THE CAMPUS LIBRARY**

a. The campus library is an academic unit serving the entire campus. Its collection includes all books, pamphlets, serials, maps, music scores, photographs, prints, manuscripts, micro-reproductions, and other materials purchased or acquired in any manner and preserved and used by it to support instruction and research. Such materials may include sound, electronic and magnetic recordings, motion picture films, slides, film strips, other appropriate audiovisual aids, and computer files.

b. The campus library shall be in the charge of the University campus librarian who, as the chief executive officer of the library, is responsible to the chancellor for its administration and service.

c. As specified in Article II, Section 3, the library shall be governed internally under bylaws established by its faculty. Except as otherwise stated in this Article, the library shall be governed by the same provisions as govern a college.

d. With the approval of the chancellor, the University campus librarian may establish branches on the campus when efficiency in reference work, circulation, cataloging, ordering, and other matters of library service and administration, and the general welfare of the campus, college, school, department, or other unit will thereby be promoted. Appointments to the academic staff of branch libraries established under this subsection and the advancement of such staff will be recommended to the chancellor with the advice of the executive officer(s) of the unit(s) served by such libraries.

e. The University campus librarian shall be appointed annually by the Board of Trustees on the recommendation of the chancellor with the concurrence of the president of the University. On the occasion of each such appointment, the chancellor shall seek the advice of the library committee of the campus senate and of the library executive committee. The performance of the University campus librarian shall be evaluated at least once every five years in a manner to be determined by the faculty of the campus library and the library committee of the campus senate. As part of the evaluation, views shall be solicited from the library committee of the campus senate, from other concerned faculty, and from the entire faculty of the campus library.

f. The library committee(s) of the campus senate shall advise the University campus librarian regarding the allocation of book funds and other policies of the campus library.

## ARTICLE VII. SPECIALIZED UNITS

### Section 1. General Considerations

In addition to the campus units described in the previous Articles, there are special purpose educational and administrative units whose responsibilities and roles extend substantially beyond one campus. The organization and mission of such units, including clearly defined lines of responsibility to University or campus officers, shall be specified in these *Statutes*, in *The General Rules Concerning University Organization and Procedure*, or in such other documents as shall be deemed appropriate by the president. These specialized units may include but need not be limited to organizations designated as bureaus, councils, departments, divisions, institutes, and services. The staffs of these units shall have campus membership and status upon recommendation of the appropriate chancellor or chancellors; subject to the *Statutes* and *The General Rules* governing the campus operations.

### Section 2. University Press

a. The University Press is responsible for developing and conducting the University's program of publishing books, monographs, and journals.

b. The director of the University Press shall be appointed annually by the Board of Trustees on the recommendation of the president. The director shall be the principal administrative officer of the press and shall be responsible to the president.

c. There shall be a University Press Board composed of the director of the press, the deans of the Graduate Colleges or their representatives, and six appointed faculty members. Appointments to the board shall be made by the president after consultation with the director of the University Press and the vice president for academic affairs. The University Press Board shall advise the director of the press regarding policies and administration. The chair shall be elected from among the faculty membership.

### Section 3. Councils on Teacher Education

a. At each campus engaged in teacher education, there shall be a Council on Teacher Education composed of the deans and directors of the respective colleges, schools, and similar units at that campus which offer curricula in the preparation of teachers for the elementary and secondary schools. The chair of the council shall be named by the campus chancellor.

b. The duties of the council are to formulate policies and programs of student selection, retention, guidance and preparation, and placement in elementary and secondary schools in conformity with educational policies established by the campus senate.

c. ~~At each campus, the councils are~~ is authorized to appoint ~~on their respective campuses~~ area-of-specialization committees in each of the major teaching fields, committees on teacher placement, and such other committees as may be needed. These committees shall be composed of representatives from the ~~respective~~ Colleges of Education ~~or comparable campus program~~ and from major subject-matter fields represented in any given curriculum ~~on each campus~~.

The area-of-specialization committees shall be responsible for the improvement of their respective teacher-education curricula, counseling procedures in their areas, and other activities related thereto. Each committee shall recommend action to the Council on Teacher Education on its campus.

d. Students shall not be eligible for ~~U~~university approval of their status as prospective teachers unless they have elected a curriculum approved by the campus Council on Teacher Education.

e. All curricula in teacher education shall be approved by the area-of-specialization committee, by the departments, by the respective colleges offering the curricula, by the appropriate Council on Teacher Education, and by the respective senates.

#### **Section 4. Agricultural Experiment Station**

The Agricultural Experiment Station shall be administered by a director, who shall be appointed annually by the Board of Trustees on the recommendation of the president.

The Agricultural Experiment Station of the University of Illinois was established in 1888, under the provisions of acts of Congress, "to aid in acquiring and diffusing among the people of the United States useful and practical information in subjects connected with agriculture, and to promote scientific investigation and experiment respecting the principles and applications of agricultural science."

#### **Section 5. Cooperative Extension Service in Agriculture and Home Economics**

a. The Cooperative Extension Service in Agriculture and Home Economics shall be administered by a director appointed annually by the Board of Trustees on the recommendation of the president, concurred in by the Secretary of Agriculture.

b. Under the provisions of the Smith-Lever Act, approved by the President of the United States on May 8, 1914, and of subsequent acts of Congress, and under the provisions of a concurring joint resolution of the Illinois General Assembly, the University is designated the agency in Illinois responsible for cooperative agricultural and home economics extension work.

This work shall consist of the giving of instruction and practical demonstrations in agriculture and home economics to persons not attending the University and of imparting to such persons information on these subjects through field demonstrations, publications, and otherwise. This work shall be carried on in such a manner as may be mutually agreed upon by the Secretary of Agriculture and the University.

