

MEETING OF THE GOVERNANCE, PERSONNEL, AND ETHICS COMMITTEE
OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS

JULY 22, 2020

This meeting of the Governance, Personnel, and Ethics Committee of the Board of Trustees of the University of Illinois was held via teleconference¹ on Wednesday, July 22, 2020, beginning at 2:01 p.m.

Trustee Mr. Ricardo Estrada, chair of the committee, convened the meeting and asked the secretary to call the roll. The following members of the committee were present: Mr. Kareem Dale; Mr. Ricardo Estrada; Ms. Patricia Brown Holmes;² Mr. Edward L. McMillan; Mr. Austin Verthein, student trustee, Springfield. Ms. Jill B. Smart was absent. Mr. Donald J. Edwards, chair of the Board of Trustees, attended the meeting. Ms. Naomi D. Jakobsson and Ms. Jocelyn Bravo, voting student trustee, Chicago, attended the meeting as guests.

Also present were President Timothy L. Killeen; Dr. Barbara J. Wilson, executive vice president and vice president for academic affairs; Dr. Robert J. Jones, chancellor, University of Illinois at Urbana-Champaign, and vice president, University of Illinois; Dr. Michael D. Amiridis, chancellor, University of Illinois at Chicago, and vice president, University of Illinois; Dr. Karen M. Whitney, interim chancellor, University of Illinois at Springfield, and vice president, University of Illinois; Dr. Avijit Ghosh,

¹ Per Governor J. B. Pritzker's Executive Order 2020-44.

² Ms. Holmes arrived at 2:04 p.m.

comptroller (and vice president/chief financial officer); Dr. Joseph T. Walsh Jr., interim vice president for economic development and innovation; Mr. Thomas R. Bearrows, University counsel; Mr. Thomas P. Hardy, executive director for University relations; and Mr. Gregory J. Knott, secretary of the Board of Trustees and of the University. President Killeen introduced Dr. Nicholas C. Burbules, Gutgsell Professor of Education Policy, Organization and Leadership, Urbana, and chair of the University Senates Conference Statutes and Governance Committee. Attached is a listing of other staff members and some members of the media in attendance at this meeting.

Mr. Estrada welcomed everyone to the meeting and asked if there were any comments or questions regarding the minutes from the meeting that was held on May 20, 2020, and there were none. Mr. Estrada requested a motion to approve the minutes, and on motion of Mr. McMillan, seconded by Mr. Dale, the minutes were approved by the following vote: Aye, Mr. Dale, Mr. Estrada, Mr. McMillan, Mr. Verthein; No, none; Absent, Ms. Holmes, Ms. Smart.

REVIEW OF RECOMMENDED BOARD ITEMS
FOR THE MEETING OF THE BOARD OF TRUSTEES
OF THE UNIVERSITY OF ILLINOIS ON JULY 23, 2020

Mr. Estrada introduced the recommended items within the purview of this committee on the Board's agenda at its meeting on July 23, 2020. All recommended items discussed at the meeting are on file with the secretary.

Appointments to the Faculty, Administrative/Professional Staff,
and Intercollegiate Athletic Staff

Mr. Estrada asked Mr. Knott to comment on the recommended appointments to the faculty, administrative/professional staff, and intercollegiate athletic staff. Mr. Knott explained that the appointments recommended in this item are thoroughly reviewed at the appropriate levels within the college, department, and unit, and he asked that members of the committee contact him with any questions.

Approve Amendment to the 403(b) Plan Document

Mr. Estrada then asked Ms. Jami M. Painter, associate vice president and chief human resources officer, to discuss the recommendation to approve an amendment to the 403(b) plan document. Ms. Painter gave an overview of the proposed changes to the plan document for the *University of Illinois Supplemental 403(b) Retirement Plan*, which she said are in accordance with the Bipartisan Budget Act of 2018; Setting Every Community Up for Retirement Enhancement (SECURE) Act of 2019; Coronavirus Aid, Relief and Economic Security (CARES) Act of 2020; and IRS Notice 2020-23. Mr. Dale inquired about the proposed age change from 70 to 72, and Ms. Painter said this is in accordance with the SECURE Act of 2019. Mr. Estrada asked how this compares to similar programs at other Big Ten institutions, and Ms. Painter said it is comparable, noting that most others do not offer this type of supplemental plan.

