

MEETING OF THE GOVERNANCE, PERSONNEL, AND ETHICS COMMITTEE
OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS

JULY 21, 2021

This meeting of the Governance, Personnel, and Ethics Committee of the Board of Trustees of the University of Illinois was held in Isadore and Sadie Dorin Forum, Rooms D, E, and F, 725 West Roosevelt Road, Chicago, Illinois, on Wednesday, July 21, 2021, beginning at 2:06 p.m.

Trustee Ricardo Estrada, chair of the committee, convened the meeting and asked the secretary to call the roll. The following members of the committee were present: Mr. Kareem Dale; Mr. Ricardo Estrada; Ms. Patricia Brown Holmes; Ms. Naomi D. Jakobsson; Dr. Stuart C. King; and Ms. Mariama Mwilambwe, student trustee, Urbana. Ms. Tami Craig Schilling; Mr. Lavleen Mal,¹ student trustee, Chicago; and Mr. Austin Verthein, voting student trustee, Springfield, attended the meeting as guests.

Also present were President Timothy L. Killeen; Dr. Avijit Ghosh, interim executive vice president and vice president for academic affairs; Dr. Robert J. Jones, chancellor, University of Illinois Urbana-Champaign, and vice president, University of Illinois System; Dr. Michael D. Amiridis, chancellor, University of Illinois Chicago, and vice president, University of Illinois System; Dr. Karen M. Whitney, interim chancellor, University of Illinois Springfield, and vice president, University of Illinois System; Dr.

¹ Mr. Mal arrived at 2:48 p.m.

Paul N. Ellinger, interim comptroller (and vice president/chief financial officer); Mr. Thomas R. Bearrows, University counsel; Dr. Joseph T. Walsh Jr., interim vice president for economic development and innovation; Ms. Adrienne Nazon, vice president of external relations and communications; and Mr. Gregory J. Knott, secretary of the Board of Trustees and of the University. Attached is a listing of other staff members and some members of the media in attendance at this meeting.

Mr. Estrada welcomed everyone to the meeting and asked if there were any comments or questions regarding the minutes from the meeting that was held on May 19, 2021, and there were none. Mr. Estrada requested a motion to approve the minutes, and on motion of Ms. Jakobsson, seconded by Ms. Holmes, the minutes were approved. There were no “nay” votes.

REVIEW OF RECOMMENDED BOARD ITEMS
FOR THE MEETING OF THE BOARD OF TRUSTEES
OF THE UNIVERSITY OF ILLINOIS ON JULY 22, 2021

Mr. Estrada introduced the recommended items within the purview of this committee on the Board’s agenda at its meeting on July 22, 2021. All recommended items discussed at the meeting are on file with the secretary.

Appointments to the Faculty, Administrative/Professional Staff,
and Intercollegiate Athletic Staff

Mr. Estrada asked Mr. Knott to comment on the recommended appointments to the faculty, administrative/professional staff, and intercollegiate athletic staff. Mr. Knott

explained that the appointments recommended in this item are thoroughly reviewed at the appropriate levels within the college, department, and unit, and he asked that members of the committee contact him with any questions.

Appoint Vice Chancellor for Strategic Marketing and Communications, Chicago

Dr. Amiridis discussed the recommendation to appoint Dr. Chandra Harris-McCray, presently founding executive director of advancement marketing and communications at the University of Colorado Boulder, as vice chancellor for strategic marketing and communications. He said this recommendation was forwarded following a national search based on the advice of a university-wide search committee, and he described Dr. Harris-McCray's background and experience.

Appoint Member to the Board of Managers of Illinois VENTURES, LLC

Next, Mr. Estrada asked Dr. Walsh to report on the recommendation to appoint managers to the board of managers of Illinois VENTURES, LLC. Dr. Walsh described the composition of the board and said it is recommended that Dr. Ellinger serve as a non-voting *ex officio* member in his capacity as the interim vice president/chief financial officer and comptroller.

Establish the University of Illinois Liaison Office in New Delhi, India, with a Branch Office in Bengaluru, India; and Appoint Authorized Representative of the Board of Trustees of University of Illinois for the University of Illinois Liaison Office in New Delhi, India

Mr. Estrada asked Mr. Pradeep Khanna, associate vice chancellor for corporate relations, Urbana, to discuss the recommendations to establish the University of Illinois Liaison Office in New Delhi, India, with a branch office in Bengaluru, India, and to appoint an authorized representative of the Board of Trustees of the University of Illinois for the University of Illinois Liaison Office in New Delhi, India. Mr. Khanna discussed opportunities for engagement and growth internationally, particularly in India and Mexico, and he gave examples of the history of partnerships between the universities and India. He highlighted the expansion of the Indian economy and successful alumni that live in India. Mr. Khanna said the Liaison Office will facilitate the recruitment of graduate and undergraduate students, and he said that the impact of the office is maximized with the establishment of the branch office in Bengaluru, where many start-ups by alumni reside, along with many international companies. He said that a legal authorized representative of the Board of Trustees that is a resident of India is required to establish the Liaison Office, and that Dr. Vivek Damle, the proposed authorized representative, lives in Mumbai, India, and is an alumnus of the University. Mr. Khanna noted that this allows the University to have a presence in three locations in India. President Killeen remarked on the benefits of the establishment of the Liaison Office in India.