### **ARTICLE VIII. CHANGES IN ACADEMIC ORGANIZATION**

#### **Section 1. Formation of New Units**

a. *Departments.* The formation of a new department or similar academic unit within a school or college may be proposed by the faculty or executive officer of that school or college. The president shall submit the proposal for the new unit; together with the advice of the faculty of the school or college of each higher unit, of the appropriate senate and chancellor, and of the University Senates Conference; to the Board of Trustees for action.

b. *Intermediate Units.* An academic unit of intermediate character, such as a school organized within a college, may be proposed by the faculty or the executive officer of the higher unit. The president shall submit the proposal for the intermediate unit; together with the advice of the higher unit, of the appropriate senate and chancellor, and of the University Senates Conference; to the Board of Trustees for action.

c. *Colleges and Independently Organized Campus Units.* A college or other independently organized campus unit, such as a school, institute, center, or similar campus unit not within a school or college, may be proposed by the appropriate senate or chancellor. The president shall submit the proposal for the unit; together with the advice of the senate and chancellor and the University Senates Conference; to the Board of Trustees for action.

d. *Units Organized at the ~~Central Administration~~ University Level.* Units organized at the ~~central administration~~ university level, such as institutes, councils, and divisions, may be formed for the development and operation of teaching, research, extension, and service programs which are statewide or intercampus in their scope and which cannot be developed under a campus administration. Such an organization may be proposed by a senate, a chancellor, the University Senates Conference, or the president. The president shall submit the proposal for the new organization; together with the advice of the senates and chancellors and the University Senates Conference; to the Board of Trustees for action.

e. *Campuses.* The formation of a new campus may be proposed by the president, by ~~a either of the~~ ~~senates,~~ or by the University Senates Conference. The president shall submit the proposal for the new campus; together with the advice of ~~both of the~~ ~~senates and~~ ~~chancellors~~ and the University Senates Conference; to the Board of Trustees for action. If the proposal is adopted, the University Senates Conference shall serve as an advisory body to the president in developing procedures to implement the action of the board.

## **Section 2. Changes in Existing Units**

From time to time, circumstances will favor changes in academic organization such as the termination, separation, transfer, merger, change in status (e.g., department to school), or renaming of the academic units specified in Section 1. The procedures for the various changes shall be the same as those specified for formation of such a unit, except that the proposal may originate in the unit(s) or at any higher administrative level. The advice of each unit involved shall be requested. For transfer, merger, separation, and change in status, the procedures shall be those applicable to the type of unit which would result. Units affected may communicate with the Board of Trustees in accordance with Article XIII, Section 4, of these *Statutes*.

## **Section 3. Academic Units Not Requiring Board of Trustees Approval**

Any proposal for creation or change in organization (such as termination, separation, transfer, merger, or change in status) of any unit engaged in academic activities, ~~and~~ the creation of which does not require Board of Trustees approval; shall be referred to the executive committee of the campus senate for its information and advice prior to approval by the appropriate administrator. If the unit is not organized within one campus of the University, the proposal shall be referred to the University Senates Conference rather than to a senate executive committee. Academic staff appointments in such units may not be made to ranks subject to the provisions of Article X, Section 1, governing appointments for an indefinite term as defined in Article IX, Section 3c.

# **ARTICLE IX. ACADEMIC AND ADMINISTRATIVE STAFFS**

## **Section 1. Criteria for Employment and Promotion**

The basic criteria for employment and promotion of all ~~U~~ university staff, whether or not subject to the act creating the University Civil Service System of Illinois, shall be appropriate qualifications for and performance of the specified duties. The principles of

equal employment opportunity are a part of the general policy of the University. Unless otherwise provided by law, employees are to be selected and treated during employment without regard to political affiliation, relationship by blood or marriage, age, sex, race, creed, national origin, handicap, or status as a disabled veteran or veteran of the Vietnam era.

## **Section 2. Employment of Relatives**

No individual shall initiate or participate in institutional decisions involving a direct benefit (initial employment, retention, promotion, salary, leave of absence, etc.) to a member of the individual's immediate family. "Immediate family" includes an individual's spouse, ancestors and descendants, all descendants of the individual's grandparents, and the spouse of any of the foregoing. Each chancellor shall develop, for the approval of the president, campus procedures to insure against such conflict of interest.

## **Section 3. Appointments, Ranks, and Promotions of the Academic and Administrative Staff**

a. All appointments, reappointments, and promotions of the academic staff, as defined in Article IX, Section 4a, shall be made by the Board of Trustees; on the recommendation of the chancellor concerned and the president. All appointments, reappointments, and promotions of the administrative staff shall be made by the Board of Trustees; on the recommendation of the chancellor concerned if a campus-level officer is involved; and the president.

b. Appointments shall be made solely on the basis of the special fitness of the individual for the work demanded in the position.

c. The following ranks, and only these ranks, of the academic staff as defined in Article IX, Section 4a, are subject to the provisions of Article X, Section 1: professor, associate professor, and assistant professor. Modifying terms such as "research," "adjunct," "clinical," and "visiting" may be used in conjunction with these academic ranks (e.g., "research professor," "adjunct assistant professor," "clinical associate professor," "visiting professor"); but no appointment for an indefinite term may be made in which a modifying term is used in the academic rank. Furthermore, an appointment in which a modifier is used in the title will not count toward completion of the probationary period, as provided in Article X, Section 1, unless specially recommended by the executive officer of the unit and approved by the dean and by the chancellor or an officer authorized to act for the chancellor.

Other academic ranks recognized within the academic staff are: (1) lecturer; (2) instructor; (3) teaching associate, research associate, and clinical associate; (4) teaching assistant, research assistant, and clinical assistant.

Appropriate academic rank, with the rights and privileges pertaining thereto, may be accorded members of the administrative staff. This means that in addition to being members of the administrative staff; selected administrative officers may also hold appointments with academic titles chosen from the ranks listed in the two preceding paragraphs.

Special classes of positions within the academic staff may be established to meet specialized professional or technical needs, in accordance with Article IX, Section 4a.

d. Recommendation to positions on the academic staff shall ordinarily originate with the department; or, in groups not organized as departments; with the officers in charge of the work concerned; and shall be presented to the dean of the college for transmission with the dean's recommendation to the chancellor. Whenever the appointment or promotion of members of the academic staff is involved, the dean; before making a recommendation; shall consult the chair or the head of the department after confirming that intradepartmental consultation procedures have been satisfied; ~~or;~~ if the college has no departments, the dean shall consult the executive committee of the college. If the appointment involves a person who may be expected to offer courses carrying graduate

credit, the dean of the college shall consult the dean of the Graduate College ~~if one exists on the campus~~, who shall have the right to make an independent recommendation to the chancellor, and to the president.

e. In determining appointments to, and salaries and promotion of the academic staff, special consideration shall be given to the following: (1) teaching ability and performance; (2) research ability and achievement; and (3) ability and performance in continuing education, public service, committee work, and special assignments designed to promote the quality and effectiveness of academic programs and services.

