

MEETING OF THE GOVERNANCE, PERSONNEL, AND ETHICS COMMITTEE
OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS

MARCH 13, 2019

This meeting of the Governance, Personnel, and Ethics Committee of the Board of Trustees of the University of Illinois was held in Illini Rooms B and C, Illini Union, 1401 West Green Street, Urbana, Illinois, on Wednesday, March 13, 2019, beginning at 2:01 p.m.

Trustee Ricardo Estrada, chair of the committee, convened the meeting and asked the secretary to call the roll. The following members of the committee were present: Mr. Kareem Dale; Mr. Ricardo Estrada; Ms. Patricia Brown Holmes;¹ Ms. Jill B. Smart; Mr. Trayshawn M. W. Mitchell, student trustee, Urbana; and Mr. Darius M. Newsome, student trustee, Chicago. Mr. Edward L. McMillan was absent. Mr. Donald J. Edwards; Ms. Naomi D. Jakobsson; Dr. Stuart C. King; and Ms. Shaina Humphrey, voting student trustee, Springfield, attended the meeting as guests.

President Timothy L. Killeen was in attendance. Also present were Dr. Barbara J. Wilson, executive vice president and vice president for academic affairs; Dr. Robert J. Jones, chancellor, University of Illinois at Urbana-Champaign, and vice president, University of Illinois; Dr. Michael D. Amiridis, chancellor, University of Illinois at Chicago, and vice president, University of Illinois; Dr. Susan J. Koch,

¹ Ms. Holmes arrived at 2:02 p.m.

chancellor, University of Illinois at Springfield, and vice president, University of Illinois; Dr. Avijit Ghosh, comptroller (and vice president/chief financial officer; Mr. Thomas R. Bearrows, University counsel; Ms. Dedra M. Williams, secretary of the Board of Trustees and of the University; and Mr. Thomas P. Hardy, executive director for University relations. President Killeen introduced Dr. Nicholas C. Burbules, chair of the University Senates Conference's Statutes and Governance Committee. Attached is a listing of other staff members in attendance at this meeting.

Mr. Estrada welcomed everyone to the meeting and thanked the trustees who are giving their time to serve as members of the committee. He then asked if there were any comments or questions regarding the minutes from the meeting that was held on November 14, 2018, and there were none. Mr. Estrada requested a motion to approve the minutes, and on motion of Ms. Smart, seconded by Ms. Holmes, the minutes were approved. There were no "nay" votes.

REVIEW OF RECOMMENDED BOARD ITEMS
FOR THE MEETING OF THE BOARD OF TRUSTEES
OF THE UNIVERSITY OF ILLINOIS ON MARCH 14, 2019

Mr. Estrada introduced the recommended items within the purview of this committee on the Board's agenda at its meeting on March 14, 2019. All recommended items discussed at the meeting are on file with the secretary.

Appointments to the Faculty, Administrative/Professional Staff,
and Intercollegiate Athletic Staff

Mr. Estrada asked Ms. Williams to comment on the recommended appointments to the faculty, administrative/professional staff, and intercollegiate athletic staff. Ms. Williams explained that the appointments recommended in this item are thoroughly reviewed at the appropriate levels within the college, department, and unit, and she asked that members of the committee contact her with any questions.

Approve New University of Illinois System International Travel Safety Policy

Mr. Estrada asked Dr. Wilson to discuss the recommendation to approve the new University of Illinois system international travel safety policy. Dr. Wilson gave some background on the rationale for creating the policy. She explained that the system-wide executive risk management council recognized travel risks and gave a presentation on risk analysis to the Board in July 2018. She said that at that time, the Board recommended the creation of a system-wide policy.

Dr. Wilson told the committee that the proposed policy is robust and said its creation is the result of a collaborative effort of enterprise risk management and senior international officers at each university. She outlined aspects of the proposed policy and said the estimated cost is less than expected. Brief discussion followed, and President Killeen said this is an example of the effectiveness of enterprise risk management.

Approve Minutes of the Board of Trustees as the Sole Member of Prairieland Energy, Inc., Meeting

Mr. Estrada then asked Mr. Michael B. Bass, senior associate vice president and deputy comptroller, to discuss the recommendation to approve the minutes of the Board of Trustees as the sole member of Prairieland Energy, Inc., meeting. Mr. Bass explained that the Board will be meeting as the sole member of Prairieland Energy, Inc., the following day, and that the Board will vote on the recommendation to approve the minutes from the meeting held on September 27, 2018.

Amend the Prairieland Energy, Inc., Bylaws

Mr. Bass then reported on the recommendation to amend the Prairieland Energy, Inc., bylaws. He said that a review conducted by the president of Prairieland Energy, Inc., identified the need for amendments to its bylaws and that the Prairieland Energy, Inc., board is in agreement. Mr. Bass outlined the proposed areas of change and said these are needed to reflect current information and practices.

There was no objection to the items listed above going forward to the full Board for voting.