PRESENTATION

Enterprise Risk Management Annual Report

At 2:09 p.m., Mr. Estrada asked Ms. Joda Morton, director for Enterprise Risk Management, to give the Enterprise Risk Management Annual Report. Ms. Morton stated that Enterprise Risk Management (ERM) is a method for recognizing and managing high-level risk. She began her presentation (materials on file with the secretary) by describing the ongoing assessment of risks and said that ERM compliments and enhances risk mitigation efforts throughout the University. Ms. Morton told the committee that while other system offices are involved in managing risks, the focus of ERM is different. She described the members of the System Executive Risk Management Council and reported on the Fiscal Year 2020 key risk focus areas. Ms. Morton discussed the annual risk evaluation and survey, and she described risks related to the COVID-19 pandemic. She shared the Fiscal Year 2020 risk profile and theme perceptions, and she told the committee that the emerging risk raised most often by all stakeholders was impacts from COVID-19. Ms. Morton concluded her presentation by discussing areas of focus for Fiscal Year 2021.

Mr. Estrada asked about the size of the ERM team, and Ms. Morton explained that while the ERM process involves many people throughout the University, she is the only member of the Office of Enterprise Risk Management. Discussion followed regarding areas of emerging risk, including those related to the COVID-19

pandemic. President Killeen added that Ms. Morton collates extensive information regarding risk to be discussed at a high level. He said some areas of risk, such as those resulting from the pandemic or racial equity, are identified and addressed outside the ERM process. Mr. Estrada thanked Ms. Morton for the presentation.

Legislative Update

Next, Mr. Estrada asked Ms. Jennifer M. Creasey, senior director of State relations, and Mr. Paul Weinberger, director of federal relations, to give a legislative update. Ms. Creasey discussed the mission of the University of Illinois system at work in its response to the coronavirus pandemic and said that the Office of Governmental Relations (OGR) is working to ensure decision-makers are aware of its ongoing efforts and contributions. She said communications from OGR have focused on sharing information and the University's outreach to help the State. Ms. Creasey referred to calls to caucus members and op-eds that were written by members of the Student Advocacy Coalition that appeared in newspapers across the state. She also highlighted the newly created Illini Science Policy Fellowship, which matches graduates with sponsor-hosts in public agencies or the Illinois Legislature. Ms. Creasey told the committee that the Illinois Legislative Black Caucus and the Illinois Legislative Latino Caucus are each hosting one fellow.

Mr. Weinberger gave the committee an update on the Health and Economic Recovery Omnibus Emergency Solutions (HEROES) Act, which was not passed by the

Senate, and said a new bill is being discussed. He referred to advocacy efforts to request financial assistance and funding to help address some of the University's costs resulting from the coronavirus pandemic, and he gave an update on immigration issues that impact international students. Brief discussion followed regarding legislation that would impact compensation for the use of student-athletes' names, images, and likenesses. Mr. Estrada thanked Ms. Creasey and Mr. Weinberger for the report.

OLD BUSINESS

There was no business presented under this aegis.

NEW BUSINESS

Mr. Estrada asked if there was any new business to discuss, and there was none. He announced that the next meeting of the Governance, Personnel, and Ethics Committee is scheduled for September 9, 2020, at 2:00 p.m. at a location to be determined.

MEETING ADJOURNED

On motion of Mr. McMillan, seconded by Ms. Holmes, the meeting adjourned at 2:40 p.m. by the following vote: Aye, Mr. Estrada, Ms. Holmes, Mr. McMillan. Mr. Verthein; No, none; Absent, Ms. Smart. (Mr. Dale was recorded as not voting.)

Respectfully submitted,

GREGORY J. KNOTT
Secretary

RICARDO ESTRADA
Chair

EILEEN B. CABLE
Special Assistant to the Secretary