#### **Section 4. Principles Governing Employment of Academic and Administrative Staffs**

The following principles shall govern the employment of the academic and administrative staffs of the University.

a. The academic staff which conducts the educational program shall consist of the teaching, research, scientific, counseling, and extension staffs; deans and directors of colleges, schools, institutes, and similar campus units; editors, librarians, and such other members of the staff as are designated by the president and the chancellors.

b. The members of the academic and administrative staffs shall be employed; and salaries fixed; by the Board of Trustees, except that members of the academic staff below the rank of assistant professor may be employed by the president of the University; who shall report such appointments to the board.

c. Minimum salaries for the various ranks shall be determined by the Board of Trustees. The minimum for eleven months service shall be approximately two-ninths greater than the minimum for the academic year.

d. The terms of employment for all members of the academic and administrative staffs shall be stated explicitly in the contract of employment.

e. The academic year shall consist of that period of the year so determined by the appropriate senate and approved by the appropriate chancellor, the president, and the Board of Trustees.

#### **Section 5. Services Rendered the University**

a. No person employed on a full-time basis on the instructional or administrative staffs of the University shall be assigned any other university work which does not naturally come within the scope of that person's duties; and for which additional compensation is to be paid; without the prior approval of the chancellor.

b. No person employed by the University shall have any interests incompatible with that person's obligations to the University.

c. Full-time employees shall not receive compensation for services with the University in excess of a normal schedule; except for a reasonable amount of instruction in continuing education and public service programs or for the grading of special examinations (outside regular course work) stipulated by the University, all to be done ~~during off-duty hours~~ at a time that does not conflict with other university duties. Exceptions may be made to this rule in special cases which are approved by the dean of the college of which the employee is a member; provided that if such additional payments exceed a nominal amount; the advance approval of the chancellor shall be secured. These exceptions shall be held to a minimum.

d. The responsibilities to the University of full-time members of the academic staff are fulfilled by the performance; appropriate to rank and terms of appointment; of teaching, scholarly research, continuing education and public service, and committee work and special assignments. Such staff members may carry on some professional or business activities of an income-producing character; so long as such activities are compatible and not in conflict with University interests. The head of the department of which the employee is a member should know and approve of these activities outside the University.

## Section 6. Leaves of Absence for Members of the Faculty

a. On the recommendation of the head or chair of a department with the concurrence of the dean of the college; or on recommendation of the dean or director of an independent campus unit; and subject to approval by the chancellor, the president, and the Board of Trustees; a member of the faculty who has the rank of professor, associate professor, or assistant professor and who has served the University for the periods indicated below on full-time appointment as an assistant professor or in higher rank since the faculty member's original appointment or since the termination of that faculty member's last leave on salary; is eligible to apply for and may be granted a sabbatical leave of absence with pay for the purpose of study, research, or other pursuit, the object of which is to increase the faculty member's usefulness to the University. The following options are available:

- (1) After completion of eight appointment years of full-time service:

Two semesters at 2/3 salary

or

One semester at full salary

- (2) After completion of six appointment years of full-time service:

Two semesters at 1/2 salary

or

One semester at full salary

(3) After completion of three or four appointment years of full-time service, in cases where the interest of the department and the University would clearly be served thereby, and provided that granting of leave does not involve expense to the University in excess of the portion of salary which is released in consequence of taking such leave, the following options are available:

After three years: One semester at 1/2 salary

After four years: One semester at 2/3 salary

(4) Faculty on "Y" (11 month) appointments may be granted sabbatical leaves, subject to the other general conditions of this section; as follows: After completion of nine years of full-time service, three-fourths of an appointment year at full pay; after completion of eight years of full-time service, one appointment year at two-thirds pay or two-thirds of an appointment year at full pay; after completion of six years of full-time service, one appointment year at half pay or one-half appointment year at full pay; after completion of four years, one-half appointment year at two-thirds pay; after completion of three years, one-half appointment year at half pay or one-fourth appointment year at full pay.

b. In recommending a leave with pay according to any of the options provided above, it shall be understood by all recommending officers concerned that the department in which the applicant is teaching or working undertakes, so far as is practicable, to carry on during the applicant's absence without increase in the departmental budget; such part of the applicant's work as the interests of the department and of the University require to be continued without interruption during the period of absence.

c. Service credit for leave of absence with pay is not cumulative; unless otherwise provided for in special cases. Each person who has been on leave of absence shall, on the termination of the leave; make a report through the usual official channels of communication to the chancellor concerning the nature of the studies, research, or other work undertaken during the period of absence.

d. A member of the faculty to whom any such leave of absence has been granted shall agree to return to the University on the expiration of the leave and to remain in its service for at least one year thereafter; and the University, on its part, shall agree to retain the faculty member in its service for the period of one year after the faculty member's return.

e. Leaves of absence granted in accordance with the foregoing terms and conditions, with the privileges pertaining thereto, are given to members of the faculty primarily for the purpose of enabling them to acquire additional knowledge and competency in their respective fields. No one to whom a leave of absence with pay has been granted shall be permitted while on such leave to accept remunerative employment or engage in professional practice or work for which pecuniary compensation is received. This prohibition, however, shall not be construed to forbid a faculty member while on leave from giving a limited number of lectures or doing a limited amount of work. But, in such cases, the approval of the chancellor to the giving of the lectures or the doing of other work shall be required. Nor shall the prohibition be interpreted to forbid the acceptance by a faculty member, while on leave, of a scholarship or fellowship carrying a stipend for the purpose of study, research, or scientific investigation or the acceptance of a grant of money made for such purposes, provided that the acceptance of the grant does not impose on the recipient duties and obligations the performance of which would be incompatible with the pursuit of the general purpose for which leaves of absence are granted.

f. The president shall establish regulations and procedures necessary for the administration of these provisions and is authorized to make appropriate adjustments in the terms of leave with pay to ensure equitable benefits for members of the faculty in exceptional cases where special consideration is warranted.

g. Leaves of absence without pay. On the recommendation of the head or chair of a department with the concurrence of the dean of the college; or on the recommendation of the dean or director of an independent campus unit, a member of the faculty may be granted a leave of absence without pay by the chancellor for a period of one year or less. Such a leave may be renewed in special circumstances ordinarily for not more than one year. Time spent on a leave of absence without pay does not ordinarily count toward the probationary period of a faculty member on definite tenure; nor does it ordinarily count as service in establishing eligibility for a sabbatical leave with pay; unless recommended and agreed upon in advance.