PRESENTATIONS

Policy on Background Checks Annual Report

Mr. Estrada asked Ms. Jami M. Painter, associate vice president and chief human resources officer, to give an annual report on the policy on background checks (materials on file with the secretary). Ms. Painter gave some background on the policy, which was

approved by the Board at its September 2015 meeting. She reported on the number of posted job vacancies; faculty and academic professional applicants and civil service exam requests; number of background checks conducted; and background check costs. Ms. Painter showed that 0.2 percent of offers were withdrawn based on the background check review process and said the average turnaround time is 2.8 days. She told the committee that the policy has not had an adverse impact on recruitment and mitigates risk to the University.

University Ethics and Compliance Office Calendar 2018 Annual Report

Mr. Estrada then asked Ms. Donna S. McNeely, executive director of ethics and compliance, to give the University Ethics and Compliance annual report (materials on file with the secretary). Ms. McNeely presented an organizational chart for the University Ethics and Compliance Office and said they work to provide guidance and promote ethical culture and compliance, noting a vast customer base. She showed statistics regarding the processing of statement of economic interests forms and supplemental statement of economic interests forms; training for employees, trustees, and students; responses to gift acceptance review requests; and referrals, reports, and requests for documents from the Illinois Office of the Executive Inspector General (OEIG). Ms. McNeely discussed sexual misconduct prevention and crime reporting and emphasized the importance of the Clery report. She provided information about the reconciliation of the Clery report and State reports and explained differences between federal and State

reporting of sexual assaults, stating that the reconciliation of these reports is based on an understanding of nuances and definitions of each. Ms. McNeely then reported on ethics training and said the office develops annual training programs and is responsible for administration, monitoring, and reporting of all ethics training. Ms. McNeely also discussed the response to European Union General Data Protection Regulations (GDPR), stating that the University was one of the first institutions to have a process in place and is now an example of best practice. Next, Ms. McNeely described the response to proposed Title IX regulations, which she said includes the facilitation of weekly conference calls and the creation of a letter providing comments from the University to the Department of Education. She told the committee that the Office of Ethics and Compliance also administers an ethics phone line and email address and responds to complaints and requests for advice, and she explained that the office also functions as a liaison to the OEIG to support their investigations.

Brief discussion followed. Mr. Estrada congratulated Ms. McNeely on the creation of GDPR best practices and inquired about a media story on underreporting of sexual assault and crime. Ms. McNeely explained that the Clery report is federal and has different definitions than State reporting. Dr. Amiridis also commented on the physical boundaries of the campus used in reporting.

Legislative Update

At 2:43 p.m., Mr. Estrada asked Ms. Jennifer M. Creasey, senior director of State relations, and Mr. Paul Weinberger, director of federal relations, to give a legislative update. Ms. Creasey reported on State relations and said that 5,000 bills have been introduced this session, and she told the committee the Office of Governmental Relations reviews new legislation to gauge its impact on the University. She commented on an aggressive legislative agenda that includes the University of Illinois Investment, Performance and Accountability Commitment (IPAC), which is the performance-based funding plan for public education; and Illinois Prosper, a legislative initiative that would provide significant tax breaks to a new or expanding business that locates near an Illinois college or university and partners with that institution on research and educational programs. Ms. Creasey also discussed Governor J. B. Pritzker's proposed budget for 2020, which includes a 4.5 percent increase in the University's appropriation, increased MAP funding, and a reauthorization of capital funding for the Discovery Partners Institute and the Illinois Innovation Network. Ms. Creasey referred to the University's capital request and appropriation request and noted some upcoming events, including a University of Illinois advocacy day on April 3, 2019, at the State capitol.

Mr. Weinberger then reported on federal relations and discussed the Illinois Congressional delegation. He said that half of the 20 members are chairs or major members of committees. He referred to a letter sent from President Killeen to members of the delegation that outlined the priorities of the University of Illinois system, including the University's appropriation; the reauthorization of the Higher Education Act; and

issues surrounding immigration. Mr. Weinberger listed several visits and events that were coordinated by the Office of Governmental Relations.

Mr. Estrada thanked them for the update and remarked on the University's role as an engine of economic growth.

Freedom of Information Act Annual Report

Mr. Estrada referred to the Freedom of Information Act (FOIA) annual report that was distributed to members of the committee. He asked Mr. Hardy if he would like to comment. Mr. Hardy introduced Mr. Matthew Rogina, assistant director of FOIA administration, and said he is part of the team responsible for handling FOIA requests. Mr. Hardy briefly summarized the annual FOIA report that had been distributed to members of the committee and provided some information about the requests. He said 1,061 FOIA requests were received by the Office for University Relations in 2018, and that the number of requests received last year were higher than previous years. Mr. Hardy discussed the FOIA process and exemptions, as well as type of documents that are requested. He told the committee that the Office for University Relations is also responsible for FOIA training and orientations.

OLD BUSINESS

There was no business presented under this aegis.

NEW BUSINESS

Mr. Estrada asked if there was any new business to discuss, and there was none. He announced that the next meeting of the Governance, Personnel, and Ethics Committee is scheduled for May 15, 2019, at 2:00 p.m.

MEETING ADJOURNED

On motion of Ms. Holmes, seconded by Ms. Smart, the meeting adjourned at 3:00 p.m.

There were no “nay” votes.

Respectfully submitted,

DEDRA M. WILLIAMS
Secretary

RICARDO ESTRADA
Chair

EILEEN B. CABLE
Special Assistant to the Secretary