

MEETING OF THE GOVERNANCE, PERSONNEL, AND ETHICS COMMITTEE  
OF THE BOARD OF TRUSTEES OF THE UNIVERSITY OF ILLINOIS

JULY 19, 2023

This meeting of the Governance, Personnel, and Ethics Committee of the Board of Trustees of the University of Illinois was held in the Isadore and Sadie Dorin Forum, Rooms D, E, and F, 725 West Roosevelt Road, Chicago, Illinois, on Wednesday, July 19, 2023, beginning at 1:42 p.m.

Trustee Joseph Gutman, chair of the committee, convened the meeting and asked the secretary to call the roll. The following committee members were present: Mr. Ramón Cepeda; Ms. Tami Craig Schilling; Mr. Joseph Gutman; Ms. Patricia Brown Holmes; Mr. Wilbur C. Milhouse III. Ms. Sarah Phalen;<sup>1</sup> Ms. Sanchita Teeka, student trustee, Urbana; Mr. Mohammed A. Haq, voting student trustee, Chicago; and Mr. Kyle Ingram, student trustee, Springfield, attended as guests.

Also present were President Timothy L. Killeen; Mr. Lester H. McKeever Jr., treasurer; Dr. Nicholas P. Jones, executive vice president and vice president for academic affairs; Dr. Robert J. Jones, chancellor, University of Illinois Urbana-Champaign, and vice president, University of Illinois System; Dr. Marie Lynn Miranda, chancellor, University of Illinois Chicago, and vice president, University of Illinois System Dr. Janet L. Gooch, chancellor, University of Illinois Springfield, and vice

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<sup>1</sup> Ms. Phalen attended the meeting via telephone.

president, University of Illinois System; Dr. Paul N. Ellinger, comptroller (and vice president/chief financial officer); Ms. Adrienne Nazon, vice president of external relations and communications; Mr. Thomas R. Bearrows, University counsel; and Dr. Jeffrey A. Stein, secretary of the Board of Trustees and of the University. Attached is a listing of others in attendance at this meeting.

Mr. Gutman asked if there were any comments or questions regarding the minutes from the meeting that was held on May 17, 2023, and there were none. On motion of Ms. Holmes, seconded by Ms. Craig Schilling, the minutes were approved. There were no “nay” votes.

REVIEW OF RECOMMENDED BOARD ITEMS  
FOR THE MEETING OF THE BOARD OF TRUSTEES  
OF THE UNIVERSITY OF ILLINOIS ON JULY 20, 2023

Mr. Gutman introduced the recommended items within the purview of this committee on the Board’s agenda at its meeting on July 20, 2023. All recommended items discussed at the meeting are on file with the secretary.

Appointments to the Faculty, Administrative/Professional Staff,  
and Intercollegiate Athletic Staff

Mr. Gutman asked Dr. Stein to comment on the recommended appointments to the faculty, administrative/professional staff, and intercollegiate athletic staff. Dr. Stein explained that the appointments recommended in this item are thoroughly reviewed at the

appropriate levels within the college, department, and unit, and he asked that members of the committee contact him with any questions.

#### Amend the University of Illinois *Statutes*

Mr. Gutman asked Dr. Nicholas Jones to report on the recommendation to amend the University of Illinois *Statutes*. Dr. Jones reviewed the proposed changes. He stated that one amendment would increase the maximum length of an appointment for non-tenure track faculty from three to five years, and President Killeen stated that this is a strong statement of confidence.

#### Revisions to the University of Illinois System Policy on Background Checks

Mr. Gutman then asked Ms. Jami M. Painter, senior associate vice president and chief human resources officer, to report on the recommendation to revise the University of Illinois System Policy on Background Checks. Ms. Painter stated that the changes are minor and are recommended to provide clarity to System processes and University nomenclature.

#### Appoint Members to the Athletic Board, Urbana

Next, Mr. Gutman asked Dr. Robert Jones to discuss the recommendation to appoint members to the Athletic Board, Urbana. Dr. Jones described the role of the Athletic Board and its composition, and he told the committee that members should have a clear

and compelling connection to student-athletes and athletics. He listed the individuals recommended for appointment and described the expertise and accomplishments of each.

Appoint Members and Renew Terms  
to the University of Illinois Research Park, LLC, Board of Managers

Dr. Robert Jones then provided information on the recommendation to appoint members and renew terms to the University of Illinois Research Park, LLC, Board of Managers. He described the composition of the board of managers and said he nominated Mr. Graham Grady to fill a vacancy on the board. He described Mr. Grady's background and experience. Dr. Jones said this recommendation would also renew the terms for the remaining members of the board of managers. Mr. Cepeda indicated that he knows Mr. Graham and supports his nomination.