## **Section 7. Graduate Work of Academic Staff Members**

No person shall be admitted to candidacy for an advanced degree in a department or division of the University who holds an appointment as professor, associate professor, or assistant professor in that department or division. Likewise, no person while engaged in graduate study shall be appointed to the rank of assistant professor or higher in the department or division of that graduate study.

A person in or accepting the rank of assistant professor or higher on a campus of the University may continue in or be admitted to advanced degree candidacy in a department or unit other than the person's appointing department or unit upon the special approval of the executive officer of each department or unit involved and the executive committee of the Graduate College;if one exists on the campus.

## **Section 8. Privileges of Retired Members of the Academic Staff**

a. A retired staff member who is provided with research assistance shall; at the end of each academic year; report to the chancellor, in at least general terms, on the work accomplished during the year. In no case may a research assistant be provided to a retired staff member for a longer period than one year at a time; and such assistant may be continued only if the annual report of work shows progress or promise.

b. With the approval of the department head or chair and of the dean of the Graduate College ~~if one exists on the campus~~ and of the chancellor, a retired faculty member may offer conferences with graduate students if such retiree had offered similarly related graduate courses before retirement.

c. Retired faculty members may participate in meetings of their college or school faculties but shall have no vote.



**Section 9. Dismissal of Administrative Officers**

a. In the exercise of its authority to dismiss or request the resignation of administrative officers from their administrative positions, the Board of Trustees may take such action in respect to such officer prior to the expiration of the term for which the individual was appointed only after presentation by the board to the officer affected of a statement of the reasons; accompanied by the facts in support thereof; upon which the proposed action is based, together with notice; served by registered mail; of the time and place of the hearing thereon which shall be not less than 30 days after the date of notice. A copy of the statement and notice shall be sent by registered mail to each member of the Board of Trustees at least 30 days prior to the hearing.

b. The officer shall have the right to appear at the hearing, with counsel if desired, to comment on the reasons and to present evidence. The board shall not be bound by formal or technical rules of evidence; and its decision shall be final.

c. In designating the effective date of dismissal or requested resignation, the board shall give due consideration to the time reasonably required for the adjustment of the officer's personal affairs.

**Section 10. Nonreappointment of Academic Professional Staff**

Notice of nonreappointment to the full-time academic professional staff, as defined in Article II, Section 5, shall be given as follows:

a. Except as provided in 10b and 10c below, written notice of nonreappointment shall be given by the Board of Trustees to academic professional employees in accordance with the following schedule:

<u>Length of Full-Time Service to the University in full appointment years completed</u>	<u>Minimum Notice of Nonreappointment</u>
Less than 4 years	6 Months
4 years or over	12 Months

b. Written notice of nonreappointment shall be given by the Board of Trustees to an academic professional employee on an appointment which notes that it is subject to receipt of funds in accordance with the following schedule:

<u>Length of Full-Time Service to the University in full appointment years completed</u>	<u>Minimum Notice of Nonreappointment</u>
Less than 4 years	2 Months
4 years completed	6 Months

plus 1 additional month for each additional full appointment year of service to a maximum of 12 months' notice

10 years	12 Months
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c. Written notice of nonreappointment shall be given by the Board of Trustees to an academic professional employee who is the director of ~~Intercollegiate Athletics~~ or a coach of an intercollegiate athletic team in accordance with the following schedule:

<u>Length of Full-Time Service to the University in full appointment years completed</u>	<u>Minimum Notice of Nonreappointment</u>
Less than 4 years	3 Months
4 years or over	6 Months

d. In cases where the time remaining in the appointment year is less than the required minimum notice period, the notice of nonreappointment shall be accompanied by an offer from the Board of Trustees of a terminal contract for an additional appoint-

ment which will extend the current appointment through the period of minimum notice, viz., 2 months, 6 months or 7-12 months.

e. Computation of length of service will be on the basis of continuous employment in campus academic administrative and professional positions (or similar service at the university level for employees of the ~~central university~~ administration). On a case-by-case basis, credit may be given for all or part of their relevant experience in other University of Illinois positions.

f. Excepted from the above provisions are the following administrative officers: the president of the University; the chancellors, provosts, and vice chancellors; the officers of the Board of Trustees who are University employees; other general university officers of ~~the University~~; and the deans, directors, heads, and chairs of academic units. Also excepted from the above provisions are academic professional staff whose title includes the terms "visiting," "acting," "interim," or "adjunct."

## ARTICLE X. ACADEMIC FREEDOM AND TENURE

### Section 1. Tenure of Academic Staff

a. Except under unusual circumstances evidenced by a special written agreement approved by the president of the University and the appointee, the tenure status for the academic ranks of professor, associate professor, and assistant professor shall be as provided in this section. The parts of Article X, Sections 1a and 1b, hereof relating to the probationary period or indefinite tenure do not apply to academic ranks other than those mentioned in the preceding sentence; nor to appointments at any rank which involve no salary or obligation to render services; nor to appointments for fifty percent (50%) or less of full-time service at ranks other than professor or associate professor; nor to appointments for less than seventy-five percent (75%) of full-time service during any period when the appointee is a candidate for a degree at this University.

In the case of academic-staff positions authorized in Article IX, Sections 3c and 4a, other than the ranks of professor, associate professor, and assistant professor appointments shall be for not longer than one year and shall be renewable.

(1) An appointment as professor or associate professor shall be for an indefinite term; except that first appointments or temporary appointments may be made for shorter periods. An appointment at either of these ranks for fifty percent (50%) or less of full-time service shall be for an indefinite term at the specified percentage; except that such first appointments or temporary appointments may be for definite terms.

(2) During the probationary period defined in Article X, Section 1b(1), an appointment as assistant professor shall be for not more than two years.

(3) An appointment for an indefinite term may require full-time service; or some percentage of full-time service; by the appointee. Completion of a probationary period shall entitle the appointee to indefinite tenure status at the lowest percentage (more than 50%) of full-time service counted toward completion of the probationary period. An appointee for an indefinite term and the Board of Trustees may at any time agree in writing to increase or to decrease the percentage of full-time service to be required of the appointee; and the indefinite tenure status shall then apply to the new percentage of full-time service. An agreement that a full-time appointee for an indefinite term shall thereafter serve on a part-time basis shall specify either (a) that the appointment for an indefinite term will thereafter relate solely to service on the agreed part-time basis; or (b) that the appointee will return to full-time service for an indefinite term on a specified date.

