

MEETING OF THE GOVERNANCE, PERSONNEL, AND ETHICS COMMITTEE
OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS

NOVEMBER 14, 2018

This meeting of the Governance, Personnel, and Ethics Committee of the Board of Trustees of the University of Illinois was held in Michèle M. Thompson Rooms B and C, UIC Student Center West, 828 South Wolcott Avenue, Chicago, Illinois, November 14, 2018, beginning at 2:06 p.m.

Trustee Patrick J. Fitzgerald, chair of the committee, convened the meeting and asked the secretary to call the roll. The following members of the committee were present: Mr. Patrick J. Fitzgerald; Dr. Timothy N. Koritz; Mr. Edward L. McMillan; Mr. Sanford E. Perl; Ms. Jill B. Smart; Mr. Trayshawn M. W. Mitchell, student trustee, Urbana; and Mr. Darius M. Newsome, student trustee, Chicago. Dr. Stuart C. King and Ms. Shaina Humphrey, voting student trustee, Springfield, attended the meeting as guests.

President Timothy L. Killeen was in attendance. Also present were Dr. Barbara J. Wilson, executive vice president and vice president for academic affairs; Dr. Robert J. Jones, chancellor, University of Illinois at Urbana-Champaign, and vice president, University of Illinois; Dr. Michael D. Amiridis, chancellor, University of Illinois at Chicago, and vice president, University of Illinois; Dr. Susan J. Koch, chancellor, University of Illinois at Springfield, and vice president, University of Illinois;

Dr. Avijit Ghosh, comptroller (and vice president/chief financial officer); Dr. Edward Seidel, vice president for economic development and innovation; Mr. Thomas R. Bearrows, University counsel; Ms. Dedra M. Williams, secretary of the Board of Trustees and of the University; and Mr. Thomas P. Hardy, executive director for University relations. President Killeen introduced Dr. Nicholas C. Burbules, chair of the University Senates Conference's Statutes and Governance Committee. Attached is a listing of other staff members in attendance at this meeting.

Mr. Fitzgerald asked if there were any comments or questions regarding the minutes from the meeting that was held on September 26, 2018, and there were none. He requested a motion to approve the minutes, and on motion of Dr. Koritz, seconded by Mr. McMillan, the minutes were approved. There were no "nay" votes.

President Killeen acknowledged that the terms for Mr. Fitzgerald and Dr. Koritz are ending and thanked them both for their service to the University and the committee. He also thanked Mr. Fitzgerald for serving as chair to the committee.

REVIEW OF RECOMMENDED BOARD ITEMS
FOR THE MEETING OF THE BOARD OF TRUSTEES
OF THE UNIVERSITY OF ILLINOIS ON NOVEMBER 15, 2018

Mr. Fitzgerald introduced the recommended items within the purview of this committee on the Board's agenda at its meeting on November 15, 2018. All recommended items discussed at the meeting are on file with the secretary. Mr. Fitzgerald reminded the

committee that members are not voting on the items today and are reviewing them in advance of the following day's meeting.

Appointments to the Faculty, Administrative/Professional Staff,
and Intercollegiate Athletic Staff

Mr. Fitzgerald asked Ms. Williams to comment on the recommended appointments to the faculty, administrative/professional staff, and intercollegiate athletic staff. Ms. Williams explained that the appointments recommended in this item are thoroughly reviewed at the appropriate levels within the college, department, and unit, and she asked that members of the committee contact her with any questions. Mr. Fitzgerald remarked on the thorough process undertaken at the University prior to recommending these appointments.

Approve Amendment 2018-1 to the 403(b) Plan Document

Mr. Fitzgerald asked Ms. Jami M. Painter, interim associate vice president for human resources, to report on the recommendation to approve an amendment to the 403(b) plan document. Ms. Painter said this is a minor change that is needed to comply with sections of the Bipartisan Budget Act of 2018 and deals with hardship withdrawals. She told the committee that this recommendation is being made after consultation with an external law firm and University counsel.