Authorize Formation of Wholly Foreign Owned Enterprise
to Promote University Outreach and Activities in Shanghai, China

Next, Mr. Estrada asked Mr. Kraig A. Wagenecht, senior director of external research partnerships, Urbana, and secretary, Illinois Global Gateway, LLC, to provide information on the recommendation to authorize the formation of a wholly foreign owned enterprise to promote University outreach and activities in Shanghai, China. Mr. Wagenecht gave some background on the proposal and referred to the relationship between China and the University, particularly the University of Illinois Urbana-Champaign. He highlighted the number of students from China attending the university and told the committee that many peer institutions have a presence in Shanghai. Mr. Wagenecht noted that the alumni population in China is growing, and he said that a physical presence would increase alumni engagement. He explained that this item recommends the authorization of the Illinois Global Gateway, LLC, a University-Related Organization, to form a wholly foreign owned enterprise and to establish a physical presence in Shanghai, China.

Ms. Jakobsson commented on the long history between the University and China and said this is a good step for the University. Dr. Jones remarked that the current office in Shanghai does not adequately represent the relationship between the University and China and is not reflective of a University space. He noted that all three universities will benefit from the establishment of a physical presence in China.

Next, Mr. Estrada asked Ms. Jami M. Painter, associate vice president and chief human resources officer, to discuss the recommendation to approve the resolution to adopt the SURS 457(b) Deferred Compensation Plan. Ms. Painter explained that SURS requires all eligible SURS-covered employers, including the University System, to adopt the SURS Deferred Compensation Plan through approval of the Resolution to Adopt Plan and completion of the Employer Participation Agreement, and she said the resolution has been reviewed by University counsel.

There were no objections to the items listed above being forwarded to the full Board for approval.

PRESENTATIONS

Enterprise Risk Management: Annual Report

At 2:30 p.m., Mr. Estrada asked Ms. Joda Morton, director for enterprise risk management, to present on the Enterprise Risk Management (ERM) Annual Report (materials on file with the secretary). Ms. Morton described the ongoing assessment of risk and discussed the purpose of ERM, stating that it compliments and enhances risk mitigation efforts across the University System. She said ERM is a continual process. Ms. Morton told the committee that collaborations across the System are important, and she listed the members of the System Executive Risk Management Council, which she said meets three times per year to discuss key areas of risk. She discussed the Fiscal Year

2021 key risk focus areas and referred to an annual risk evaluation and survey that involved 170 risk stakeholders.

Ms. Morton compared risk score trends in Fiscal Year 2020 and Fiscal Year 2021 and listed areas identified as being very high risk and high risk. She showed risks that trended down, and she presented the highest concerns moving forward from COVID-19. Ms. Morton provided information on the perceived priority strategic objectives and risks, as well as emerging risks, and she listed the upcoming areas of ERM focus. She concluded her presentation by referring to the benefits of the ERM process.

Mr. Dale and Ms. Morton briefly discussed the trends related to risks involving information technology and sexual misconduct. Mr. Estrada commented on the role of ERM, and Ms. Morton provided additional information about the risk survey and perception of risk. President Killeen noted that the ERM process includes a wide area of risk, and he said that areas of focus are determined based in part on the survey and areas in which improvements can be made. Additional discussion followed, and Mr. Dale stated that due to the importance of risk, an annual report to the committee is not sufficient. He added that he is not concerned about performance but rather that sufficient Board oversight is being provided. Mr. Dale suggested that additional reports or the creation of a subcommittee would be helpful. President Killeen said that he will provide a recommendation soon.

Legislative Update

Next, Mr. Estrada asked Ms. Jennifer M. Creasey, senior director of State relations, and Mr. Paul Weinberger, director of federal relations, to give a legislative update. Ms. Creasey gave a brief overview of the conclusion of the legislative session and referred to a session report and a report from the Office of Governmental Relations (OGR) that was distributed to trustees. She briefly discussed plans for next year and emphasized the important role played by Illinois Connection in advocating for the University System. Ms. Creasey described plans to grow partnerships with the Black and Latino Caucuses, which she said are currently hosting three scholars, and she referred to events that are planned for next year. Mr. Estrada asked if OGR staff meet with newly elected members of the General Assembly, and Ms. Creasey confirmed that they meet with them soon after being elected. Mr. Weinberger gave a federal update that focused on infrastructure, the Endless Frontier Act, and Deferred Action for Childhood Arrivals (DACA). He referred to a letter sent by President Killeen advocating for University System priorities.

Mr. Estrada thanked Ms. Creasey and Mr. Weinberger for the report.

OLD BUSINESS

There was no business presented under this aegis.

NEW BUSINESS

Mr. Estrada asked if there was any new business to discuss, and there was none. He announced that the next meeting of the Governance, Personnel, and Ethics Committee is scheduled for September 22, 2021, at 2:00 p.m. at a location to be determined.

MEETING ADJOURNED

On motion of Ms. Holmes, seconded by Ms. Jakobsson, the meeting adjourned at 3:08 p.m.

Respectfully submitted,

GREGORY J. KNOTT
Secretary

RICARDO ESTRADA
Chair

EILEEN B. CABLE
Special Assistant to the Secretary