These agreements are subject to modification by written consent of the appointee and the Board of Trustees. An appointee who has previously been on indefinite tenure status at this University shall not be required to serve a probationary period in order to regain that status.

This subsection, 1a(3), does not apply to sabbatical leaves of absence or to leaves of absence without pay.

(4) An appointment with the rank of clinical assistant, research assistant, or teaching assistant shall be for not longer than one year; and notice of nonreappointment is not required. Appointments at these ranks may be conditional upon the availability of funds if so specified in the notice of appointment.

(5) Appointments which include in the title the terms “adjunct,” “clinical,” or “visiting,” as authorized in the first paragraph of Article IX, Section 3c; shall be for not longer than one year. Notice of nonreappointment is not required in such cases. An appointment with the rank of “lecturer” or “instructor” likewise shall be considered a temporary appointment for not longer than one year; and notice of nonreappointment is not required.

(6) An appointment with the rank of teaching associate, research associate, or clinical associate shall be for not longer than one year. In the case of nonsalaried appointees and all appointments at these ranks conditional upon the receipt of nonappropriated funds, ~~if so~~ as specified in the notice of appointment, notice of nonreappointment is not required. Otherwise, written notice of nonreappointment of full-time employees at these ranks is required. The notice need not be accompanied by an offer of a terminal contract if the notice is given not later than six months before the end of an annual appointment or by March 1 in the case of an academic-year appointment; if notice of nonreappointment in such cases is given later than six months before the end of an annual appointment or after March 1 in the case of an academic-year appointment; it shall be accompanied by an offer from the Board of Trustees of a terminal contract for one additional year of service.

(7) The tenure of other special classes of academic staff members authorized under Article IX, Sections 3c and 4a, shall be governed by the conditions prescribed in the preceding subparagraph, 1a(6).

b. Upon the completion of a probationary period as hereafter defined, any reappointment shall be for an indefinite term, subject to the following:

(1) An appointee receiving a first contract for more than fifty percent (50%) of full-time service at this University as assistant professor enters a probationary period not to exceed seven academic years of service. Prior academic service at other academic (or equivalent) institutions may be counted up to a maximum of three years toward the fulfillment of the probationary period. The amount of any such service counted may be negotiated as may other terms of the appointment and shall be stated in the first appointment contract, as provided for all contracts for definite terms in subparagraph 1b(5) below. An initial appointment for a period of less than a full academic year ordinarily does not count toward the probationary period of a faculty member on definite tenure nor does it ordinarily count as service in establishing eligibility for a sabbatical leave with pay, unless recommended and agreed upon in advance.

(2) No appointment at the rank of assistant professor shall be for an indefinite term.

~~(3) An appointee for a definite term shall be given, no later than August 31 at the Chicago campus, and August 20 at the Springfield campus and the Urbana-Champaign campus in the sixth year of the probationary period, either written notice offering appointment for an indefinite term or written notice of nonreappointment.~~

(3) An appointee for a definite term shall be given in the sixth year of the probationary period either written notice offering appointment for an indefinite term or written notice of nonreappointment no later than August 31 at the Chicago campus or August 15 at the Springfield campus or August 20 at the Urbana-Champaign campus.

(4) At any time except during the last year of the probationary period, an assistant professor on a definite-term appointment may be given written notice of nonreappointment. Except in the case of an assistant professor who is in the first year of academic service at this University, (a) written notice of nonreappointment shall be given not less than twelve months before the expiration of the appointment; or (b) ~~or if given less than twelve months before the expiration of the appointment,~~ written notice of nonreappointment, if given less than twelve months before the expiration of the appointment, shall be

accompanied by an offer from the Board of Trustees of a terminal contract for one additional year of academic service. In the case of an assistant professor on a definite-term appointment who is in the first year of academic service at this University, written notice of nonreappointment shall be given not later than March 1 and need not be accompanied by an offer of a terminal contract; if written notice of nonreappointment is given after March 1, it shall be accompanied by an offer from the Board of Trustees of a terminal contract for one additional year of service.

(5) The total amount of service counted toward completion of the probationary period, including both service at other institutions and prior service at this University, shall be stated in every contract for academic service for a definite term. In the event that an appointee for a definite term is not given notice of appointment for an indefinite term or notice of nonreappointment as required by subparagraph 1b(3) above, but instead is given notice of reappointment for a definite term beginning after or extending beyond the expiration of the probationary period, such reappointment shall be for a term extending to the end of the academic year following the academic year in which either (a) the Board of Trustees gives the appointee written notice of nonreappointment as specified above in subparagraph 1b(4), or (b) the appointee gives written notice to the dean or department head that the appointee is about to complete or has completed the probationary period; and either is or will be entitled to have any reappointment be for an indefinite term.

(6) An appointment for a definite term does not carry any guarantee or implication that the Board of Trustees will renew the appointment even though the duties of the appointee may have been discharged satisfactorily. An appointment for a definite term, if accepted, must be accepted with this stipulation.

c. Tenure may be terminated by (1) honorable retirement; (2) acceptance of resignation; (3) dismissal for due cause.

d. Due cause for dismissal shall be deemed to exist only if (1) a faculty member has been grossly neglectful of or grossly inefficient in the performance of the faculty member's University duties and functions; or (2) with all due regard for the freedoms and protections provided for in Article X, Section 2, of these *Statutes*, a faculty member's performance of University duties and functions or extramural conduct is found to demonstrate clearly and convincingly that the faculty member can no longer be relied upon to perform those University duties and functions in a manner consonant with professional standards of competence and responsibility; or (3) a faculty member has, while employed by the University, illegally advocated the overthrow of our constitutional form of government by force or violence.

e. Proceedings seeking the dismissal before the expiration of the term of appointment of an appointee to the academic staff who is on definite tenure or of an appointee to the academic staff who is on indefinite tenure shall comply with the procedures described in the following provisions of this section:

(1) *Charges*. When it shall appear to the president that cause for the dismissal of an appointee may exist, the president shall consult with the Faculty Advisory Committee. The president, after such consultation, shall determine whether dismissal proceedings should be instituted. Charges looking to dismissal shall be preferred by statement in writing by the president or the president's designee and shall be filed with the clerk or secretary of the senate within thirty days after the consultation with the Faculty Advisory Committee. The statement shall be sufficiently specific reasonably to inform the appointee of the nature of the charges and enable the appointee to present a defense to them.