Appoint Interim Vice Chancellor for Innovation, Chicago

Next, Mr. Fitzgerald asked Dr. Amiridis to discuss the recommendation to appoint Dr. Anthony (T.J.) Augustine as interim vice chancellor for innovation, Chicago. Dr. Amiridis said that Dr. Augustine formerly served as associate vice president for economic development and innovation at the University, and Dr. Amiridis explained this is a new office that will provide leadership and focus to areas pertaining to innovation and commercialization. He reviewed the university's strong record of research, patents, and royalties and said this senior position will create and support a culture of innovation and encourage commercialization and economic development endeavors, noting that the Chicago Senate unanimously endorsed the creation of the position. Dr. Amiridis reviewed the areas of oversight for this position and said it will be funded by revenue from royalties. Dr. Amiridis then described Dr. Augustine's background, education, and experience, stating he is perfect for the job. Dr. Amiridis told the committee Dr. Augustine will serve a three-year appointment, which will be followed by a national search.

Members of the committee expressed support for this newly created position and for the appointment of Dr. Augustine, and Mr. McMillan said he is pleased to see a focus on technology and commercialization, noting he had many questions that were previously answered. President Killeen recognized Mr. McMillan's long-term leadership in this area and agreed that Dr. Augustine is ideal for the position. President Killeen added that Dr. Augustine was instrumental in the establishment of the Illinois

Innovation Network when he served as associate vice president for economic development and innovation at the University.

Appoint Members to the Athletic Board, Urbana

Mr. Fitzgerald asked Dr. Jones to discuss the recommendation to appoint members to the Athletic Board at Urbana. Dr. Jones said that Mr. Anthony Sullers, a student at Urbana, and Dr. Brenda Coble Lindsey, professor in the School of Social Work at Urbana, are being proposed for appointment to the Athletic Board of the University of Illinois at Urbana-Champaign. Dr. Jones said these appointments are needed to replace one student and one faculty Athletic Board member who have recently resigned. Dr. Jones described the role of the Athletic Board and gave some background on the student and faculty member being recommended as members. Mr. McMillan asked if Dr. Lindsey has had any previous experience with athletics, and Dr. Jones said that she does not have prior experience with athletics but does have expertise in mental health, which is a growing concern throughout the university, including athletics. Mr. Fitzgerald reminded the committee that the Athletic Board is comprised of 19 members.

PRESENTATIONS

Legislative Update

Next, Mr. Fitzgerald asked Ms. Jennifer M. Creasey, senior director of State relations, Office of Governmental Relations, to give a legislative update. Ms. Creasey discussed

the outcomes of the recent election at the State level. She reported that the Office of Governmental Relations will be working to build relationships with new members of the legislature and referred to upcoming meetings at which they will relay the impact of the University on the State. Ms. Creasey said they will be hosting events to promote engagement with representatives and will be working with Illinois Connection. She commented on issues relevant to the fall veto session and highlighted an event that will be attended by both the UI Caucus and the Northern Illinois University Caucus that will focus on the Illinois Innovation Network and the Discovery Partners Institute. Ms. Creasey emphasized a legislative agenda that works to build higher education and the economy and said that they will relay the message that higher education is the solution to the State's growth.

Next, Ms. Melissa S. Haas, associate director of federal relations, reported on federal elections and new members of the Illinois delegation. She said it is likely that some members of the Illinois delegation will chair committees relevant to the University. Ms. Haas discussed Higher Education Act proposals and spending bills, and she told the committee that the Office of Governmental Relations is planning for early engagements in Illinois and Washington, D.C. She listed upcoming events and said the Office of Governmental Relations will be coordinating with Illinois Connection and working to identify federal priorities for the coming year.

Mr. Fitzgerald thanked Ms. Creasey and Ms. Haas for their report.

OLD BUSINESS

There was no business presented under this aegis.

NEW BUSINESS

Mr. Fitzgerald asked if there was any new business to discuss, and there was none. He announced that the next meeting of the Governance, Personnel, and Ethics Committee is scheduled for January 30, 2019, at 2:00 p.m.

MOTION FOR EXECUTIVE SESSION

Mr. Fitzgerald requested a motion for an executive session to consider discussion of minutes of meetings lawfully closed under the Open Meetings Act. On motion of Ms. Smart, seconded by Dr. Koritz, this motion was approved. There were no “nay” votes.