Appoint Interim Vice Chancellor for Finance and Administration, Springfield

Mr. Gutman asked Dr. Gooch to discuss the recommendation to appoint Dr. Kenneth Kriz as interim vice chancellor for finance and administration. Dr. Gooch stated that this is a two-year, twelve-month appointment that began on May 16, 2023, utilizing a search waiver process. She said Dr. Kriz succeeds Dr. Arnold Henning, who served on an interim basis through The Registry and did not apply for the position. She described Dr. Kriz's background and experience and said has served as a distinguished professor of public administration at University of Illinois Springfield since 2018.

Approve Minutes of the Board of Trustees as the Sole Member of  
Prairieland Energy, Inc., Meeting and Appoint Directors, Prairieland Energy, Inc.

Mr. Gutman asked Mr. Mike Wilson, assistant vice president, Capital Programs and Utilities Services, to report on two recommendations related to Prairieland Energy, Inc.

Mr. Wilson stated that the minutes of the Board of Trustees as the sole member of Prairieland from November 17, 2022, would be on the agenda for the meeting of the Board of Trustees as the sole member of Prairieland the following day. He said the recommendation to appoint Mr. Milhouse to serve as the director and chair of Prairieland would also be on the agenda. He said Mr. Milhouse would replace Dr. Stuart C. King, whose term as a University trustee expired in January.

There were no objections to these items being forwarded to the full Board for approval.

## PRESENTATIONS

### Enterprise Risk Management Annual Report and Digital Risk Update

At 1:59 p.m., Mr. Gutman asked Ms. Joda Morton, director for enterprise risk management, University of Illinois System, and Mr. Joe Barnes, chief digital risk officer, University of Illinois System, to give an Enterprise Risk Management Annual Report and Digital Risk Update (materials on file with the secretary). Ms. Morton gave an overview of Enterprise Risk Management (ERM), listed the composition and role of the System Executive Risk Management Council, and discussed key risk areas. She reported on the

key risk area outcomes from 2017 through 2023. Ms. Morton provided information on annual risk assessment and presented a key risk area heat map, and she shared the results of the risk assessment survey, listing emerging risks and top concerns. She presented the risk council considerations for Fiscal Year 2024 and shared ERM achievements.

President Killeen commented on the role of the Risk Council and said it focuses on mitigation of one or two areas each year and applies focused energy to mitigation of specific risks. Ms. Craig Schilling and President Killeen discussed the use of various metrics and risk scores that weigh probability and impact, and President Killeen emphasized the importance of discussions when determining the Risk Council's areas of focus. Ms. Morton referred to the use of benchmarks, and she and Mr. Ingram discussed the broad area of athletics programs operations, which was shown on the heat map as having relatively low risk with high confidence of mitigation.

Ms. Morton then asked Mr. Barnes to share information about digital risk. Mr. Barnes defined digital risk and the approach to mitigate digital risk. He showed the digital risks that can result from digital initiatives originating in strategic objectives, and he described the role of the Digital Risk Office. He provided information about the purpose of the Digital Risk Council and shared Fiscal Year 2023 digital risk efforts. He presented areas of focus for Fiscal Year 2024. Mr. Gutman thanked Ms. Morton and Mr. Barnes for the information.

Legislative Update

Next, Mr. Gutman asked Ms. Jennifer M. Creasey, assistant vice president, external and state relations, and Mr. Paul Weinberger, assistant vice president, federal relations, to give a legislative update. Ms. Creasey stated that the legislative session adjourned, and she thanked the governor and legislature for their support, noting that the governor gave a press conference at the University of Illinois Springfield during which he highlighted his support for higher education. Ms. Creasey stated that several events will take place throughout the summer and fall to recognize the University's supporters. She told the committee the president and members of University leadership will be embarking on the third annual state tour. She referred to the role of Illinois Connection and reported on the growing number of advocates, and she described outreach efforts focused on the Chicago area. President Killeen welcomed trustees to participate in any of these events.

Mr. Weinberger gave an update on successful federal negotiations to raise the debt ceiling and that there is uncertainty surrounding the appropriations process for Fiscal Year 2024. He said reductions in funding to the Department of Education and agencies funding research and development are possible. He referred to positive engagements in Washington, D.C., that were attended by President Killeen, Dr. Nicholas Jones, and members of the President's Executive Leadership Program. Mr. Weinberger said additional events are being planned for the fall.

Mr. Gutman thanked Ms. Creasey and Mr. Weinberger for the reports.

OLD BUSINESS

There was no business presented under this aegis.

NEW BUSINESS

There was no business presented under this aegis.

MEETING ADJOURNED

Mr. Gutman announced that the next meeting is scheduled for September 20, 2023, at 1:30 p.m., at Urbana. He then asked for a motion to adjourn the meeting. On motion of Mr. Cepeda, seconded by Mr. Haq, the meeting adjourned at 2:30 p.m. There were no “nay” votes.

Respectfully submitted,

JEFFREY A. STEIN  
*Secretary*

JOSEPH GUTMAN  
*Chair*

EILEEN B. CABLE  
*Special Assistant to the Secretary*