(2) *Service*. The clerk or secretary of the senate shall cause a copy of the statement of the charges and a copy of Article X, Sections 1 and 2, of the *Statutes* to be delivered to the appointee personally or mailed to the appointee's last known post office address by registered mail within five days after they have been filed with the clerk or secretary of the senate.

(3) *Request for Hearing*. Within fifteen days after such service of a copy of the statement of charges, the appointee may file with the clerk or secretary of the senate a request for a hearing before the Committee on Academic Freedom and Tenure of the

appropriate campus; and within ten days after filing such request, the appointee shall file with the clerk or secretary of the senate a detailed written answer to the statement of grounds for dismissal. The clerk or secretary of the senate shall promptly transmit the statement of charges, the answer thereto, and the request for a hearing to the chair of the Committee on Academic Freedom and Tenure; and copies of the answer and request for a hearing to the president.

(4) *Notice of Hearing.* Notice of the time and place of the hearing before the Committee on Academic Freedom and Tenure, which hearing shall be not less than twenty days after the filing of the appointee's request, shall be delivered on the same date to the appointee and the president, either personally or by registered mail. The date of the hearing shall be not less than fifteen days from the date of such delivery or of such mailing of the notice of hearing.

(5) *Hearing.* At the time and place fixed, the Committee on Academic Freedom and Tenure shall hold a closed hearing on the charges. No member of that committee shall sit in a case that involves a colleague of that committee member's department, school, institute, or division, whichever represents the smallest administrative unit, nor shall a member sit in a case if the member has previously acted on another committee while it considered the pending matter. A majority of the members of the committee shall constitute a quorum for the conduct of the hearing; and the chair of the committee may appoint another member of the committee to preside over the hearing. If vacancies occur, as many members as are necessary to constitute a quorum shall be appointed in accordance with the bylaws of the appropriate senate. Except as hereinbefore or hereinafter provided, the hearing shall be conducted according to such rules as the committee may from time to time establish. The committee shall not be bound by technical rules of evidence, but all findings, conclusions, and recommendations of the committee shall be supported by, and be in accord with, substantial evidence. The appointee shall be entitled to be present at all sessions of the committee when evidence is being received and to be accompanied by an adviser of the appointee's choice who may act as counsel. Likewise, the president or the president's designee, together with counsel if the president desires counsel, shall be entitled to be present at all sessions of the committee when evidence is being received. Each party shall have the right within reasonable limits to question witnesses and, when all the evidence has been received, to make an argument in support of its position, either in person or by counsel. A full stenographic transcript shall be made of the hearing unless both parties agree to the making of a record in a briefer form.

(6) *Findings, Conclusions, and Recommendations.* Following the conclusion of the hearing, the committee shall promptly make its explicit findings of fact on each charge, its conclusions, and its recommendations. Reasonable opportunity shall be given to each party to file a written statement setting forth objections to these findings, conclusions, and recommendations and setting forth the grounds for such objections. A copy of one party's objections shall be given to the other party. The originals of the findings, conclusions, and recommendations, and of the hearing transcript shall be forwarded by the committee to the president; and copies shall be promptly transmitted by the committee to the appointee.

If ultimately the appointee requests a hearing before the Board of Trustees, the originals or copies of the statement of charges filed by the president or the president's designee with the clerk or secretary of the senate, the request for a hearing, the answer to the statement of charges, the notice of the time and place of hearing, the transcript or briefer record of the hearing, any exhibits received in evidence, the findings, conclusions, and recommendations of the committee, and any objections to such findings, conclusions, and recommendations; shall constitute the record before the Committee on Academic Freedom and Tenure to be submitted to the board. The record shall be available to the Board of Trustees, to counsel for the appointee, and to counsel for the University, but shall not be available to other persons prior to the hearing before the board. If the committee recommends that charges be dropped and the president concurs, the case shall be considered closed.

(7) *Hearing by Board of Trustees.* Within thirty days after transmittal of the findings, conclusions, and recommendations of the Committee on Academic Freedom and Tenure, or; if the appointee filed no request for a hearing before that committee; within fifteen days after the expiration of the period specified in subparagraph 1e(3) for the filing of such a request, the president may cause the charges to be filed with the secretary of the Board of Trustees along with the findings, conclusions, and recommendations, if any, of the Committee on Academic Freedom and Tenure and the record of the hearing before the committee, if one was held. Notice of such filing of charges shall be delivered to the appointee personally or shall be mailed to the appointee by the secretary of the Board of Trustees by registered mail within five days after such filing. Within ten days after such delivery or mailing of notice of the filing of the charges with the secretary of the Board of Trustees, the appointee may file with the secretary of the board a written request for a hearing before the Board of Trustees. Notice of the time and place of the hearing; which hearing shall be not less than twenty days after the date of the filing of the appointee's request; shall be delivered to the appointee personally or mailed to the appointee by registered mail. The date of the hearing shall be not less than fifteen days from the date of such delivery or mailing of the notice of hearing to the appointee. The appointee shall have the right to appear at the hearing, with counsel; if desired, to reply to the charges and to present evidence. Counsel for the University shall represent the University administration at the hearing and shall have the right to present evidence in support of the charges. The board shall not be bound by technical rules of evidence in hearing and deciding the case.

The board will give due consideration to the findings, conclusions, and recommendations of the Committee on Academic Freedom and Tenure, and the remainder of the record relevant to the charges before said committee, and in all cases where a report was made by the committee will invite a member of the committee designated by its chair to attend the hearing and make a statement before the board.

If the board concludes that the appointee should be dismissed or asked to resign, the effective date of such dismissal or resignation shall not be less than one year from the date of the board's decision unless the board, in its discretion, determines that an earlier effective date is justified by the gravity of the appointee's conduct in question.