EXECUTIVE SESSION

Mr. Fitzgerald convened the executive session at 2:39 p.m. All committee members were present. The following were also in attendance: Dr. Stuart C. King; Ms. Shaina Humphrey, voting student trustee, Springfield; President Timothy L. Killeen; Mr. Thomas R. Bearrows, University counsel; Ms. Dedra M. Williams, secretary of the Board of Trustees and of the University; Dr. Barbara J. Wilson, executive vice president and vice president for academic affairs.

Discussion of Minutes of Meetings Lawfully Closed Under the Open Meetings Act

Mr. Fitzgerald asked Ms. Williams to discuss the recommendation regarding the disclosure of minutes of executive sessions of the Governance, Personnel, and Ethics Committee held between September 2010 and May 2018. Ms. Williams outlined the requirement within the Open Meetings Act for public bodies to review minutes, at least twice annually, for purposes of protection of public interest and in the interest of protection of any individual, and to consider whether a need for these materials to be held from release continues. She stated that she has undertaken this review in conjunction with Mr. Bearrows and recommend the release of minutes from March 13, 2012, and November 15, 2017. She described the subject matter of these minutes and said that no other minutes are proposed for release at this time.

EXECUTIVE SESSION ADJOURNED

There being no further business, the executive session adjourned at 2:45 p.m.

AGENDA

At 2:45 p.m., the open session resumed. Mr. Fitzgerald stated that there was one agenda item requiring action by the committee, and Ms. Williams read aloud the title of the item, "Disclose Certain Minutes of Executive Sessions Pursuant to the Open Meetings Act." The committee agreed that one roll call vote would be taken and considered the vote on the agenda item as listed below.

Disclose Certain Minutes of Executive Sessions
Pursuant to Open Meetings Act

(1) Under the Open Meetings Act passed by the General Assembly, public bodies subject to the Act that conduct business under exceptions specified in the Act must, at least every six months, determine whether the need for confidentiality still exists with respect to each item considered under such exception.

An item from September 2010 through May 2018 that has been heretofore unreleased are recommended for release at this time.

The University counsel and the secretary of the Board, having consulted with appropriate University officers, recommends that the following matter considered in executive session for the time period above be made available to the public at this time.

The committee action recommended in this item complies in all material respects with applicable State and federal laws, University of Illinois *Statutes*, *The General Rules Concerning University Organization and Procedure*, and Board of Trustees policies and directives.

The president of the University concurs.

Executive Session Minutes Released to Public

May 16, 2018, Governance, Personnel, and Ethics Committee

Mr. McMillan convened the executive session at 2:23 p.m. All committee members were present. Mr. Fitzgerald attended via telephone. The following were also in attendance: President Timothy L. Killeen; Mr. Thomas R. Bearrows, University counsel; and Ms. Dedra M. Williams, secretary of the Board of Trustees and of the University.

Discussion of Minutes of Meetings Lawfully Closed Under the Open Meetings Act

Mr. McMillan asked Ms. Williams to discuss the recommendation regarding the disclosure of minutes of executive sessions of the Governance, Personnel, and Ethics Committee held between September 2010 and November 2017. Ms. Williams outlined the requirement within the Open Meetings Act for public bodies to review minutes, at least twice annually, for purposes of protection of public interest and in the interest of protection of any individual, and to consider whether a need for these materials to be held from release continues. She stated that she has undertaken this review in conjunction with Mr. Bearrows and recommend the release of minutes from March 13, 2012, and November 15, 2017. She described the subject matter of these minutes and said that no other minutes are proposed for release at this time.

On motion of Dr. Koritz, seconded by Ms. Smart, this recommendation was approved by the following vote: Aye, Mr. Fitzgerald, Dr. Koritz, Mr. McMillan, Mr. Perl, Ms. Smart, Mr. Mitchell, Mr. Newsome; No, none.

MEETING ADJOURNED

On motion of Mr. McMillan, seconded by Ms. Smart, the meeting adjourned at 2:45 p.m.

There were no “nay” votes.

Respectfully submitted,

DEDRA M. WILLIAMS
Secretary

PATRICK J. FITZGERALD
Chair

EILEEN B. CABLE
Special Assistant to the Secretary