(8) *Reassignment of Duties.* Under exceptional circumstances; and when such action is clearly necessary and justified, the president may direct that a faculty member be relieved of some or all of the faculty member's University duties and functions and reassigned to others; without prejudice and without loss of compensation; pending the final decision of the case, subject to the following provisions: (a) the president may reassign duties before the filing of any charges only after giving notice to the chair or; in the absence of the chair from the University; to some member of the Faculty Advisory Committee; that the president believes that cause for dismissal may exist; (b) if the president reassigns duties after so giving notice to the chair or some member of the Faculty Advisory Committee, such reassignment shall terminate within thirty days after that committee has made its recommendations to the president unless the president initiates dismissal proceedings by the filing of charges for dismissal within that thirty-day period; and (c) if the president initiates dismissal proceedings by filing charges for dismissal, the president may reassign duties or extend a previous reassignment of duties until the termination of those proceedings or until the effective day of dismissal if the proceedings should result in dismissal.

(9) *Publicity.* So far as possible public statements about a case under consideration should be avoided until completion of the proceedings.

## **Section 2. Academic Freedom**

a. It is the policy of the University to maintain and encourage full freedom; within the law; of inquiry, discourse, teaching, research, and publication and to protect any member of the academic staff against influences, from within or without the University, which would restrict the member's exercise of these freedoms in the member's area of

scholarly interest. The right to the protection of the University shall not, however, include any right to the services of the university counsel or the counsel's assistants in any governmental or judicial proceedings in which the academic freedom of the staff member may be in issue.

b. As a citizen, a faculty member may exercise the same freedoms as other citizens without institutional censorship or discipline. A faculty member should be mindful, however, that accuracy, forthrightness, and dignity befit association with the University and a person of learning; and that the public may judge that person's profession and the University by the individual's conduct and utterances.

c. If, in the president's judgment, a faculty member exercises freedom of expression as a citizen and fails to heed the admonitions of Article X, Section 2b, the president may publicly disassociate the Board of Trustees and the University ~~administration~~ from; and express their disapproval of; such objectionable expressions.

d. A staff member who believes that he or she does not enjoy the academic freedom which it is the policy of the University to maintain and encourage shall be entitled to a hearing; on written request; before the Committee on Academic Freedom and Tenure of the appropriate ~~University campus~~ senate. Such hearing shall be conducted in accordance with established rules of procedure. The committee shall make findings of facts and recommendations to the president and, at its discretion, may make an appropriate report to the senate. The several committees may from time to time establish their own rules of procedure.

## ARTICLE XI. STUDENT AFFAIRS AND DISCIPLINE

### Section 1. Student Affairs

a. The senates shall be responsible for the development of appropriate recommendations regarding policies on student affairs at their respective campuses. Each senate shall ensure the opportunity for substantial student involvement in the development of these recommendations.

b. Upon recommendation of the chancellor and the president, the Board of Trustees may appoint annually a vice chancellor or other officer who shall have general supervision over those services provided on that campus to assist students in their personal and social development. The responsibility and authority of this officer shall be determined by the campus chancellor. On the occasion of each appointment of any such officer, the chancellor shall seek the advice of the executive committee of the campus senate. The executive committee shall ensure the opportunity for substantial student involvement in the development of its advice.

c. Under the general supervision of the officer provided for in Subsection 1b above, the Graduate College, the College of Law, ~~and~~ the College of Veterinary Medicine, the College of Medicine, and other colleges comprised of post-baccalaureate students shall be responsible respectively for the supervision of student affairs; excluding discipline; in those colleges.

### Section 2. Student Discipline

a. Each senate shall establish a committee or other body concerned with student discipline. This body may appoint one or more subcommittees on which; unless the senate determines otherwise; there shall be voting student representatives. These subcommittees shall have original jurisdiction to hear and render decisions in all disciplinary cases; unless the body determines to exercise original jurisdiction. The decision of a subcommittee not appealed to the body shall be final. The body shall hear and take action for the senate in cases in which it exercises original jurisdiction and in cases appealed to it from its subcommittees. The body shall formulate and adopt; after consultation with the legal counsel; disciplinary and hearing procedures which shall be followed in all undergraduate student disciplinary proceedings. In hearing and deciding any appeal, this body may

conduct a hearing de novo or may act solely upon the record in the case before the subcommittee as the body, in its discretion, may determine.

b. Discipline for students enrolled in graduate and graduate-professional colleges shall be administered by this body which, after consulting the dean of the college concerned, shall appoint a subcommittee on discipline for the students enrolled in that college. These subcommittees are to act in accordance with the provisions of Article XI, Section 2a.

c. In disciplinary proceedings stemming from group infractions involving more than one category of student (undergraduate, graduate, professional), the hearing and review bodies as well as the procedures employed shall be common to all categories of students involved.

## **ARTICLE XII. RESEARCH AND PUBLICATION**

### **Section 1. Sponsored Research, Gifts, and Grants**

a. It is the policy of the University to encourage research on the part of all persons and groups within the several faculties. Such encouragement includes the endorsement and support of acceptable proposals for outside contracts or grants.

b. Such outside support must be integrated with the regular educational and research functions of the University. The acceptance of contracts or grants involves substantial indirect costs, physical plant operating costs, and the use of departmental, college, and general University facilities. Funds to meet these indirect costs must be provided either by the sponsors or by tax funds. In the latter case, because such activities come into direct competition for funds with other interests within the University, careful consideration shall be given the acceptance of such contracts.

### **Section 2. Patents on Inventions**

The results of research or development carried on at the University by any of its faculty, employees, students, or other users of its facilities and having the expenses thereof paid from University funds or from funds under the control of the University, belong to the University and are to be used and controlled in ways to produce the greatest benefit to the University and to the public.

An inventor whose discovery or invention is subject to the conditions of the previous paragraph is required to disclose the discovery or invention to the University and may be required to patent the discovery or invention and to assign the patent to the University, the expenses connected therewith to be borne by the University.

This section shall not apply to questions of ownership of inventions made by members of the staff outside of their regular duties and without the use of university funds or funds under the control of the University and without the use of university facilities.

### **Section 3. Scientific and Scholarly Publications and Creative Work**

It is the policy of the University to foster the publication of scientific and scholarly periodicals which are edited, published, and subsidized by the University. ~~It is further the policy of the University that a~~ Authors and artists who are members of the academic ranks recognized in Article IX, Section 3, may copyright their works except works specifically commissioned by the University in writing and works prepared under terms of a University grant or contract which provides otherwise.

### **Section 4. Rules about Research, Patents, and Publications**

*The General Rules Concerning University Organization and Procedure* shall contain rules and regulations governing patents, copyrightable works, recordings, sponsored periodicals, and the acceptance of contracts, gifts, and grants for research, and the procedures to be followed.



Proposed changes in ~~these~~ The General Rules related to patents, copyrightable works, or recordings shall be sent to the University Senates Conference which shall move as expeditiously as practicable and, if necessary, reconcile the views of the senates and advise the president and; through the president; the Board of Trustees before such a rule change is adopted.

## **ARTICLE XIII. GENERAL PROVISIONS**

### **Section 1. Exchange Professors**

On the recommendation of the head or the chair of a department and with the approval of the dean, the chancellor, the president, and the Board of Trustees, a professor, associate professor, or assistant professor may be permitted; for a period of not more than one year; to exchange positions with a professor of approximately equal rank in another university; provided the arrangement does not involve substantial increase in the cost of instruction. The professor with whom the exchange is made shall; during the period of service to this University; be subject to the rules governing appointments and conditions of service applicable to regular members of the faculty.

### **Section 2. Privileges for Scholars from Other Universities**

The chancellors of the University may extend the privilege of working; without charge; in the various laboratories or libraries of the ~~University~~ respective campus to members of the faculties of other colleges or universities, provided that they are recognized as authorities in their respective fields and come to the ~~University~~ campus with written credentials from the faculties of their institutions; or from their governments; asking that they be received as guests.

### **Section 3. Annual Reports**

On or before the first day of September in each year, each dean and director; and the chief executive officer of each department or equivalent unit on each campus; shall make to the chancellor an annual report, treating fully the work of the college, school, institute, division, or department. Any of these officers may make reports or advance suggestions at any time and shall report to the chancellor and to the president whenever requested to do so. Officers of the ~~central university-level~~ administration of the University and chancellors shall make such reports as the president shall require.

### **Section 4. Reports and Communications**

a. Members of the academic staff have the obligation to respond to requests for information from the Board of Trustees and from administrators to whom they have responsibilities. Ordinarily, intermediary administrators should be made aware of these requests. Unless the requestor has directed otherwise, a written response shall be transmitted through and by the intermediary administrators so that they may be properly informed and may comment. If the response contains recommendations, the staff member shall be informed of all comments with respect thereto and may append additional comments to the recommendations.

b. Academic staff may initiate direct communication with any member of the administration. Ordinarily, intermediary administrators shall be kept informed about such communications so that they may be properly informed and may comment. Whenever appropriate, the academic staff member shall be informed of all comments and may respond to them.

c. Proposals which originate from academic units, as enumerated in Article VIII, shall be promptly considered and transmitted to the final authority through and by appropriate intermediaries. Academic units affected by the proposal shall be kept informed of comments, revisions, and recommendations by intermediary authorities so that they may respond to them.

d. All communications from members of the staff to be presented as part of the agenda at a meeting of the Board of Trustees or transmitted to the Board of Trustees or any committee thereof shall first be presented to the chancellor, where appropriate; and to the president for their examination, comment, and recommendation. Whenever appropriate, the staff member shall be informed of all such reactions and may respond to them.

## **Section 5. Rules of Procedure**

Unless otherwise specified by a deliberative body of the University, the latest revision of Robert's Rules of Order shall govern.

## **Section 6. Recommendations of Committees and Councils**

Whenever these *Statutes* provide for the advice or recommendations of a committee or council as a basis for, or aid to, officer or agency decision, the advice or recommendation shall be secured only through a meeting of the committee or council duly convened in group session.

## **Section 7. Reservation of Powers**

The Board of Trustees is charged by law with full responsibility for administering the University. Although the board may properly delegate authority to its duly designated officers and agencies, as indeed it has done since the establishment of the University in practical recognition of its own limitations to determine and resolve, in the first instance, complex and continuing problems of internal organization and educational policy, it cannot divest itself of the ultimate responsibility, imposed upon it by law, of governance of the University. Accordingly, the board expressly reserves to itself the power to act on its own initiative in all matters affecting the University, notwithstanding that such action may be in conflict or may not be in conformance with the provisions of these *Statutes*. However, the board will not so act upon its own initiative in any case in which senate participation and recommendation is provided for by these *Statutes* until it has first sought the advice and recommendation of the appropriate senate, or senates, the University Senates Conference and the president.

## **Section 8. Amendments**

a. *Initiation by a Senate.* Each of the senates by vote of a majority of all members present and voting at a regular or special meeting may propose amendments to these *Statutes*. No final senate action shall be taken on a proposed amendment until the next meeting following the one at which it was introduced. The secretary of a senate shall notify the secretary of the other senates and the secretary of the University Senates Conference of the text of a proposed amendment promptly after the meeting at which it is introduced. The proposed amendment shall be referred to the University Senates Conference for its consideration and transmission to the other senates for action; the conference may append its comments and recommendations.

The proposed amendment shall be placed promptly on the agenda of the other senates. If ~~the every~~ senate acts affirmatively on the proposed amendment and concurs as to its text, the conference shall send the proposed amendment to the president for transmission to the Board of Trustees; the conference may append its comments. If the senates do not agree as to the proposed amendment, the conference shall endeavor to promote agreement of the senates; where agreement cannot be effected within a reasonable period of time, the conference shall send the proposals of the senates and its own recommendations to the president for transmission to the Board of Trustees and shall simultaneously notify the senates of its action. ~~Either~~ A senate may record and send its further comments to the president for transmission to the Board of Trustees.

b. *Initiation by the Board of Trustees.* The Board of Trustees may initiate proposals to amend the *Statutes*, but the board shall not finally adopt any such proposal without first

seeking the advice of the president, the senates, and the University Senates Conference. Any proposal to amend the *Statutes* which is initiated by the Board of Trustees shall be transmitted through the president to the University Senates Conference and transmitted by the conference, with its recommendations, to the senates for consideration and advice. The proposed amendment shall be placed promptly on the agenda of each of the senates. If the senates do not agree in their advice concerning the proposed amendment, the conference shall endeavor to promote agreement; where agreement cannot be achieved within a reasonable period of time, the conference shall send the advice of the senates and its own recommendations to the president for transmission to the Board of Trustees and shall simultaneously notify the senates of its action. ~~Either~~ A senate may record and send its further comments to the president for transmission to the Board of Trustees.

c. An amendment shall become effective when approved by the Board of Trustees or at such later time as the board may specify.